

ADVIK CAPITAL LIMITED

CIN: L65100DL1985PLC022505

Reg. Office: G-3 , VIKAS HOUSE , 34/1 , EAST PUNJABI BAGH Punjabi Bagh Sec - III New Delhi
West Delhi DL 110026 IN

e-mail: advikcapital@gmail.com | Tel:+91-9289119980

www.advikgroup.com

July 28, 2023

Listing Compliance Department

BSE Limited.

Phirozee Jeejeebhoy

Towers, Dalal Street, Fort,

Mumbai - 400 001

Scrip Code: 539773

Sub: Disclosure under Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir/ Ma'am,

In terms the Regulations 30 and 30A of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, as recently amended by SEBI Circular bearing No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023, a listed entity is required to mandatorily disclose material information pertaining to "action(s) taken or orders passed by any regulatory, statutory, enforcement authority or judicial body against the listed entity or its directors, key managerial personnel, senior management, promoter or subsidiary, in relation to the listed entity"

In due compliance, we wish to inform you that SEBI had conducted an investigation in respect of certain entities in the matter of trading activities in the scrip of "Vikas Ecotech Limited", a company listed on Bombay Stock Exchange ("BSE") and National Stock Exchange ("NSE") The said investigation was conducted to ascertain whether there was any violation of the provisions of SEBI (Prohibition of Insider Trading) Regulation, 2015 (hereinafter referred as "PIT Regulations") and SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011(hereinafter referred as "SAST Regulations") by certain entities for the period from March 01, 2021 to October 12, 2021 followed by Show Cause Notice to Company's promoter Mr. Vikas Garg and his certain close relatives, and subsequent proceedings. Though the non-compliances were inadvertent, technical in nature and no unlawful gain was earned, penalties under Section 15A (b) of the SEBI Act, 1992 was imposed on company's promoter Mr. Vikas Garg and his certain relatives/entities, vide Adjudication Order No. Order/SS/RK/2023-24/28342-28349 dated 27th July 2023, as detailed herein below.

Name	Category	Penalty Amount
Vikas Garg	Promoter, Director	2,00,000
Vikas Garg HUF	Promoter's entity	2,00,000
Vivek Garg	Promoter's relative	2,00,000
Usha Garg	Promoter's relative	2,00,000

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Jai Kumar Garg	Promoter's relative	2,00,000
Nand Kishore Garg HUF	Promoter's relative entity	1,00,000
Jai Kumar Garg and Sons HUF	Promoter's relative entity	2,00,000
Vikas Lifecare Limited	Promoter's entity	2,00,000

We have been further informed by the promoters of the Company that though they are committed to be compliant with the order, yet their counsels are evaluating the order and available legal options.

We request you to kindly take the above information on record.

Thanking you,
Yours Faithfully,

For Advik Capital Limited

DEEPIKA
MISHRA

Digitally
signed by
DEEPIKA
MISHRA

Deepika Mishra
Company Secretary and Compliance Officer