



**Excel Industries Ltd.**



IS/ISO 9001:2008,  
IS/ISO 14001:2004 &  
IS 18001:2007  
Certified by BIS.

26<sup>th</sup> October, 2020

BSE Ltd.  
Listing Department,  
Pheeroze Jeejeebhoy Towers,  
Dalal Street,  
Fort,  
Mumbai-400 001

National Stock Exchange of India  
Ltd.  
Listing Department,  
Exchange Plaza,  
Bandra-Kurla Complex,  
Bandra (E),  
Mumbai-400 051

**Sub:** Regulation 40(9) - Certificate from Practicing Company Secretary

**Ref:** BSE Script Code: 500650; NSE Script Code: EXCELINDUS

Dear Sir,

Pursuant to Regulation 40(9) of the (Listing Obligation and Disclosure Requirements) Regulations 2015, please find enclosed Certificate received from Practicing Company Secretary for the period from 1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020 certifying that all the share certificates have been issued by the Company within specified time from the lodgment for transfer, subdivision, consolidation, renewal, exchange or endorsements of calls/allotment monies.

Kindly take this on your record.

Thanking you

Yours faithfully,  
**For Excel Industries Limited**

S K Singhvi  
**Company Secretary**

Encl: As above



**PRASHANT DIWAN**  
B.Com, LL.B, FCS, AICWA  
Practicing Company Secretary

B-703/704, Anand Sagar  
M. G. Road, Kandivali (W), Mumbai 400067

26.10.2020

To  
Mr. Surendra Singhvi  
Excel Industries Limited  
Mumbai

**Sub: Certificate under Regulation 40(9) of the SEBI (LODR) Regulations, 2015 for the half year ended 30.09.2020**

Sir

Please find enclosed herewith certificate in respect of Regulation 40(9) of the SEBI (LODR) Regulations, 2015 for the half year ended 30.09.2020 of Excel Industries Limited.

I hope you will find the above in order.

Thanking you  
Yours faithfully

**PRASHANT**  
**DEVENDR**  
**A DIWAN**

Digitally signed by PRASHANT DEVENDRA  
DIWAN  
DN: c=IN, o=Personal,  
2.5.4.20=14a1018026d2c24e0aa58577dfc2  
413b0389a4e6fd2c978d6b419cdfcc327,  
postalCode=400067, st=Maharashtra,  
serialNumber=05228332452979eefb43802d  
f55c762a49d8869acc77a58d45cb02d4762c  
, cn=PRASHANT DEVENDRA DIWAN  
Date: 2020.10.26 16:03:33 +05'30'

**CS Prashant Diwan**  
**Practicing Company Secretary**

Encl: As above



**PRASHANT DIWAN**  
B.Com, LL.B, FCS, AICWA  
Practicing Company Secretary

B-703/704, Anand Sagar  
M. G. Road, Kandivali (W), Mumbai 400067

**CERTIFICATE UNDER REGULATION 40(9) OF THE  
SEBI (LISTING OBLIGATIONS & DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2020**

I refer to the confirmation received from **M/s. Link Intime India Private Limited** vide letter dated 9<sup>th</sup> October, 2020 and 19<sup>th</sup> October, 2020, in respect of all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **M/s. Excel Industries Limited** maintained by **M/s. Link Intime India Private Limited** pertaining to transfer of equity shares of the company for the period from 1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, by, M/s. Excel Industries Limited and based on the information provided by the Company, I hereby certify that the Company has delivered following during the half year ended on 30<sup>th</sup> September, 2020.

Share Certificate(s) relating to the registration of Share Transfer(s), Transmission(s), Deletion(s) of Name received during the period from 1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020 as entered in the Memorandum of Transfers / Share Inward Register have been issued within thirty days from respective date of lodgment(s) except those rejected on technical grounds, if any.

Pursuant to Section 124(6) of the Companies Act, 2013 and Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016, the Company had issued new share certificates in lieu of old share certificate for the purpose of transfer to IEPF with respect to 3,049 Equity Shares. Accordingly, the said 3,049 Equity Shares have been transferred to IEPF account.

Further to certify that, there were no requests received for registration of transposition, sub-division, consolidation, renewal, duplicate, exchange of Share Certificates or endorsement of calls/allotment monies etc during half year period ended as on 30<sup>th</sup> September, 2020.

Further to state that I have not carried out the physical verification of any records due to prevailing conditions of COVID 2019 in the country. I have relied on the documents as made available by the Company / Registrar and Transfer Agent through digital mode.

This certificate is issued for the six months period ended 30<sup>th</sup> September, 2020 pursuant Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulation, 2015.

**PRASHANT  
DEVENDRA  
A DIWAN**

Digitally signed by PRASHANT DEVENDRA  
DIWAN  
DN: cn=IN, o=Personal,  
2.5.4.20=1f4a1018026dfe2bfe04a58577effc  
2413b0389a4ebfd2c978d6b419cdfcc827,  
postalCode=400067, st=Maharashtra,  
serialNumber=c05f2d35245b79ae4b43f0  
2dff5ac762a90d8869aec77a594545cb02d4  
762c, cn=PRASHANT DEVENDRA DIWAN  
Date: 2020.10.26 16:04:06 +05'30'

**CS PRASHANT DIWAN  
PRACTISING COMPANY SECRETARY  
FCS: 1403 COP: 1979**

PR NO: 530/2017  
UDIN: F001403B001059394

Date: 26.10.2020  
Place: Mumbai