



**NOVA**  
**IRON & STEEL LTD.**

CIN : L02710CT1989 PLC010052  
F-Block, 1st Floor, International Trade Tower, Nehru Place,  
New Delhi-110019 INDIA Tel.: +91-11-30451000 Fax: +91-11-23712737  
Email : rai\_nisl2007@yahoo.com, www.novaironsteel.com

**ONLINE SUBMISSION**

Ref: NISL/SE/2020-21

Dated:31/07/2020

The Secretary,  
Bombay Stock Exchange Limited  
Phiroze Jeejeebhoy Towers,  
25<sup>th</sup> Floor, Dalal Street,  
Mumbai – 400 001

**Subject: Annual Secretarial Compliance Report as per regulation 24(A) of the SEBI (LODR) Regulations, 2015 for the year ending 31/03/2020**

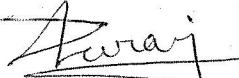
Dear Sir,

Please find enclosed herewith Annual Secretarial Compliance Report as per regulation 24(A) of the SEBI (LODR) Regulations, 2015 for the year ending 31/03/2020 issued by Practicing Company Secretary.

This is for your information and record.

Thanking you,

Yours faithfully,  
**For Nova Iron and Steel Limited**

  
**(Dheeraj Kumar)**  
**Company Secretary**



Encl: a/a

# V.P. CHHABRA & ASSOCIATES

PRACTISING COMPANY SECRETARIES

386-C, Rajguru Nagar, Ludhiana. Punjab, INDIA.

Tel. No. : 0161-2452853, 98159-12386

**Value Addition Through Compliance and Consultancy**

Ref. No. ....

Dated : .....

To

**NOVA IRON AND STEEL LIMITED  
VILLAGE-DAGORI TEHSIL -BELHA,  
BILASPUR CT 495224 IN**

**REF: SECRETARIAL COMPLIANCE REPORT of NOVA IRON AND STEEL LIMITED  
CIN: L02710CT1989PLC010052** having Registered Office at # VILLAGE-DAGORI  
TEHSIL -BELHA, BILASPUR CT 495224 IN **for the year ended 31<sup>ST</sup> March, 2020.**

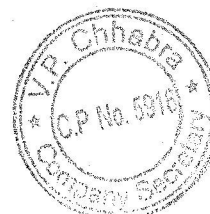
I, V.P. Chhabra, Practicing Company Secretary having our office at 386-C, Rajguru Nagar, Ludhiana (PB), has examined:

- (a) All the documents and records made available to me and explanation provided by **NOVA IRON AND STEEL LIMITED** (hereinafter referred to as "the listed entity"),
- (b) The filings/ submissions made by the listed entity to the stock exchanges,
- (c) Website of the listed entity,
- (d) Any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended **March 31<sup>ST</sup>, 2020** ("Review Period") in respect of compliance with the provisions of :
  - (a) The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued there under; and
  - (b) The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued there under, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;

*V.P. Chhabra*



(b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;

**(No transactions during the period under review);**

(c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011:

**(No transactions during the period under review);**

(d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018:

**(No transactions during the period under review);**

(e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014:

**(No transactions during the period under review);**

(f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008:

**(No transactions during the period under review);**

(g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations 2013:

**(No transactions during the period under review);**

(h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015:

**(Complied during the review period);**

(i) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Act and dealing with client.

**(Complied during the review period);**

And based on the above examination, we hereby report that, during the Review Period:

(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under, except in respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulations/ Circulars/ Guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
NIL			

*V.P. Chhabra*



(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued there under in\_so\_far as it appears from my examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. Fines, warning letter, debarment, etc.	Observations, Remarks of the Practising Company Secretary, if any.
As informed there was no such instance.				

(d) The listed entity has taken the following actions to comply with the observations made in previous reports

Sr. No.	Observations of the Practising Company Secretary in the previous reports	Observations in the compliance for the year ended on 31.03.2020	Actions taken by the listed entity, if any	Comments of the Practising Company Secretary on the actions taken by the listed entity
		-----NA-----		

For V.P. Chhabra & Associates  
PRACTISING COMPANY SECRETARIES



*V.P. Chhabra*  
V.P. Chhabra  
Membership No: 7878  
COP: 5916

PLACE: Ludhiana  
DATE: 31/07/2020

UPIN-A007878B000543600

Date: 01-08-2020