

April 05, 2019

Listing Compliance & Legal Regulatory

BSE Limited

P.J. Towers, Dalal Street Mumbai – 400 001

BSE Scrip Code: 532648

Listing Compliance

National Stock Exchange of India Limited

Exchange Plaza

Plot no. C/1, G Block,

Bandra - Kurla Complex

Bandra (E)

Mumbai - 400 051.

NSE Symbol: YESBANK

Dear Sirs,

SUB: <u>Compliance Certificate from Registrar and Share Transfer Agent under Regulation 7(3)</u> of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the

half year ended March 31, 2019

Pursuant to Regulation 7(3) of Securities and Exchange Board of India (Listing Obligations and

Disclosure Requirements) Regulations, 2015, we submit herewith the Compliance Certificate

received from the Bank's Registrar and Share Transfer Agent- Karvy Computershare Private

Limited for the half year ended March 31, 2019.

Kindly take the same on record.

Thanking you,

Yours faithfully,

For YES BANK LIMITED

Shivanand R Shettigar Group Company Secretary

Encl: a.a



COMPLIANCE CERTIFICATE

THIS IS TO CERTIFY that, KARVY FINTECH PRIVATE LIMITED (KFPL) is providing Registry and Share Transfer services to YES BANK LIMITED and having the valid agreement in the form of Memorandum of Understanding (MOU).

FURTHER CERTIFIED that KFPL:

- a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide SEBI Registration No. INR000000221 issued by Securities Exchange Board of India (SEBI).
- b. Handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical in respect of the Company as per the regulations.
- c. Maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year.

THIS CERTIFICATE is issued in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.

For KARVY FINTECH PRIVATE LIMITED

Authorised Signatory

Place : Hyderabad Date :02/04/2019

Shivanand R. Shettigar Company Secretary

Karvy Fintech Private Limited (KFPL)

Conner's known as KCPL Advisory Services Povate Limited)