



REC Limited | आर ई सी लिमिटेड
(भारत सरकार का उद्यम) / (A Government of India Enterprise)
Regd. Office: Core-4, SCOPE Complex, 7, Lodhi Road, New Delhi - 110003
Corporate Office: Plot No. I-4, Near IFFCO Chowk Metro Station,
Sector-29, Gurugram - 122001 (Haryana)
Tel: +91 124 444 1300 | Website: www.recindia.nic.in
CIN : L40101DL1969GOI005095 | GST No.: 06AAACR4512R3Z3



SEC-1/187(2)/2023/1276

Dated: April 18, 2023

लिस्टिंग विभाग, नेशनल स्टॉक एक्सचेंज ऑफ इंडिया लिमिटेड एक्सचेंज प्लाजा, बांद्रा कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - ४०० ०५१	कॉर्पोरेट संबंध विभाग बीएसई लिमिटेड पहली मंजिल, फीरोज जीजीभोय टावर्स दलाल स्ट्रीट, फोर्ट, मुंबई - ४०० ००१
स्क्रिप कोड—RECLTD	स्क्रिप कोड—532955
Listing Department, National Stock Exchange of India Limited Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai – 400 051.	Corporate Relationship Department BSE Limited 1 st Floor, Phiroze Jeejeebhoy Towers Dalal Street, Fort, Mumbai – 400 001.
Scrip Code—RECLTD	Scrip Code—532955

Sub: Submission of Certificate under Regulation 40(9) & (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the financial year ended March 31, 2023.

Dear Sir(s),

In terms of Regulation 40(9) & (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the Certificate for the financial year i.e. from April 1, 2022 to March 31, 2023 issued by M/s. Savita Jyoti Associates, Practicing Company Secretary, in due compliance of the said regulations.

Thanking you,

Yours faithfully,

(J.S. Amitabh)

Executive Director & Company Secretary

Encl.: as above

Regional Offices: Bengaluru, Bhopal, Bhubaneswar, Chennai, Dehradun, Guwahati, Hyderabad, Jaipur, Jammu, Kolkata, Lucknow, Mumbai, Panchkula, Patna, Raipur, Ranchi, Shimla, Thiruvananthapuram & Vijaywada

State Offices : Vadodara, Varanasi

Training Centre : REC Institute of Power Management & Training (RECIPMT), Hyderabad

SAVITA JYOTI ASSOCIATES
COMPANY SECRETARIES

CS. SAVITA JYOTI, B.Com., F.C.S.

CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD
OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS,
2015 FOR THE YEAR ENDED MARCH 31, 2023

The Securities and Exchange Board of India ('SEBI') vide gazette notification dated June 8, 2018 and November 30, 2018 amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and SEBI vide Gazette Notification dated January 24, 2022 had mandated, with effect from that date, that transmission or transposition of securities held in physical or dematerialised form shall be effected only in dematerialised form. Further, SEBI vide its press release dated March 27, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 1, 2019. However, SEBI vide Circular dated September 07, 2020 had fixed March 31, 2021 as the cut-off date for re-lodgment of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode.

Accordingly, on the basis of examination of the relevant documents relating to equity shares of **REC LTD** ('the Company') maintained and provided electronically by **KFin Technologies Limited**, Registrar and Share Transfer Agents (RTA), pertaining to the financial year ended March 31, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

- A) (i) there were no requests received for transfer of shares or sub-division (split), consolidation, renewal, exchange, or endorsement of calls/allotment monies during the financial year ended March 31, 2023.
- (ii) Letter of Confirmation, relating to the transmission, as the case may be received during the financial year ended March 31, 2023, have been issued within the prescribed time from the respective date of lodgment except those rejected on the technical grounds.
- B) Any other information, if applicable: ---

Date: 18/04/2023
Place: Hyderabad

SAVITA JYOTI ASSOCIATES

SAVITA
JYOTI

Digitally signed by
SAVITA JYOTI
Date: 2023.04.18
10:48:24 +05'30'

CS. SAVITA JYOTI
Membership No.: 3738
CP No.:1796
UDIN: F003738E000019325