

ALPS/500/SE10/2019-2020

October 1, 2019

<p>The General Manager Market Operations Deptt., National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot No. C/1, G-Block, Bandra-Kurla Complex Bandra (E), Mumbai - 400 051 Ph - 91-22-2659 8101 - 8114 Fax : 022 - 26598237/38/ 2659 8100 Email - cmlist@nse.co.in</p>	<p>Ms. Erika D'Sa, (Relationship Manager) Corporate Relationship Deptt., Bombay Stock Exchange Limited, 1ST Floor New Trading Ring, Rotunda Building, P. J. Towers, Dalal Street, Fort, Mumbai-400 001. Ph - 022-22728995 Fax: 022 - 22723121 / 3719/2037/2039/2041/2061 Email - intern.erika@bseindia.com , corp.relations@bseindia.com</p>
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SUB: SUBMISSION OF DISCLOSURE OF REASONS FOR ENCUMBRANCE OF PROMOTER SHAREHOLDING RECEIVED FROM THE PROMOTERS OF THE COMPANY IN TERMS OF SEBI CIRCULAR NO. SEBI/HO/CFD/DCR1/CIR/P/2019/90 DATED AUGUST 07, 2019

Dear Sir,

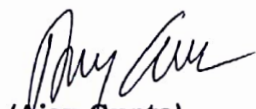
With reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019, we are submitting herewith the disclosure of reasons for encumbrance of promoter shareholding received from respective promoters of the company on October 1, 2019 in terms of the said circular.

This is for your kind information and record please.

Thanking you,

Yours faithfully,

For **ALPS INDUSTRIES LIMITED**



(Ajay Gupta)
Company Secretary
& General Manager - Legal

Encl. a/a.





October 1, 2019

<p>The General Manager Market Operations Deptt., National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot No. C/1, G-Block, Bandra-Kurla Complex Bandra (E), Mumbai - 400 051 Ph - 91-22-2659 8101 - 8114 Fax : 022 - 26598237/38/ 2659 8100 Email - cmlist@nse.co.in</p>	<p>Ms. Erika D'Sa, (Relationship Manager) Corporate Relationship Deptt., Bombay Stock Exchange Limited, 1ST Floor New Trading Ring, Rotunda Building, P. J. Towers, Dalal Street, Fort, Mumbai-400 001. Ph - 022-22728995 Fax: 022 - 22723121 / 3719/2037/2039/2041/2061 Email - intern.erika@bseindia.com, corp.relations@bseindia.com</p>
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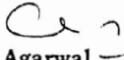
Dear Sir,

This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter, as required I am submitting herewith the said disclosure being under the promoter group.

This is for your kind information and record please.

Thanking you,

Yours faithfully,


Sandeep Agarwal
(Promoter)

CC to:

To

Company Secretary
ALPS INDUSTRIES LIMITED
57/2, Site-IV, Industrial Area, Sahibabad,
Ghaziabad-201010 (U.P)

Encl. a/a.

Disclosure of reasons for encumbrance

(In addition to Annexure - I prescribed by way of circular dated August 05, 2015)

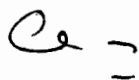
Name of listed company	ALPS INDUSTRIES LIMITED
Name of the recognised stock exchanges where the shares of the company are listed	1. National Stock Exchange of India Limited(NSE) 2. Bombay Stock Exchange Limited(BSE)
Name of the promoter(s) / PACs whose shares have been encumbered	Sandeep Agarwal
Total promoter shareholding in the listed company	No. of shares -651510 % of total share capital -1.67%
Encumbered shares as a % of promoter shareholding	3.793%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

Details of all the existing events/ agreements pertaining to encumbrance

	Date of creation of encumbrance: 26.06.2010	
Type of encumbrance (pledge, lien, negative lien, non-disposal undertaking etc. or any other covenant, transaction, condition or arrangement in the nature of encumbrance)	Pledge	
No. and % of shares encumbered	No. of shares: 401510 % of total promoter shareholding :3.793%	
Specific details about the encumbrance	Name of the entity in whose favour shares encumbered (X)	State Bank of India being Lead Banker
	Whether the entity X is a scheduled commercial bank, public financial institution, NBFC or housing finance company? If No, provide the nature of the business of the entity.	YES, State Bank of India is a Scheduled Commercial Bank
	Names of all other entities in the agreement	Listed company and its group companies (if any) - Not Applicable

C 2

		Other entities (if any) – Not Applicable
	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument, including credit rating	NO
Security Cover / Asset Cover	Value of _____ on the date of event / shares agreement (A)	Rs. 0.64 /- Crore
	Amount involved (against which shares have been encumbered) (B)	Rs. 989.17/- crore
	Ratio of A / B	0.00065
End use of money	<p>Borrowed amount to be utilized for what purpose –</p> <p>(e) Personal use by promoters and PACs</p> <p>(f) For the benefit of listed company</p> <p>Provide details including amount, purpose of raising money by listed company, schedule for utilization of amount, repayment schedule etc.</p> <p>(a) Any other reason (please specify)</p>	<p>NO</p> <p>Yes</p> <p>The loan has been taken for the business purpose of the company. The pledge of shares are in terms of the CDR scheme sanctioned vide Letter of Approval (LOA) dated 11.09.2009 of the outstanding as on the cut off dated i.e. 31.01.2009.</p> <p>Due to withdrawal of CDR/Re-work of CDR on 16.11.2012, the company is under financial overall restructuring.</p>



Signature of Authorised Signatory:

Place: Ghaziabad

Date: October 1, 2019

Details of Promoter shareholding along with % of total shares encumbered as on date

S.No.	Name of Promoter	PAN	Total nos. shares held	% of total share capital	Number of Shares pledged or otherwise encumbered	% of shares encumbered
1	K. K. Agarwal (Huf)	AABHK7991K	117000	0.30	0	0
2	Sandeep Agarwal(Huf)	AADHS9672P	94380	0.24	44380	0.419
3	Nidhi Agarwal	AAKPA2949J	216100	0.55	16100	0.152
4	K. K. Agarwal	AAPPA5044B	1147460	2.93	647460	6.116
5	Sandeep Agarwal	ACEPA4737G	651510	1.67	401510	3.793
6	Sanyog Agarwal	ACGPA7178K	248650	0.64	248650	2.349
7	Rohan Agarwal	AIUPA3170F	430128	1.1	230128	2.174
8	Sunandini Agarwal	AIUPA3196R	800	0.00*	800	0.008
9	Coronation Spinning India Pvt Ltd	AAACC8311R	900000	2.3	300000	2.83
10	Careen Fintec (P) Ltd	AAACC8312N	295000	0.75	45000	0.43
11	Padam Precision Dies And Components Pvt Ltd	AAACP4217H	1688200	4.32	188200	1.78
12	Prefect Finmen Services (P) Ltd	AAACP8958R	412800	1.06	262800	2.48
13	Peek Finvest (P) Ltd	AAACP8959Q	342082	0.87	342082	3.23
14	Roseat Finvest (P) Ltd	AAACR7175G	382000	0.98	382000	3.61
15	Peek Texfab Ltd	AABCA6857F	100120	0.26	120	0.00
16	Pacific Texmark Private Limited	AABCA7511N	2835872	7.25	335872	3.17
17	Alps Processers Private Limited	AABCA7512R	250116	0.64	250116	2.36
18	Supreme Finvest (P) Ltd	AACCS0471N	290000	0.74	140000	1.32
19	Saurabh Floriculture (P) Ltd	AACCS6111R	183800	0.47	183800	1.74
TOTAL			10586018	27.06	4019018	37.97

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October 1, 2019

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PROMOTER IN TERMS OF SEBI CIRCULAR NO.
SEBI/HO/CFD/DCR1/CIR/P/2019/90 DATED AUGUST 07, 2019**

Dear Sir,

This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter, as required I, Karta of Sandeep Agarwal & Sons, am submitting herewith the said disclosure being under the promoter group.

