



**MOONGIPA  
SECURITIES LTD.**

The General Manager  
Department of Corporate Services  
**BSE Limited**  
25<sup>th</sup> Floor, P.J Towers,  
Dalal Street, Mumbai - 400001

October 14, 2020

**Scrip Code: 539199**

Sub:- Submission of Certificate from Practicing Company Secretary under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations")

Dear Sir / Madam,

Pursuant to Regulation 40(9) of the Listing Regulations, please find enclosed herewith the Certificate obtained from Practicing Company Secretary for the half-year ended September 30, 2020.

This is for your information and records.

Thanking you,  
For Moongipa Securities Limited

Sanjeev Jain  
Company Secretary and Compliance officer



Encl.: As Above

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(CIN : L74899DL1994PLC057941)

Regd. Office : 18/14, W.E.A. Pusa Lane, Karol Bagh, New Delhi-110005, Ph.: 011-41450121

E-mail : moongipas@gmail.com, Website : www.moongipa.net

**TO WHOMSOEVER IT MAY CONCERN**

**CERTIFICATE UNDER REGULATIONS 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2020**

I have examined the necessary Share Transfer Deeds, Memorandum of Transfers, Registers, files and other relevant documents relating to **Moongipa Securities Limited** ("the Company"), bearing **CIN: L74899DL1994PLC057941** and having its registered office at 18/14, W.E.A. Pusa lane, Karol Bagh, New Delhi- 110005 maintained by Company's Registrar and Transfer Agent- **Skyline Financial Services Pvt. Ltd. (RTA)** pertaining to transfer of equity shares of the company for the period from 1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020, for the purpose of issuing a Certificate as per Regulations 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015.

On the basis of my examination and on the information/ explanation provided by the Company/ Registrar and Transfer Agent *in electronic mode*, I hereby certify that the Company has delivered during the half year ended 30<sup>th</sup> September, 2020:-

- A) Share Certificate(s) relating to the Share Transfer Deeds received during the period from 1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020 as entered in the Memorandum of Transfers have been issued within 30 days from respective the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies excepting those rejected on technical grounds.
- B) All Certificates in respect of valid and complete request for transmissions, Name Deletions and Change of Name have issued within thirty days of lodgment.

**Place: New Delhi**  
**Date: 07.10.2020**

**For Anjali Yadav & Associates**  
**[Company Secretaries]**

**anjali**  
**yadav** Digitally signed  
by anjali yadav  
Date: 2020.10.07  
16:42:24 +05'30'

**Anjali Yadav**  
**Proprietor**

**P/R: 629/2019**

**M No FCS6628**

**CP No. 7257**

**UDIN: F006628B000874286**