

GMM/SEC/2019-20/44

October 16, 2019

To,
BSE Limited
Phiroze Jeejeebhoy Towers,
1st Floor, Dalal Street,
Mumbai – 400 001

NSE Limited
Exchange Plaza, C-1, Block G,
Bandra Kurla Complex, Bandra (E)
Mumbai – 400 051

Scrip Code: 505255

Symbol: GMPFAUDLR

Dear Sir,

Sub: Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended September 30, 2019

Enclosed please find the Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the half year ended September 30, 2019 issued by Rathi & Associates, Company Secretaries, Mumbai.

Kindly take the same on record and acknowledge receipt of the same.

Thanking you,

Yours faithfully,
For **GMM PFAUDLER LIMITED**



Mittal Mehta
Company Secretary & Compliance Officer

Encl : As above

Rathi & Associates

COMPANY SECRETARIES

A-303, Prathamesh, 3rd Floor, Raghuvanshi Mills Compound, 11-12, Senapati Bapat Marg, Lower Parel (W), Mumbai - 400 013.
Tel.: 4076 4444 / 2491 1222 • Fax : 4076 4466 • E-mail : associates.rathi8@gmail.com

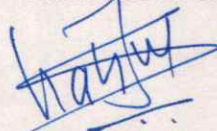
COMPLIANCE CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE HALF YEAR ENDED 30st SEPTEMBER, 2019

We have examined the Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **GMM Pfaudler Limited** ("the Company") maintained by **Link Intime India Private Limited** (herein after referred to as "the Registrar & Share Transfer Agents" or "the RTA") pertaining to transfer of Equity Shares of the Company for the period from **1st April, 2019 to 30th September, 2019** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the RTA, we hereby certify that during half year ended on **30st September, 2019**:

- The Company has delivered Share Certificates relating to the Share Transfer Deeds received during the period from **1st April, 2019 to 30th September, 2019** within Thirty days as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, from respective date of lodgment thereof excepting those rejected on technical grounds.
- The Company and/or RTA have not received any requests for renewal, exchange or endorsement of calls/allotment monies.

For RATHI & ASSOCIATES
COMPANY SECRETARIES



JAYESH SHAH
PARTNER

FCS No. 5637

COP No. 2535

UDIN. F005637A000104010



Place: Mumbai

Date: October 17, 2019