This is for your kind information and record please.

Thanking you,

Yours faithfully,
For **Sandeep Agarwal & Sons (Promoter)**

Cen
Sandeep Agarwal
(Karta)

CC to:
To

Company Secretary
ALPS INDUSTRIES LIMITED
57/2, Site-IV, Industrial Area, Sahibabad,
Ghaziabad-201010 (U.P)

Encl. a/a.

Disclosure of reasons for encumbrance

(In addition to Annexure - I prescribed by way of circular dated August 05, 2015)

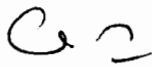
Name of listed company	ALPS INDUSTRIES LIMITED
Name of the recognised stock exchanges where the shares of the company are listed	1. National Stock Exchange of India Limited(NSE) 2. Bombay Stock Exchange Limited(BSE)
Name of the promoter(s) / PACs whose shares have been encumbered	Sandeep Agarwal & Sons (HUF)
Total promoter shareholding in the listed company	No. of shares -94380 % of total share capital -0.24%
Encumbered shares as a % of promoter shareholding	0.419%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

Details of all the existing events/ agreements pertaining to encumbrance

	Date of creation of encumbrance: 26.06.2010	
Type of encumbrance (pledge, lien, negative lien, non-disposal undertaking etc. or any other covenant, transaction, condition or arrangement in the nature of encumbrance)	Pledge	
No. and % of shares encumbered	No. of shares: 44380 % of total promoter shareholding :0.419%	
Specific details about the encumbrance	Name of the entity in whose favour shares encumbered (X)	State Bank of India being Lead Banker
	Whether the entity X is a scheduled commercial bank, public financial institution, NBFC or housing finance company? If No, provide the nature of the business of the entity.	YES, State Bank of India is a Scheduled Commercial Bank
	Names of all other entities in the agreement	Listed company and its group companies (if any) - Not Applicable

C 2

		Other entities (if any) – Not Applicable
	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument, including credit rating	NO
Security Cover / Asset Cover	Value of _____ on the date of event / shares agreement (A)	Rs. 0.64 /- Crore
	Amount involved (against which shares have been encumbered) (B)	Rs. 989.17/- crore
	Ratio of A / B	0.00065
End use of money	<p>Borrowed amount to be utilized for what purpose –</p> <p>(m) Personal use by promoters and PACs</p> <p>(n) For the benefit of listed company</p> <p>Provide details including amount, purpose of raising money by listed company, schedule for utilization of amount, repayment schedule etc.</p> <p>(a) Any other reason (please specify)</p>	<p>NO</p> <p>Yes</p> <p>The loan has been taken for the business purpose of the company. The pledge of shares are in terms of the CDR scheme sanctioned vide Letter of Approval (LOA) dated 11.09.2009 of the outstanding as on the cut off dated i.e. 31.01.2009.</p> <p>Due to withdrawal of CDR/Re-work of CDR on 16.11.2012, the company is under financial overall restructuring.</p>



Signature of Authorised Signatory:

Place: Ghaziabad

Date: October 1, 2019

Details of Promoter shareholding along with % of total shares encumbered as on date

S.No.	Name of Promoter	PAN	Total nos. shares held	% of total share capital	Number of Shares pledged or otherwise encumbered	% of shares encumbered
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2	Sandeep Agarwal(Huf)	AADHS9672P	94380	0.24	44380	0.419
3	Nidhi Agarwal	AAKPA2949J	216100	0.55	16100	0.152
4	K. K. Agarwal	AAPPA5044B	1147460	2.93	647460	6.116
5	Sandeep Agarwal	ACEPA4737G	651510	1.67	401510	3.793
6	Sanyog Agarwal	ACGPA7178K	248650	0.64	248650	2.349
7	Rohan Agarwal	AIUPA3170F	430128	1.1	230128	2.174
8	Sunandini Agarwal	AIUPA3196R	800	0.00*	800	0.008
9	Coronation Spinning India Pvt Ltd	AAACC8311R	900000	2.3	300000	2.83
10	Careen Fintec (P) Ltd	AAACC8312N	295000	0.75	45000	0.43
11	Padam Precision Dies And Components Pvt Ltd	AAACP4217H	1688200	4.32	188200	1.78
12	Prefect Finmen Services (P) Ltd	AAACP8958R	412800	1.06	262800	2.48
13	Peek Finvest (P) Ltd	AAACP8959Q	342082	0.87	342082	3.23
14	Roseat Finvest (P) Ltd	AAACR7175G	382000	0.98	382000	3.61
15	Peek Texfab Ltd	AABCA6857F	100120	0.26	120	0.00
16	Pacific Texmark Private Limited	AABCA7511N	2835872	7.25	335872	3.17
17	Alps Processers Private Limited	AABCA7512R	250116	0.64	250116	2.36
18	Supreme Finvest (P) Ltd	AACCS0471N	290000	0.74	140000	1.32
19	Saurabh Floriculture (P) Ltd	AACCS6111R	183800	0.47	183800	1.74
TOTAL			10586018	27.06	4019018	37.97

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**SUB: SUBMISSION OF DISCLOSURE OF REASONS FOR ENCUMBRANCE BY
PROMOTER IN TERMS OF SEBI CIRCULAR NO.
SEBI/HO/CFD/DCR1/CIR/P/2019/90 DATED AUGUST 07, 2019**

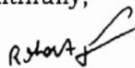
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This is for your kind information and record please.

Thanking you,

Yours faithfully,


Rohan Agarwal
(Promoter)

CC to:

To

Company Secretary
ALPS INDUSTRIES LIMITED
57/2, Site-IV, Industrial Area, Sahibabad,
Ghaziabad-201010 (U.P)

Encl. a/a.

