



**Date:** 11/11/2020

**To,**

**National Stock Exchange of India Limited  
Listing Dept.  
Exchange Plaza, Bandra Kurla Complex  
Bandra (East), Mumbai-400051**

**BSE Limited  
Listing Dept.  
P.J.Tower, Dalal Street  
Fort, Mumbai-400001**

Scrip Code: EMKAY

Scrip Code: 532737

Dear Sirs,

**Subject: Disclosure of Related Party Transaction**

Pursuant to Regulation 23 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, (as amended from time to time ) Please find enclosed herewith disclosure of Related party Transaction on consolidated basis in the format specified in the accounting standard for the half year ended 30<sup>th</sup> September, 2020.

Thanking you,

Yours Faithfully,  
**For Emkay Global Financial Services Limited**

**B. M. Raul**  
Company Secretary & Compliance Officer



Administrative Office: Paragon Centre, C-06, Ground Floor, Pandurang Budhkar Marg, Opp. Birla Centurion, Worli, Mumbai - 400 013. Tel: +91 22 6629 9299 Fax: +91 22 6629 9105  
Registered Office: The Ruby, 7th Floor, Senapati Bapat Marg, Dadar (West), Mumbai - 400 028. Tel: +91 22 6612 1212 Fax: +91 22 6612 1299 www.emkayglobal.com CIN - L67120MH1995PLC084899

**EMKAY GLOBAL FINANCIAL SERVICES LIMITED**

## Emkay Global Financial Services Limited

## Related Party Transactions for the period April to September 2020

No.	Name	Nature of transaction	Transaction amount during	Amount Outstanding as on
			6M FY21	30.09.2020
<b>Related parties where control exists</b>				
<b>Associate</b>				
1	Azalea Capital Partners LLP	Investment via Capital Contribution	-	450,000
		Reimbursement of expenses	19,410	-
		Interest Income on Loan given	12,274	-
		Loan Given & repayment	1,000,000	1,000,000
<b>Associate of wholly owned subsidiary</b>				
2	Finlearn Edutech Private Limited	Depository Charges	180	-
		Trade Payables	-	88
		Investment made	-	10,972,500
<b>Directors and/or Key managerial personnel (KMP)</b>				
3	Krishna Kumar Karwa	Salaries & Other Benefits	4,704,000	-
		Brokerage Income	11,115	-
		Depository Charges	225	-
		Trade Payables	-	355,091
4	Prakash Kacholia	Salaries & Other Benefits	4,704,000	-
		Brokerage Income	14,366	-
		Portfolio management fees	110,383	-
		Depository Charges	1,305	-
		Trade payables	-	2,301
		Trade Receivables	-	71,885
5	S.K. Saboo	Sitting fees	20,000	-
6	G.C. Vasudeo	Sitting fees	60,000	-
7	R.K. Krishnamurthi	Sitting fees	60,000	-
8	Dr. Satish Ugrankar	Sitting fees	60,000	-
9	Dr. Bharat K Singh	Sitting fees	40,000	-
<b>Relatives of key managerial personnel</b>				
10	Preeti Kacholia	Brokerage Income	331,672	-
		Portfolio management fees	26,803	-
		Depository Charges	9,655	-
		Trade payables	-	8,958,654
		Trade Receivables	-	16,649
11	Priti Karwa	Brokerage Income	1,362	-
		Depository Charges	570	-
		Trade Receivables	-	71
12	Raunak Karwa	Salaries & Other Benefits	1,008,000	-
		Depository Charges	345	-
		Trade Receivables	-	407
13	Somaya K Karwa	Brokerage Income	16,058	-
		Depository Charges	420	-
		Trade payables	-	13,542
14	Divya Kacholia	Brokerage Income	2	-
		Depository Charges	15	-
		Trade Payables	-	4,397
15	Amit Sushil Saboo	Brokerage Income	1,827	-



No.	Name	Nature of transaction	Transaction amount during	Amount Outstanding as on
			6M FY21	30.09.2020
		Depository Charges	375	-
		Trade Payables	-	9,000
	<b>KMP having control or significant influence</b>			
16	Krishna Kumar Karwa HUF	Depository Charges	45	-
		Trade Receivables	-	1,115
	<b>Enterprises owned/controlled by KMP</b>			
17	Synthetic Fibres Trading Co	Brokerage Income	167,882	-
		Depository Charges	1,305	-
		Trade payables	-	3,492,499
18	Emkay Corporate Services Private Limited	Portfolio management fees	52,399	-
		Depository Charges	60	-
		Trade Receivables	-	33,624
19	Seven Hills Capital	Brokerage Income	78,335	-
		Depository Charges	970	-
		Trade Payables	-	3,527,928
	<b>Enterprise owned/controlled by relative of KMP</b>			
20	Krishna Investments	Brokerage and Commission Paid	25,637	-
		Trade Payables	-	104,696
	<b>Others</b>			
21	Emkay Charitable Foundation	Donation : Corporate Social Responsibility	50,000	-
22	Emkay Global Financial Services Limited Employees Group Gratuity Assurance Scheme ( Trust )	Gratuity Contribution	4,181,310	4,181,310
23	Emkay Fincap Limited Employees Group Gratuity Assurance Fund (Trust)	Gratuity Contribution	259,762	259,762
24	Emkay Wealth Advisory Limited Employees Group Gratuity Assurance Fund (Trust)	Gratuity Contribution	10,854	10,854
25	Emkay Investment Managers Limited Employees Group Gratuity Assurance Fund (Trust)	Gratuity Contribution	374,261	374,261
26	Emkay Commotrade Limited Employee Group Gratuity Assurance Fund (Trust)	Gratuity Contribution	39,871	(40,135)
	<b>Additional Related Parties as per Companies Act,2013</b>			
27	Saket Agrawal	Salaries & Other Benefits	2,002,602	-
28	Bhalchandra Raul	Salaries & Other Benefits	1,230,000	-

