

14<sup>th</sup> April, 2023

The Secretary  
BSE Limited  
Phiroze Jeejeebhoy Towers  
25<sup>th</sup> Floor, Dalal Street,  
MUMBAI – 400 001.  
**Scrip Code: 519528**

The Secretary  
National Stock Exchange of India Ltd.  
Exchange Plaza, 5<sup>th</sup> Floor,  
Plot No.C/1, 'G' Block,  
Bandra (East)  
MUMBAI – 400 051.  
**Ref: ISIN - INE369C01017**

**Sub: Compliance with Regulation 40(9) and (10) of Securities and Exchange Board of India  
(Listing Obligations and Disclosure Requirements) Regulations, 2015**

Dear Sir,

Pursuant to Regulation 40(9) and (10) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are submitting herewith Certificate dated 14<sup>th</sup> April, 2023, issued by Practicing Company Secretary, for the financial year ended 31<sup>st</sup> March, 2023.

Kindly take the above information on record.

Thanking you,

Yours faithfully,

Ashish Pathak  
Company Secretary & Compliance Officer

Encl.: As above.



*Agarwal A & Associates*  
Company Secretaries

**Certificate under Regulation 40(9) and (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulation 2015**

For the Financial Year Ended March 31, 2023

To  
The Board of Directors  
Norben Tea & Exports Limited  
15B, Hemanta Basu Sarani, 3<sup>rd</sup> Floor,  
Kolkata – 700 001

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Norben Tea & Exports Limited** having its Registered Office situated at 15-B, Hemanta Basu Sarani, 3<sup>rd</sup> Floor, Kolkata-700001 maintained by its Registrar & Share Transfer Agent – MCS Share Transfer Agent Limited having office at 383, Lake Gardens, 1<sup>st</sup> Floor, Kolkata –700045 for the period from 1<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) & (10) of SEBI (Listing Agreement and Disclosure Requirements) Regulation 2015 entered into by **Norben Tea & Exports Limited**, with National Stock Exchange of India Limited (“NSE”) & Bombay Stock Exchange Limited (“BSE”) and based on the information provided by the Company, we hereby certify that the Company has delivered during the financial year ended on 31<sup>st</sup> March, 2023:

Share certificates relating to dematerialization of Shares received during the period 1<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023.

Further, request received for transfer, transmission, change of name and deletion of Shares during the period 1<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023 were duly processed and dispatched in stipulated time.

Further there was no request received for subdivision, consolidation, renewal, exchange or endorsement of calls/allotment of monies during the aforesaid period.

Place: Kolkata  
Date: 14/04/2023

For **AGARWAL A & ASSOCIATES**  
Company Secretaries

AJAY  
KUMAR  
AGARWAL

Digitally signed by AJAY KUMAR AGARWAL  
DN: cn=AJAY KUMAR AGARWAL, o=AA&A, ou=AA&A, email=ajay.kumar@agarwal.com, c=IN  
2.5.4.20=5D80109FFC4A02AFEB28C71F  
37564  
C33E3F43D0E331A6A4C7E8B88E417F247  
, postalCode=700016, cn=AJAY KUMAR AGARWAL, serialNumber=ajaykumar@agarwal.com, cn=AJAY KUMAR AGARWAL  
Date: 2023.04.14 12:18:53 +05'30'

**CS Ajay Kumar Agarwal**

Proprietor

C.P No.: **13493**

M. No.: F7604

Peer Review No. 1592/2021

ICSI UDIN: **F007604E000093437**