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(In addition to Annexure - I prescribed by way of circular dated August 05, 2015)


Name of listed company	ALPS INDUSTRIES LIMITED
Name of the recognised stock exchanges where the shares of the company are listed	1. National Stock Exchange of India Limited(NSE) 2. Bombay Stock Exchange Limited(BSE)
Name of the promoter(s) / PACs whose shares have been encumbered	Rohan Agarwal
Total promoter shareholding in the listed company	No. of shares -430128 % of total share capital -1.1%
Encumbered shares as a % of promoter shareholding	2.174%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

Details of all the existing events/ agreements pertaining to encumbrance

	Date of creation of encumbrance: 26.06.2010	
Type of encumbrance (pledge, lien, negative lien, non-disposal undertaking etc. or any other covenant, transaction, condition or arrangement in the nature of encumbrance)	Pledge	
No. and % of shares encumbered	No. of shares: 230128 % of total promoter shareholding :2.174%	
Specific details about the encumbrance	Name of the entity in whose favour shares encumbered (X)	State Bank of India being Lead Banker
	Whether the entity X is a scheduled commercial bank, public financial institution, NBFC or housing finance company? If No, provide the nature of the business of the entity.	YES, State Bank of India is a Scheduled Commercial Bank
	Names of all other entities in the agreement	Listed company and its group companies (if any) - Not Applicable

R. Sharma

		Other entities (if any) – Not Applicable
	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument, including credit rating	NO
Security Cover / Asset Cover	Value of _____ on the date of event / shares agreement (A)	Rs. 0.64 /- Crore
	Amount involved (against which shares have been encumbered) (B)	Rs. 989.17/- crore
	Ratio of A / B	0.00065
End use of money	<p>Borrowed amount to be utilized for what purpose –</p> <p>(i) Personal use by promoters and PACs</p> <p>(j) For the benefit of listed company</p> <p>Provide details including amount, purpose of raising money by listed company, schedule for utilization of amount, repayment schedule etc.</p> <p>(a) Any other reason (please specify)</p>	<p>NO</p> <p>Yes</p> <p>The loan has been taken for the business purpose of the company. The pledge of shares are in terms of the CDR scheme sanctioned vide Letter of Approval (LOA) dated 11.09.2009 of the outstanding as on the cut off dated i.e. 31.01.2009.</p> <p>Due to withdrawal of CDR/Re-work of CDR on 16.11.2012, the company is under financial overall restructuring.</p>

Signature of Authorised Signatory: 

R. S. A. J.

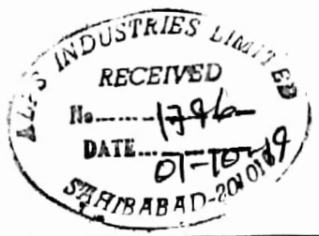
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R. Singh



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Thanking you,

Yours faithfully,

Sanyog Agarwal

**Sanyog Agarwal
(Promoter)**

CC to:

To

Company Secretary
ALPS INDUSTRIES LIMITED
57/2, Site-IV, Industrial Area, Sahibabad,
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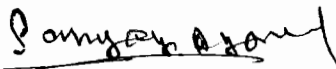
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Name of the recognised stock exchanges where the shares of the company are listed	1. National Stock Exchange of India Limited(NSE) 2. Bombay Stock Exchange Limited(BSE)
Name of the promoter(s) / PACs whose shares have been encumbered	Sanyog Agarwal
Total promoter shareholding in the listed company	No. of shares -248650 % of total share capital -0.64%
Encumbered shares as a % of promoter shareholding	2.349%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

Details of all the existing events/ agreements pertaining to encumbrance

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Type of encumbrance (pledge, lien, negative lien, non-disposal undertaking etc. or any other covenant, transaction, condition or arrangement in the nature of encumbrance)	Pledge	
No. and % of shares encumbered	No. of shares: 248650 % of total promoter shareholding :2.349%	
Specific details about the encumbrance	Name of the entity in whose favour shares encumbered (X)	State Bank of India being Lead Banker
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Sanyog Agarwal

		Other entities (if any) – Not Applicable
	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument, including credit rating	NO
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	Amount involved (against which shares have been encumbered) (B)	Rs. 989.17/- crore
	Ratio of A / B	0.00065
End use of money	<p>Borrowed amount to be utilized for what purpose –</p> <p>(g) Personal use by promoters and PACs</p> <p>(h) For the benefit of listed company</p> <p>Provide details including amount, purpose of raising money by listed company, schedule for utilization of amount, repayment schedule etc.</p> <p>(a) Any other reason (please specify)</p>	<p>NO</p> <p>Yes</p> <p>The loan has been taken for the business purpose of the company. The pledge of shares are in terms of the CDR scheme sanctioned vide Letter of Approval (LOA) dated 11.09.2009 of the outstanding as on the cut off dated i.e. 31.01.2009.</p> <p>Due to withdrawal of CDR/Re-work of CDR on 16.11.2012, the company is under financial overall restructuring.</p>



Signature of Authorised Signatory:

Place: Ghaziabad

Date: October 1, 2019

Details of Promoter shareholding along with % of total shares encumbered as on date

S.No.	Name of Promoter	PAN	Total nos. shares held	% of total share capital	Number of Shares pledged or otherwise encumbered	% of shares encumbered
1	K. K. Agarwal (Huf)	AABHK7991K	117000	0.30	0	0
2	Sandeep Agarwal(Huf)	AADHS9672P	94380	0.24	44380	0.419
3	Nidhi Agarwal	AAKPA2949J	216100	0.55	16100	0.152
4	K. K. Agarwal	AAPPA5044B	1147460	2.93	647460	6.116
5	Sandeep Agarwal	ACEPA4737G	651510	1.67	401510	3.793
6	Sanyog Agarwal	ACGPA7178K	248650	0.64	248650	2.349
7	Rohan Agarwal	AIUPA3170F	430128	1.1	230128	2.174
8	Sunandini Agarwal	AIUPA3196R	800	0.00*	800	0.008
9	Coronation Spinning India Pvt Ltd	AAACC8311R	900000	2.3	300000	2.83
10	Careen Fintec (P) Ltd	AAACC8312N	295000	0.75	45000	0.43
11	Padam Precision Dies And Components Pvt Ltd	AAACP4217H	1688200	4.32	188200	1.78
12	Prefect Finmen Services (P) Ltd	AAACP8958R	412800	1.06	262800	2.48
13	Peek Finvest (P) Ltd	AAACP8959Q	342082	0.87	342082	3.23
14	Roseat Finvest (P) Ltd	AAACR7175G	382000	0.98	382000	3.61
15	Peek Texfab Ltd	AABCA6857F	100120	0.26	120	0.00
16	Pacific Texmark Private Limited	AABCA7511N	2835872	7.25	335872	3.17
17	Alps Processers Private Limited	AABCA7512R	250116	0.64	250116	2.36
18	Supreme Finvest (P) Ltd	AACCS0471N	290000	0.74	140000	1.32
19	Saurabh Floriculture (P) Ltd	AACCS6111R	183800	0.47	183800	1.74
TOTAL			10586018	27.06	4019018	37.97

