



# RIDDHI CORPORATE SERVICES LIMITED

ISO 9001:2015, 27001:2013 & CMMI Level 3 Certified Company

CIN: L74140GJ2010PLC62548

DATE : 30th JUNE 2021

To, Manager,  
Listing Department  
BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street  
Mumbai - 400001

**Scrip Code: - 540590**

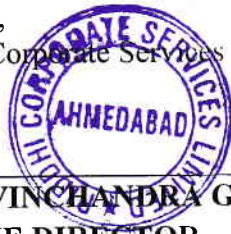
**Reference: SEBI Circular No. CIR/CFD/CMD1/27 /2019 dated February 8, 2019 read with Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

**Subject: - Annual Secretarial Compliance Report for the Financial Year ended March, 2021**

With reference to above captioned subject, we would like to submit herewith Annual Secretarial Compliance Report of the Company for financial year ended March 31, 2021, issued by Amrish Gandhi & Associates., Practicing Company Secretaries.

This is for your information and record.

Thanking You,  
From Riddhi Corporate Services Limited



**ALPIT PRAVINCHANDRA GOR**  
**WHOLETIME DIRECTOR**  
**DIN: 03041615**

*Registered & Corporate Office: 10, Mill Officers Colony, Behind Old RBI, Opp. Times of India, Ashram Road,  
Ahmedabad – 380009*

*Contact: 079 2658 0767 | Email: info@rcspl.net | Web: www.riddhincorporate.co.in*



**Secretarial Compliance Report of RIDDHI CORPORATE SERVICES LIMITED**  
**For the year ended 31<sup>st</sup> March, 2021**

We, Amrish Gandhi & Associates, Company Secretaries in Practice have examined:

(a) All the documents and records made available to us and explanation provided by **Riddhi Corporate Services Limited** having CIN: L74140GJ2010PLC062548 and its registered office at 10, Mill Officers Colony, Behind Old Rbi, Ashram Road, Ahmedabad, Gujarat, India - 380009 ("the listed entity"),

(b) the filings/ submissions made by the listed entity to the stock exchanges,

(c) website of the listed entity,

(d) other document/filing, as may be relevant, which has been relied upon to make this certification,

for the Year ended 31<sup>st</sup> March, 2021 ("**Review Period**") in respect of compliance with the provisions of:

(a) The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and

(b) The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

(a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;

(b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; - **Not Applicable during the review period**

(c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;

(d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;- **Not Applicable during the review period**

(e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; - **Not Applicable during the review period**

(f) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;- **Not Applicable during the review period**





(g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; - **Not Applicable during the review period**

(h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

(i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;

(j) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act and dealing with client;

(k) The Securities and Exchange Board of India (Investor Protection and Education Fund) Regulations, 2009;

(l) Other regulations as applicable and circulars/ guidelines issued thereunder;

and based on the above examination, We hereby report that, during the Review Period :

(a) The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued thereunder, except in respect of matters specified below:

Sr. No.	Compliance Requirement (Regulations / circulars / guidelines /including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1	Regulation 23 (9) of SEBI (LODR) Regulation, 2015	The Company has submitted the disclosure of related party transactions on consolidated basis for the half year ended on 30 <sup>th</sup> September, 2020 on 25 <sup>th</sup> January, 2021 with the delay of 43 days.	Company has filed disclosure, information and statements regarding related party transactions on consolidated basis for the half year ended on 30 <sup>th</sup> September, 2020 on 25 <sup>th</sup> January, 2021 with the delay of 43 days for which fine has been imposed by Bombay Stock Exchange (BSE), details of which given separately hereunder in clause (c) and the same has been paid by the listed entity.



(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/guidelines issued thereunder in so far as it appears from our examination of those records.

(c) The following are the details of actions taken against the listed entity / its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/Regulations and circulars/guidelines issued thereunder;

Sr. No.	Action taken by	Details of violation	Details of action e.g. fines, warning letter, debarment etc.	Observations/remarks of the Practicing Company Secretary, if any
1	BSE	Regulation 23 (9) of SEBI (LODR) Regulation, 2015	Pursuant to circular no. SEBI/HO/CFD/CMD/CIR/P/2020/12 dated January 22, 2020 [for Regulation 23(9) of SEBI (LODR) Regulation, 2015 for September 2020] the BSE vide its mail dated 18 <sup>th</sup> January, 2021 had imposed fine of Rs. 2,06,500/- (Including GST) on the company for the half year ended 30 <sup>th</sup> September, 2020.	The Listed entity has paid the fine of Rs. 189000 (After deducting TDS) on 17 <sup>th</sup> February 2021 for the half year ended 30 <sup>th</sup> September, 2020
None				

(d) The listed entity has taken the following actions to comply with the observations made in previous reports: Not Applicable.

For Amrish Gandhi & Associates  
Company Secretaries



*Amrish Gandhi*  
Amrish Gandhi  
Proprietor

FCS No: 8193

COP No: 5656

UDIN: F008193C000547545

Place: Ahmedabad

Date: 30<sup>th</sup> June, 2021