

Swan Energy Limited

(Formerly Swan Mills Limited)

6, Feltham House, 2nd Floor, 10 J. N. Heredia Marg, Ballard Estate, Mumbai 400001.

Tel.: 022-4058 7300 • Fax : +91-22-4058 7360 • Email: swan@swan.co.in

CIN. L17100MH1909PLC000294

Swan/nse/bse

15th July, 2022

Dept. of Corporate Compliances,
National Stock Exchange Limited,
Exchange Plaza, Plot No. C/1, G Block,
Bandra –Kurla Complex, Bandra-East,
Mumbai – 400 051

Listing Department,
Bombay Stock Exchange Limited,
P.J. Tower, Dalal Street, Fort,
Mumbai – 400 001

Dear Sir,

Sub: Certificate under Regulation 74(5) of SEBI (Depositories and Participants) Regulation, 2018 for the Quarter ended 30th June, 2022

We are hereby submitting Certificate received by the Company under Regulation 74(5) of SEBI (Depositories and Participants) Regulation, 2018 from the Registered Transfer Agent of the Company for the Quarter ended 30th June, 2022.

The above is for your information and dissemination please.

Thanking you,

Yours faithfully,

For Swan Energy Limited

(Arun Agarwal)
Company Secretary





PURVA SHAREGISTRY (I) PVT. LTD.

(SEBI Regn. INR000001112 Category 1 Registrars to IPO & Share Transfer Agents)

GST No. 27AAACP4924D1ZO • CIN No. U67120MH1993PTC074079

9 Shiv Shakti Industrial Estate, J. R. Boricha Marg, Near Lodha Excelus, Lower Parel East, Mumbai - 400 011
Tel : 2301 2518 / 2301 6761 • Email: support@purvashare.com • Web : www.purvashare.com

01/07/2022

To,

SWAN ENERGY LIMITED - INE665A01038

Dear Sir/Madam.

Sub: Request for confirmation certificate as per Regulation 74(5) of SECURITIES AND EXCHANGE BOARD OF INDIA (DEPOSITORIES AND PARTICIPANTS) REGULATIONS, 2018

As per Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018, we hereby confirm that we have complied with the following within 15 days of receipt of the certificate(s) of security from the Depository Participant(DP):

- The securities comprised in the certificate(s) of Security dematerialised, have been listed on stock exchanges where the earlier issued securities are listed.
- After due verification, immediately mutilated and cancelled the certificate(s) of security received for dematerialisation.
- Substituted in our records the name of the Depository as the registered owner and had sent a certificate to this effect to the Depositories and to every stock exchange on a quarterly basis, where the security is listed.

Thanking you,

Yours faithfully,

For Purva Sharegistry (India) Pvt. Ltd.

Ms. Deepali Dhuri

Compliance Officer.

SWAN ENERGY LIMITED - INE665A01038 - Details of Share certificates dematerialised from
01/04/2022 to 30/06/2022

FOLIO	NAME OF SHAREHOLDER	CERTIFICATE NO.	DIST. NO. (FROM)	DIST. NO.(TO)	SHARES	DEMATERIALISED ON
K0010006	KALPANA KISHOR GADA	0302262	1541401	1541900	500	05/04/2022
044686	DAKSHA PANKAJ MEHTA	0301690	1098701	1098900	200	21/04/2022
046890	CHANDRABALA MAHENDRA KATIRA	0302074	1409101	1409600	500	04/05/2022
H0010011	HEMLATA DHARAMDAS SHETH	0301741	1119401	1124400	5000	07/05/2022
V0010004	VARSHA TRILOK KOTHARI	0301001	737501	740000	2500	14/05/2022
049308	KAMLESH SHANTILAL SHAH	0303869	1828201	1828800	600	25/05/2022
047358	PRATIMA PRAHALAD BHADRA	0302115	1448801	1449800	1000	31/05/2022
U00120	USHA DIPIN BHAVSAR	0302571	1944401	1944900	500	14/06/2022
A0010004	ALOK DEVENDRA DAVE	0303870	769701	769900	200	17/06/2022
044902	KIRAN RATILAL UPADHYAY	0301728	1113701	1114200	500	25/06/2022