Sanyog Agarwal



October 1, 2019

<p>The General Manager Market Operations Deptt., National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot No. C/1, G-Block, Bandra-Kurla Complex Bandra (E), Mumbai - 400 051 Ph - 91-22-2659 8101 - 8114 Fax : 022 - 26598237/38/ 2659 8100 Email - cmlist@nse.co.in</p>	<p>Ms. Erika D'Sa, (Relationship Manager) Corporate Relationship Deptt., Bombay Stock Exchange Limited, 1ST Floor New Trading Ring, Rotunda Building, P. J. Towers, Dalal Street, Fort, Mumbai-400 001. Ph - 022-22728995 Fax: 022 - 22723121 / 3719/2037/2039/2041/2061 Email - intern.erika@bseindia.com, corp.relations@bseindia.com</p>
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SUB: SUBMISSION OF DISCLOSURE OF REASONS FOR ENCUMBRANCE BY PROMOTER IN TERMS OF SEBI CIRCULAR NO. SEBI/HO/CFD/DCR1/CIR/P/2019/90 DATED AUGUST 07, 2019

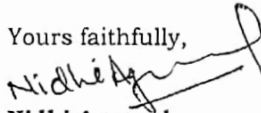
Dear Sir,

This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter, as required I am submitting herewith the said disclosure being under the promoter group.

This is for your kind information and record please.

Thanking you,

Yours faithfully,


Nidhi Agarwal
(Promoter)

CC to:

To

Company Secretary
ALPS INDUSTRIES LIMITED
57/2, Site-IV, Industrial Area, Sahibabad,
Ghaziabad-201010 (U.P)

Encl. a/a.

Disclosure of reasons for encumbrance

(In addition to Annexure - I prescribed by way of circular dated August 05, 2015)

Name of listed company	ALPS INDUSTRIES LIMITED
Name of the recognised stock exchanges where the shares of the company are listed	1. National Stock Exchange of India Limited(NSE) 2. Bombay Stock Exchange Limited(BSE)
Name of the promoter(s) / PACs whose shares have been encumbered	Nidhi Agarwal
Total promoter shareholding in the listed company	No. of shares -216100 % of total share capital -0.55%
Encumbered shares as a % of promoter shareholding	0.152%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

Details of all the existing events/ agreements pertaining to encumbrance

	Date of creation of encumbrance: 26.06.2010	
Type of encumbrance (pledge, lien, negative lien, non-disposal undertaking etc. or any other covenant, transaction, condition or arrangement in the nature of encumbrance)	Pledge	
No. and % of shares encumbered	No. of shares: 16100 % of total promoter shareholding :0.152%	
Specific details about the encumbrance	Name of the entity in whose favour shares encumbered (X)	State Bank of India being Lead Banker
	Whether the entity X is a scheduled commercial bank, public financial institution, NBFC or housing finance company? If No, provide the nature of the business of the entity.	YES, State Bank of India is a Scheduled Commercial Bank
	Names of all other entities in the agreement	Listed company and its group companies (if any) - Not Applicable


 Nidhi Agarwal

		Other entities (if any) – Not Applicable
	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument, including credit rating	NO
Security Cover / Asset Cover	Value of on the date of event / shares agreement (A)	Rs. 0.64 /- Crore
	Amount involved (against which shares have been encumbered) (B)	Rs. 989.17/- crore
	Ratio of A / B	0.00065
End use of money	<p>Borrowed amount to be utilized for what purpose –</p> <p>(c) Personal use by promoters and PACs</p> <p>(d) For the benefit of listed company</p> <p>Provide details including amount, purpose of raising money by listed company, schedule for utilization of amount, repayment schedule etc.</p> <p>(a) Any other reason (please specify)</p>	<p>NO</p> <p>Yes</p> <p>The loan has been taken for the business purpose of the company. The pledge of shares are in terms of the CDR scheme sanctioned vide Letter of Approval (LOA) dated 11.09.2009 of the outstanding as on the cut off dated i.e. 31.01.2009.</p> <p>Due to withdrawal of CDR/Re-work of CDR on 16.11.2012, the company is under financial overall restructuring.</p>

Signature of Authorised Signatory:

Place: Ghaziabad

Date: October 1, 2019

Midhi Ag

Details of Promoter shareholding along with % of total shares encumbered as on date

S.No.	Name of Promoter	PAN	Total nos. shares held	% of total share capital	Number of Shares pledged or otherwise encumbered	% of shares encumbered
1	K. K. Agarwal (Huf)	AABHK7991K	117000	0.30	0	0
2	Sandeep Agarwal(Huf)	AADHS9672P	94380	0.24	44380	0.419
3	Nidhi Agarwal	AAKPA2949J	216100	0.55	16100	0.152
4	K. K. Agarwal	AAPPA5044B	1147460	2.93	647460	6.116
5	Sandeep Agarwal	ACEPA4737G	651510	1.67	401510	3.793
6	Sanyog Agarwal	ACGPA7178K	248650	0.64	248650	2.349
7	Rohan Agarwal	AIUPA3170F	430128	1.1	230128	2.174
8	Sunandini Agarwal	AIUPA3196R	800	0.00*	800	0.008
9	Coronation Spinning India Pvt Ltd	AAACC8311R	900000	2.3	300000	2.83
10	Careen Fintec (P) Ltd	AAACC8312N	295000	0.75	45000	0.43
11	Padam Precision Dies And Components Pvt Ltd	AAACP4217H	1688200	4.32	188200	1.78
12	Prefect Finmen Services (P) Ltd	AAACP8958R	412800	1.06	262800	2.48
13	Peek Finvest (P) Ltd	AAACP8959Q	342082	0.87	342082	3.23
14	Roseat Finvest (P) Ltd	AAACR7175G	382000	0.98	382000	3.61
15	Peek Texfab Ltd	AABCA6857F	100120	0.26	120	0.00
16	Pacific Texmark Private Limited	AABCA7511N	2835872	7.25	335872	3.17
17	Alps Processers Private Limited	AABCA7512R	250116	0.64	250116	2.36
18	Supreme Finvest (P) Ltd	AACCS0471N	290000	0.74	140000	1.32
19	Saurabh Floriculture (P) Ltd	AACCS6111R	183800	0.47	183800	1.74
TOTAL			10586018	27.06	4019018	37.97

Nidhi Agarwal



October 1, 2019

<p>The General Manager Market Operations Deptt., National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot No. C/1, G-Block, Bandra-Kurla Complex Bandra (E), Mumbai - 400 051 Ph - 91-22-2659 8101 - 8114 Fax : 022 - 26598237/38/ 2659 8100 Email - cmlist@nse.co.in</p>	<p>Ms. Erika D'Sa, (Relationship Manager) Corporate Relationship Deptt., Bombay Stock Exchange Limited, 1ST Floor New Trading Ring, Rotunda Building, P. J. Towers, Dalal Street, Fort, Mumbai-400 001. Ph - 022-22728995 Fax: 022 - 22723121 / 3719/2037/2039/2041/2061 Email - intern.erika@bseindia.com, corp.relations@bseindia.com</p>
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SUB: SUBMISSION OF DISCLOSURE OF REASONS FOR ENCUMBRANCE BY PROMOTER IN TERMS OF SEBI CIRCULAR NO. SEBI/HO/CFD/DCR1/CIR/P/2019/90 DATED AUGUST 07, 2019


Dear Sir,

This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter. As required I am submitting herewith the said disclosure being under the promoter group.

