

March 05, 2019

The Manager,
Listing Department,
The National Stock Exchange of India Ltd.,
Exchange Plaza, 5 Floor, Plot C/1, G Block,
Bandra - Kurla Complex, Bandra (E),
Mumbai 400 051.

Tel No.: 2659 8235 NSE Symbol: 5PAISA The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001. Tel no.: 22721233

BSE Scrip Code: 540776

Dear Sir/ Madam,

<u>Sub:- Submission of Compliance Certificate pursuant to Regulation 7 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015</u>

Pursuant to Regulation 7 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we have enclosed captioned certificate, for the half year ended March 31, 2019, counter signed by the authorised representative of Link Intime India Private Limited, Registrar & Share Transfer Agent of the Company.

Kindly take the same on record and oblige.

Thanking you,

Yours faithfully,

For Spaisa Capital Limited

Roshan Dave Company Secretary

Encl: a/a



April 02, 2019

BSE Limited
PhirozeJeejeebhoy Towers
Dalal Street
Mumbai ~ 400001

Scrip Code: 540776

Dear Sirs,

Subject: Compliance Certificate - Regulation 7 (3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

As per the requirement of Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that activities in relation to both physical and electronic share transfer facility are maintained during the period from October 01, 2018 to March 31, 2019 (both days inclusive) by the Company's Registrar and Share Transfer Agent, viz., Link Intime India Private Limited, which is registered as Registrar & Share Transfer Agent in Category-I with the Securities and Exchange Board of India vide Registration Number: INR000004058.

Thanking you.

Yours truly,

For 5paisa Capital Limited

Roshan Dave
Company Secretary

Capits

For Link Intime India Private Limited

Authorized Representative





April 02, 2019

The Manager
Listing Department
National Stock Exchange of India Limited
'Exchange Plaza', C - 1, Block G,
Bandra-Kurla Complex,
Bandra (E),
Mumbai - 400051

Symbol: 5PAISA

Dear Sirs,

<u>Subject:</u> <u>Compliance Certificate - Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.</u>

As per the requirement of Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that activities in relation to both physical and electronic share transfer facility are maintained during the period from October 01, 2018 to March 31, 2019 (both days inclusive) by the Company's Registrar and Share Transfer Agent, viz., Link Intime India Private Limited, which is registered as Registrar & Share Transfer Agent in Category-I with the Securities and Exchange Board of India vide Registration Number: INRO00004058.

Thanking you.

Yours truly,

For 5paisa Capital Limited

Rosheri Dave
Company Secretary

For Link Intime India Private Limited

Authorized Representative

The state of the s