



# DECILLION FINANCE LIMITED

Regd. Off: "JAJODIA TOWER", 4TH FLOOR, ROOM NO. D-8, 3, BENTINCK STREET, KOLKATA - 700 001  
PHONE : (O) 2248 5664, 2243 9601, E-MAIL : info@decillion.co.in WEBSITE : www.decillion.co.in

CIN : L65999WB1995PLC067887

Date: 14/07/2020

The Secretary  
Listing Department  
BSE Limited,  
Phiroze Jee Jee Bhoy Towers,  
Dalal Street,  
Mumbai - 400001

The Secretary  
The Calcutta Stock Exchange Limited  
7, Lyons Range,  
Kolkata - 700001  
West Bengal

Scrip Code: 539190

Sub: Submission of Annual Secretarial Compliance Report for the Year Ended 31<sup>st</sup> March, 2020

Dear Sir/Madam,

With reference to the abovementioned subject, please find enclosed herewith the Annual Secretarial Compliance Report of Decillion Finance Limited for the year ended March 31, 2020 as certified by CS Rajesh Ghorawat, Practicing Company Secretary.

This Report has been issued in terms of SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 8, 2019.

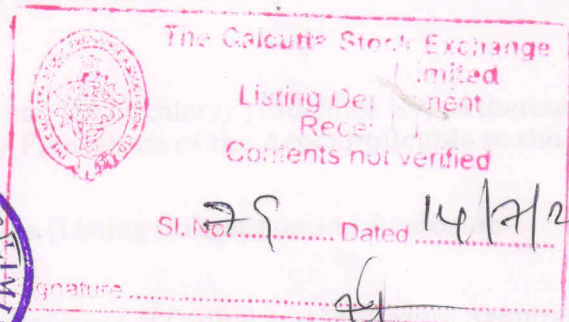
This is for your information and records.

Thanking you,

Yours faithfully,

For Decillion Finance Limited

*Jitendra Kumar Goyal*  
Jitendra Kumar Goyal  
Managing Director  
(DIN: 00468744)



Encl: As above

Secretarial Compliance Report of **DECILLION FINANCE LIMITED**  
for the year ended March 31, 2020

To,  
Decillion Finance Limited  
"Jajodia Tower", 4<sup>th</sup> Floor,  
Room No. D-8, Bentinck Street,  
Kolkata - 700001  
West Bengal

I, Rajesh Ghorawat have examined:

- a) all the documents and records made available to us and explanation provided by **Decillion Finance Limited** ("the listed entity"),
- b) the filings/ submissions made by the listed entity to the stock exchanges,
- c) Website of the listed entity,
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended March 31, 2020 ("Review Period") in respect of compliance with the provisions of:

- a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, **to the extent of Acts/ Provisions of the Acts applicable to the company** include: -

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;



# RAJESH GHORAWAT

PRACTISING COMPANY SECRETARY

68, R. K. CHATTERJEE ROAD,  
KASBA BAKULTALA, 3<sup>RD</sup>FLOOR,  
KOLKATA-700042  
MOBILE- 9836029000  
Email Id-rgadvisory18@gmail.com

- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- i) Securities and Exchange Board of India (Depositories and Participant) Regulations, 2018;
- j) Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulation, 1993

and circulars/ guidelines issued thereunder;

and based on the above examination and considering the relaxations granted by the Ministry of Corporate Affairs and Securities and Exchange Board of India warranted due to the spread of the COVID-19 pandemic, we hereby report that, during the Review Period:

- a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below: -

Sn. No	Compliance Requirement (Regulations/Circulars /Guidelines including specific clause)	Deviation	Observations/ Remarks of the Practicing Company Secretary
1.	Submission of Financial Results for the Quarter ended June, 2019 under Regulation 33 of SEBI (LODR) Regulation, 2015	Non-Submission of Financial Results for the Quarter Ended June, 2019	The Company Has filed the Financial Results Vide Letter Dated 14.09.2019  As per the SEBI Circular CIR/CFD/FAC/62/2016 dated July 05, 2016, reporting



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PRACTISING COMPANY SECRETARY

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			of financial results in compliance with IND-AS had been given additional time of 30 days from applicable date to the NBFC Companies. Therefore, the Last date for Submission of Quarterly Financial results for June 30, 2019 was 14 <sup>th</sup> September, 2019 instead of 14 <sup>th</sup> August, 2019.
2.	LIST/COMP/01/2018-19 dated April 03, 2018	Few Uploads Were not in Machine Readable Format	Company Took Necessary Action
3.	Discrepancies in Reconciliation of Share Capital Audit Report of the Company for the Quarter ended June 2019.	RTA SEBI registration number in XBRL filing is not mentioned require to rectify & mention correct RTA SEBI registration number in XBRL.	Company Took Necessary Action and rectified the Discrepancies in the Report on 22 <sup>nd</sup> July, 2019
4.	Discrepancies in Standalone Financial Results for the quarter ended June 2019	XBRL filing of Financial Result is not as per NBFC (Division III) Format – Submit revised XBRL filing of Result in proper format.	Company Took Necessary Action and uploaded the revised Financial Results in appropriate format on 14.09.2019

- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from our examination of those records.
- c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:



# RAJESH GHORAWAT

## PRACTISING COMPANY SECRETARY

68, R. K. CHATTERJEE ROAD,  
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Sn. No.	Action Taken By	Details of Violations	Details of action taken E.g. Fines, Warning Letter, Debarment, etc.	Observations/ Remarks of the Practicing Company Secretary, if any.
1.	BSE	Non-Submission of Financial Results for the Quarter ended June, 2019	Penalty Imposed vide letter No. LIST/COMP/539190/Reg-33-June-19/164/2019-20 dated August 30, 2019	Fine Waived off Vide Letter No. LIST/COMP/539190/SOP-16102019/234/2019-20 Dated 27/11/2019.

- d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the Previous Reports	Observation made in the Secretarial Compliance report for the year ended	Actions taken by the Listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1.	Few Uploads were not in machine readable Format	2019	Company took necessary action	
2.	Membership Number of the Compliance Officer was not mentioned in Regulation 55A SEBI (DP) Regulations, 1996 for Quarter Ended September, 2018 as per BSE Email dated 19.10.2018	2019		
3.	Regulation 30 and SEBI circular dated September 09, 2015 titled Continuous	2019	Company Rectified and uploaded	



**RAJESH GHORAWAT**  
**PRACTISING COMPANY SECRETARY**

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	Disclosure Requirements for Listed Entities (E-Mail 14.06.2018) - Brief Profile of Director appointed not provided.		the profile as required in E-Mail.	
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Date:13.07.2020  
Place: Kolkata



*Bhoreach*

**CS Rajesh Ghorawat**  
Practicing Company Secretary  
FCS No: 7226  
COP No: 20897  
UDIN: F007226B000446654