This is for your kind information and record please.

Thanking you,

Yours faithfully,


K.K Agarwal
(Promoter)

CC to:

✓
To

Company Secretary
ALPS INDUSTRIES LIMITED
57/2, Site-IV, Industrial Area, Sahibabad,
Ghaziabad-201010 (U.P)

Encl. a/a.

Disclosure of reasons for encumbrance

(In addition to Annexure - I prescribed by way of circular dated August 05, 2015)

Name of listed company	ALPS INDUSTRIES LIMITED
Name of the recognised stock exchanges where the shares of the company are listed	1. National Stock Exchange of India Limited(NSE) 2. Bombay Stock Exchange Limited(BSE)
Name of the promoter(s) / PACs whose shares have been encumbered	K.K. Agarwal
Total promoter shareholding in the listed company	No. of shares -1147460 % of total share capital -2.93%
Encumbered shares as a % of promoter shareholding	6.11%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

Details of all the existing events/ agreements pertaining to encumbrance

	Date of creation of encumbrance: 26.06.2010	
Type of encumbrance (pledge, lien, negative lien, non-disposal undertaking etc. or any other covenant, transaction, condition or arrangement in the nature of encumbrance)	Pledge	
No. and % of shares encumbered	No. of shares: 647460 % of total promoter shareholding :6.11%	
Specific details about the encumbrance	Name of the entity in whose favour shares encumbered (X)	State Bank of India being Lead Banker
	Whether the entity X is a scheduled commercial bank, public financial institution, NBFC or housing finance company? If No, provide the nature of the business of the entity.	YES, State Bank of India is a Scheduled Commercial Bank
	Names of all other entities in the agreement	Listed company and its group companies (if any) - Not Applicable

K.K. Agarwal

		Other entities (if any) – Not Applicable
	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument, including credit rating	NO
Security Cover / Asset Cover	Value of on the date of event / shares agreement (A)	Rs. 0.64 /- Crore
	Amount involved (against which shares have been encumbered) (B)	Rs. 989.17/- crore
	Ratio of A / B	0.00065
End use of money	<p>Borrowed amount to be utilized for what purpose –</p> <p>(a) Personal use by promoters and PACs</p> <p>(b) For the benefit of listed company</p> <p>Provide details including amount, purpose of raising money by listed company, schedule for utilization of amount, repayment schedule etc.</p> <p>(a) Any other reason (please specify)</p>	<p>NO</p> <p>Yes</p> <p>The loan has been taken for the business purpose of the company. The pledge of shares are in terms of the CDR scheme sanctioned vide Letter of Approval (LOA) dated 11.09.2009 of the outstanding as on the cut off dated i.e. 31.01.2009.</p> <p>Due to withdrawal of CDR/Re-work of CDR on 16.11.2012, the company is under financial overall restructuring.</p>


Signature of ~~Authorized~~ Signatory:

Place: Ghaziabad

Date: October 1, 2019

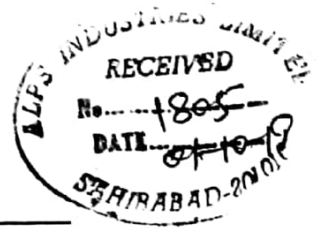
Details of Promoter shareholding along with % of total shares encumbered as on date

S.No.	Name of Promoter	PAN	Total nos. shares held	% of total share capital	Number of Shares pledged or otherwise encumbered	% of shares encumbered
1	K. K. Agarwal (Huf)	AABHK7991K	117000	0.30	0	0
2	Sandeep Agarwal(Huf)	AADHS9672P	94380	0.24	44380	0.419
3	Nidhi Agarwal	AAKPA2949J	216100	0.55	16100	0.152
4	K. K. Agarwal	AAPPA5044B	1147460	2.93	647460	6.116
5	Sandeep Agarwal	ACEPA4737G	651510	1.67	401510	3.793
6	Sanyog Agarwal	ACGPA7178K	248650	0.64	248650	2.349
7	Rohan Agarwal	AIUPA3170F	430128	1.1	230128	2.174
8	Sunandini Agarwal	AIUPA3196R	800	0.00*	800	0.008
9	Coronation Spinning India Pvt Ltd	AAACC8311R	900000	2.3	300000	2.83
10	Careen Fintec (P) Ltd	AAACC8312N	295000	0.75	45000	0.43
11	Padam Precision Dies And Components Pvt Ltd	AAACP4217H	1688200	4.32	188200	1.78
12	Prefect Finmen Services (P) Ltd	AAACP8958R	412800	1.06	262800	2.48
13	Peek Finvest (P) Ltd	AAACP8959Q	342082	0.87	342082	3.23
14	Roseat Finvest (P) Ltd	AAACR7175G	382000	0.98	382000	3.61
15	Peek Texfab Ltd	AABCA6857F	100120	0.26	120	0.00
16	Pacific Texmark Private Limited	AABCA7511N	2835872	7.25	335872	3.17
17	Alps Processers Private Limited	AABCA7512R	250116	0.64	250116	2.36
18	Supreme Finvest (P) Ltd	AACCS0471N	290000	0.74	140000	1.32
19	Saurabh Floriculture (P) Ltd	AACCS6111R	183800	0.47	183800	1.74
TOTAL			10586018	27.06	4019018	37.97

M. R. D. S.

PACIFIC TEXMARK PRIVATE LIMITED

REGD. OFFICE: F-228, GROUND FLOOR, LADO SARAI, NEW DELHI-110030
CIN: U67120DL1997PTC084608, EMAIL: ajay_guptaj@yahoo.com



October 1, 2019

The General Manager Market Operations Deptt., National Stock Exchange of India Ltd. , Exchange Plaza, 5th Floor, Plot No. C/1, G-Block, Bandra-Kurla Complex Bandra (E), Mumbai - 400 051 Ph - 91-22-2659 8101 - 8114 Fax : 022 - 26598237/38/ 2659 8100 Email - cmlist@nse.co.in	Ms. Erika D'Sa, (Relationship Manager) Corporate Relationship Deptt., Bombay Stock Exchange Limited , 1 ST Floor New Trading Ring, Rotunda Building, P. J. Towers, Dalal Street, Fort, Mumbai-400 001. Ph - 022-22728995 Fax: 022 - 22723121 / 3719/2037/2039/2041/2061 Email - intern.erika@bseindia.com , corp.relations@bseindia.com
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SUB: SUBMISSION OF DISCLOSURE OF REASONS FOR ENCUMBRANCE BY PROMOTER IN TERMS OF SEBI CIRCULAR NO. SEBI/HO/CFD/DCR1/CIR/P/2019/90 DATED AUGUST 07, 2019

Dear Sir,

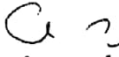
This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter, as required I, Authorized Representative of Pacific Texmark Private Limited (the "company") am submitting herewith the said disclosure on behalf of the company, being under the promoter group.

This is for your kind information and record please.

Thanking you,

Yours faithfully,

For **Pacific Texmark Private Limited (Promoter)**


Sandeep Agarwal
(Authorized Representative)

CC to:

To

Company Secretary

ALPS INDUSTRIES LIMITED

57/2, Site-IV, Industrial Area, Sahibabad,
Ghaziabad-201010 (U.P)

Encl. a/a.

Disclosure of reasons for encumbrance

(In addition to Annexure - I prescribed by way of circular dated August 05, 2015)

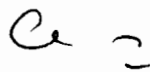
Name of listed company	ALPS INDUSTRIES LIMITED
Name of the recognised stock exchanges where the shares of the company are listed	1. National Stock Exchange of India Limited(NSE) 2. Bombay Stock Exchange Limited(BSE)
Name of the promoter(s) / PACs whose shares have been encumbered	Pacific Texmark Private Limited
Total promoter shareholding in the listed company	No. of shares -2835872 % of total share capital -7.25%
Encumbered shares as a % of promoter shareholding	3.17%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

Details of all the existing events/ agreements pertaining to encumbrance

	Date of creation of encumbrance: 26.06.2010	
Type of encumbrance (pledge, lien, negative lien, non-disposal undertaking etc. or any other covenant, transaction, condition or arrangement in the nature of encumbrance)	Pledge	
No. and % of shares encumbered	No. of shares: 335872 % of total promoter shareholding :3.17%	
Specific details about the encumbrance	Name of the entity in whose favour shares encumbered (X)	State Bank of India being Lead Banker
	Whether the entity X is a scheduled commercial bank, public financial institution, NBFC or housing finance company? If No, provide the nature of the business of the entity.	YES, State Bank of India is a Scheduled Commercial Bank
	Names of all other entities in the agreement	Listed company and its group companies (if any) - Not Applicable

C 2

		Other entities (if any) – Not Applicable
	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument, including credit rating	NO
Security Cover / Asset Cover	Value of _____ on the date of event / shares agreement (A)	Rs. 0.64 /- Crore
	Amount involved (against which shares have been encumbered) (B)	Rs. 989.17/- crore
	Ratio of A / B	0.00065
End use of money	<p>Borrowed amount to be utilized for what purpose –</p> <p>(cc) Personal use by promoters and PACs</p> <p>(dd) For the benefit of listed company</p> <p>Provide details including amount, purpose of raising money by listed company, schedule for utilization of amount, repayment schedule etc.</p> <p>(a) Any other reason (please specify)</p>	<p>NO</p> <p>Yes</p> <p>The loan has been taken for the business purpose of the company. The pledge of shares are in terms of the CDR scheme sanctioned vide Letter of Approval (LOA) dated 11.09.2009 of the outstanding as on the cut off dated i.e. 31.01.2009.</p> <p>Due to withdrawal of CDR/Re-work of CDR on 16.11.2012, the company is under financial overall restructuring.</p>



Signature of Authorised Signatory:

Place: Ghaziabad

Date: October 1, 2019

Details of Promoter shareholding along with % of total shares encumbered as on date

S.No.	Name of Promoter	PAN	Total nos. shares held	% of total share capital	Number of Shares pledged or otherwise encumbered	% of shares encumbered
1	K. K. Agarwal (Huf)	AABHK7991K	117000	0.30	0	0
2	Sandeep Agarwal(Huf)	AADHS9672P	94380	0.24	44380	0.419
3	Nidhi Agarwal	AAKPA2949J	216100	0.55	16100	0.152
4	K. K. Agarwal	AAPPA5044B	1147460	2.93	647460	6.116
5	Sandeep Agarwal	ACEPA4737G	651510	1.67	401510	3.793
6	Sanyog Agarwal	ACGPA7178K	248650	0.64	248650	2.349
7	Rohan Agarwal	AIUPA3170F	430128	1.1	230128	2.174
8	Sunandini Agarwal	AIUPA3196R	800	0.00*	800	0.008
9	Coronation Spinning India Pvt Ltd	AAACC8311R	900000	2.3	300000	2.83
10	Careen Fintec (P) Ltd	AAACC8312N	295000	0.75	45000	0.43
11	Padam Precision Dies And Components Pvt Ltd	AAACP4217H	1688200	4.32	188200	1.78
12	Prefect Finmen Services (P) Ltd	AAACP8958R	412800	1.06	262800	2.48
13	Peek Finvest (P) Ltd	AAACP8959Q	342082	0.87	342082	3.23
14	Roseat Finvest (P) Ltd	AAACR7175G	382000	0.98	382000	3.61
15	Peek Texfab Ltd	AABCA6857F	100120	0.26	120	0.00
16	Pacific Texmark Private Limited	AABCA7511N	2835872	7.25	335872	3.17
17	Alps Processers Private Limited	AABCA7512R	250116	0.64	250116	2.36
18	Supreme Finvest (P) Ltd	AACCS0471N	290000	0.74	140000	1.32
19	Saurabh Floriculture (P) Ltd	AACCS6111R	183800	0.47	183800	1.74
TOTAL			10586018	27.06	4019018	37.97

G 2

PEEK TEXTFAB LIMITED

REGD. OFF.: F-228, GROUND FLOOR, LADO SARAI, NEW DELHI-110030
 CIN: U74899DL1995PLC074557, Email: ajay_guptaji@yahoo.com



October 1, 2019

The General Manager Market Operations Deptt., National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot No. C/1, G-Block, Bandra-Kurla Complex Bandra (E), Mumbai - 400 051 Ph - 91-22-2659 8101 - 8114 Fax : 022 - 26598237/38/ 2659 8100 Email - cmlist@nse.co.in	Ms. Erika D'Sa, (Relationship Manager) Corporate Relationship Deptt., Bombay Stock Exchange Limited, 1 ST Floor New Trading Ring, Rotunda Building, P. J. Towers, Dalal Street, Fort, Mumbai-400 001. Ph - 022-22728995 Fax: 022 - 22723121 / 3719/2037/2039/2041/2061 Email - intern.erika@bseindia.com , corp.relations@bseindia.com
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**SUB: SUBMISSION OF DISCLOSURE OF REASONS FOR ENCUMBRANCE BY
 PROMOTER IN TERMS OF SEBI CIRCULAR NO.
 SEBI/HO/CFD/DCR1/CIR/P/2019/90 DATED AUGUST 07, 2019**


Dear Sir,

This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter, as required I, Authorized Representative of Peek Textfab Ltd (the "company") am submitting herewith the said disclosure on behalf of the company, being under the promoter group.

This is for your kind information and record please.

Thanking you,

Yours faithfully,
 For Peek Textfab Ltd (Promoter)


Sandeep Agarwal
 (Authorized Representative)

CC to:

To

Company Secretary
ALPS INDUSTRIES LIMITED
 57/2, Site-IV, Industrial Area, Sahibabad,
 Ghaziabad-201010 (U.P)

Encl. a/a.

Disclosure of reasons for encumbrance

(In addition to Annexure - I prescribed by way of circular dated August 05, 2015)

Name of listed company	ALPS INDUSTRIES LIMITED
Name of the recognised stock exchanges where the shares of the company are listed	1. National Stock Exchange of India Limited(NSE) 2. Bombay Stock Exchange Limited(BSE)
Name of the promoter(s) / PACs whose shares have been encumbered	Peek Texfab Ltd
Total promoter shareholding in the listed company	No. of shares -100120 % of total share capital -0.26%
Encumbered shares as a % of promoter shareholding	0.00%*
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

Details of all the existing events/ agreements pertaining to encumbrance

	Date of creation of encumbrance: 26.06.2010	
Type of encumbrance (pledge, lien, negative lien, non-disposal undertaking etc. or any other covenant, transaction, condition or arrangement in the nature of encumbrance)	Pledge	
No. and % of shares encumbered	No. of shares: 120 % of total promoter shareholding :0.00%*	
Specific details about the encumbrance	Name of the entity in whose favour shares encumbered (X)	State Bank of India being Lead Banker
	Whether the entity X is a scheduled commercial bank, public financial institution, NBFC or housing finance company? If No, provide the nature of the business of the entity.	YES, State Bank of India is a Scheduled Commercial Bank
	Names of all other entities in the agreement	Listed company and its group companies (if any) - Not Applicable

C 7

		Other entities (if any) – Not Applicable
	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument, including credit rating	NO
Security Cover / Asset Cover	Value of _____ on the date of event / shares agreement (A)	Rs. 0.64 /- Crore
	Amount involved (against which shares have been encumbered) (B)	Rs. 989.17/- crore
	Ratio of A / B	0.00065
End use of money	<p>Borrowed amount to be utilized for what purpose –</p> <p>(aa) Personal use by promoters and PACs</p> <p>(bb) For the benefit of listed company</p> <p>Provide details including amount, purpose of raising money by listed company, schedule for utilization of amount, repayment schedule etc.</p> <p>(a) Any other reason (please specify)</p>	<p>NO</p> <p>Yes</p> <p>The loan has been taken for the business purpose of the company. The pledge of shares are in terms of the CDR scheme sanctioned vide Letter of Approval (LOA) dated 11.09.2009 of the outstanding as on the cut off dated i.e. 31.01.2009.</p> <p>Due to withdrawal of CDR/Re-work of CDR on 16.11.2012, the company is under financial overall restructuring.</p>

*Negligible



Signature of Authorised Signatory:

Place: Ghaziabad

Date: October 1, 2019

Details of Promoter shareholding along with % of total shares encumbered as on date

S.No.	Name of Promoter	PAN	Total nos. shares held	% of total share capital	Number of Shares pledged or otherwise encumbered	% of shares encumbered
1	K. K. Agarwal (Huf)	AABHK7991K	117000	0.30	0	0
2	Sandeep Agarwal(Huf)	AADHS9672P	94380	0.24	44380	0.419
3	Nidhi Agarwal	AAKPA2949J	216100	0.55	16100	0.152
4	K. K. Agarwal	AAPPA5044B	1147460	2.93	647460	6.116
5	Sandeep Agarwal	ACEPA4737G	651510	1.67	401510	3.793
6	Sanyog Agarwal	ACGPA7178K	248650	0.64	248650	2.349
7	Rohan Agarwal	AIUPA3170F	430128	1.1	230128	2.174
8	Sunandini Agarwal	AIUPA3196R	800	0.00*	800	0.008
9	Coronation Spinning India Pvt Ltd	AAACC8311R	900000	2.3	300000	2.83
10	Caren Fintec (P) Ltd	AAACC8312N	295000	0.75	45000	0.43
11	Padam Precision Dies And Components Pvt Ltd	AAACP4217H	1688200	4.32	188200	1.78
12	Prefect Finmen Services (P) Ltd	AAACP8958R	412800	1.06	262800	2.48
13	Peek Finvest (P) Ltd	AAACP8959Q	342082	0.87	342082	3.23
14	Roseat Finvest (P) Ltd	AAACR7175G	382000	0.98	382000	3.61
15	Peek Texfab Ltd	AABCA6857F	100120	0.26	120	0.00
16	Pacific Texmark Private Limited	AABCA7511N	2835872	7.25	335872	3.17
17	Alps Processers Private Limited	AABCA7512R	250116	0.64	250116	2.36
18	Supreme Finvest (P) Ltd	AACCS0471N	290000	0.74	140000	1.32
19	Saurabh Floriculture (P) Ltd	AACCS6111R	183800	0.47	183800	1.74
TOTAL			10586018	27.06	4019018	37.97

C 2

ROSEATE FINVEST PRIVATE LIMITED

F-228, GROUND FLOOR, LADO SARAI, NEW DELHI-110 030
CIN:- U74899DL1995PTC067348, Email: roc.rkgovil@gmail.com



October 1, 2019

The General Manager Market Operations Deptt., National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot No. C/1, G-Block, Bandra-Kurla Complex Bandra (E), Mumbai - 400 051 Ph - 91-22-2659 8101 - 8114 Fax : 022 - 26598237/38/ 2659 8100 Email - cmlist@nse.co.in	Ms. Erika D'Sa, (Relationship Manager) Corporate Relationship Deptt., Bombay Stock Exchange Limited, 1 ST Floor New Trading Ring, Rotunda Building, P. J. Towers, Dalal Street, Fort, Mumbai-400 001. Ph - 022-22728995 Fax: 022 - 22723121 / 3719/2037/2039/2041/2061 Email - intern.erika@bseindia.com, corp.relations@bseindia.com
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SUB: SUBMISSION OF DISCLOSURE OF REASONS FOR ENCUMBRANCE BY PROMOTER IN TERMS OF SEBI CIRCULAR NO. SEBI/HO/CFD/DCR1/CIR/P/2019/90 DATED AUGUST 07, 2019

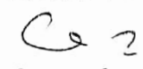
Dear Sir,

This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter, as required I, Authorized Representative of Roseate Finvest (P) Ltd (the "company") am submitting herewith the said disclosure on behalf of the company, being under the promoter group.

This is for your kind information and record please.

Thanking you,

Yours faithfully,
For **Roseate Finvest (P) Ltd (Promoter)**


Sandeep Agarwal
(Authorized Representative)

CC to:
To
Company Secretary
ALPS INDUSTRIES LIMITED
57/2, Site-IV, Industrial Area, Sahibabad,
Ghaziabad-201010 (U.P)

Encl. a/a.

Disclosure of reasons for encumbrance

(In addition to Annexure - I prescribed by way of circular dated August 05, 2015)

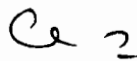
Name of listed company	ALPS INDUSTRIES LIMITED
Name of the recognised stock exchanges where the shares of the company are listed	1. National Stock Exchange of India Limited(NSE) 2. Bombay Stock Exchange Limited(BSE)
Name of the promoter(s) / PACs whose shares have been encumbered	Roseat Finvest (P) Ltd
Total promoter shareholding in the listed company	No. of shares -382000 % of total share capital -0.98%
Encumbered shares as a % of promoter shareholding	3.61%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

Details of all the existing events/ agreements pertaining to encumbrance

	Date of creation of encumbrance: 26.06.2010	
Type of encumbrance (pledge, lien, negative lien, non-disposal undertaking etc. or any other covenant, transaction, condition or arrangement in the nature of encumbrance)	Pledge	
No. and % of shares encumbered	No. of shares: 382000 % of total promoter shareholding :3.61%	
Specific details about the encumbrance	Name of the entity in whose favour shares encumbered (X)	State Bank of India being Lead Banker
	Whether the entity X is a scheduled commercial bank, public financial institution, NBFC or housing finance company? If No, provide the nature of the business of the entity.	YES, State Bank of India is a Scheduled Commercial Bank
	Names of all other entities in the agreement	Listed company and its group companies (if any) - Not Applicable

C 2

		Other entities (if any) – Not Applicable
	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument, including credit rating	NO
Security Cover / Asset Cover	Value of _____ on the date of event / shares agreement (A)	Rs. 0.64 /- Crore
	Amount involved (against which shares have been encumbered) (B)	Rs. 989.17/- crore
	Ratio of A / B	0.00065
End use of money	<p>Borrowed amount to be utilized for what purpose –</p> <p>(y) Personal use by promoters and PACs</p> <p>(z) For the benefit of listed company</p> <p>Provide details including amount, purpose of raising money by listed company, schedule for utilization of amount, repayment schedule etc.</p> <p>(a) Any other reason (please specify)</p>	<p>NO</p> <p>Yes</p> <p>The loan has been taken for the business purpose of the company. The pledge of shares are in terms of the CDR scheme sanctioned vide Letter of Approval (LOA) dated 11.09.2009 of the outstanding as on the cut off dated i.e. 31.01.2009.</p> <p>Due to withdrawal of CDR/Re-work of CDR on 16.11.2012, the company is under financial overall restructuring.</p>



Signature of Authorised Signatory:

Place: Ghaziabad

Date: October 1, 2019

Details of Promoter shareholding along with % of total shares encumbered as on date

S.No.	Name of Promoter	PAN	Total nos. shares held	% of total share capital	Number of Shares pledged or otherwise encumbered	% of shares encumbered
1	K. K. Agarwal (Huf)	AABHK7991K	117000	0.30	0	0
2	Sandeep Agarwal(Huf)	AADHS9672P	94380	0.24	44380	0.419
3	Nidhi Agarwal	AAKPA2949J	216100	0.55	16100	0.152
4	K. K. Agarwal	AAPPA5044B	1147460	2.93	647460	6.116
5	Sandeep Agarwal	ACEPA4737G	651510	1.67	401510	3.793
6	Sanyog Agarwal	ACGPA7178K	248650	0.64	248650	2.349
7	Rohan Agarwal	AIUPA3170F	430128	1.1	230128	2.174
8	Sunandini Agarwal	AIUPA3196R	800	0.00*	800	0.008
9	Coronation Spinning India Pvt Ltd	AAACC8311R	900000	2.3	300000	2.83
10	Careen Fintec (P) Ltd	AAACC8312N	295000	0.75	45000	0.43
11	Padam Precision Dies And Components Pvt Ltd	AAACP4217H	1688200	4.32	188200	1.78
12	Prefect Finmen Services (P) Ltd	AAACP8958R	412800	1.06	262800	2.48
13	Peek Finvest (P) Ltd	AAACP8959Q	342082	0.87	342082	3.23
14	Roseat Finvest (P) Ltd	AAACR7175G	382000	0.98	382000	3.61
15	Peek Texfab Ltd	AABCA6857F	100120	0.26	120	0.00
16	Pacific Texmark Private Limited	AABCA7511N	2835872	7.25	335872	3.17
17	Alps Processers Private Limited	AABCA7512R	250116	0.64	250116	2.36
18	Supreme Finvest (P) Ltd	AACCS0471N	290000	0.74	140000	1.32
19	Saurabh Floriculture (P) Ltd	AACCS6111R	183800	0.47	183800	1.74
TOTAL			10586018	27.06	4019018	37.97

C 2

PEEK FINVEST PRIVATE LIMITED

F-228, GROUND FLOOR, LADO SARAI, NEW DELHI-110 030
CIN:- U74899DL1995PTC067339



October 1, 2019

<p>The General Manager Market Operations Deptt., National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot No. C/1, G-Block, Bandra-Kurla Complex Bandra (E), Mumbai - 400 051 Ph - 91-22-2659 8101 - 8114 Fax : 022 - 26598237/38/ 2659 8100 Email - cmlist@nse.co.in</p>	<p>Ms. Erika D'Sa, (Relationship Manager) Corporate Relationship Deptt., Bombay Stock Exchange Limited, 1ST Floor New Trading Ring, Rotunda Building, P. J. Towers, Dalal Street, Fort, Mumbai-400 001. Ph - 022-22728995 Fax: 022 - 22723121 / 3719/2037/2039/2041/2061 Email - intern.erika@bseindia.com, corp.relations@bseindia.com</p>
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SUB: SUBMISSION OF DISCLOSURE OF REASONS FOR ENCUMBRANCE BY PROMOTER IN TERMS OF SEBI CIRCULAR NO. SEBI/HO/CFD/DCR1/CIR/P/2019/90 DATED AUGUST 07, 2019

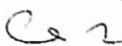
Dear Sir,

This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter, as required I, Authorized Representative of Peek Finvest (P) Ltd (the "company") am submitting herewith the said disclosure on behalf of the company, being under the promoter group.

This is for your kind information and record please.

Thanking you,

Yours faithfully,
For **Peek Finvest (P) Ltd (Promoter)**


Sandeep Agarwal
(Authorized Representative)

CC to:

To

Company Secretary
ALPS INDUSTRIES LIMITED
57/2, Site-IV, Industrial Area, Sahibabad,
Ghaziabad-201010 (U.P)

Encl. a/a.

Disclosure of reasons for encumbrance

(In addition to Annexure - I prescribed by way of circular dated August 05, 2015)

Name of listed company	ALPS INDUSTRIES LIMITED
Name of the recognised stock exchanges where the shares of the company are listed	1. National Stock Exchange of India Limited(NSE) 2. Bombay Stock Exchange Limited(BSE)
Name of the promoter(s) / PACs whose shares have been encumbered	Peek Finvest (P) Ltd
Total promoter shareholding in the listed company	No. of shares -342082 % of total share capital -0.87%
Encumbered shares as a % of promoter shareholding	3.23%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

Details of all the existing events/ agreements pertaining to encumbrance

	Date of creation of encumbrance: 26.06.2010	
Type of encumbrance (pledge, lien, negative lien, non-disposal undertaking etc. or any other covenant, transaction, condition or arrangement in the nature of encumbrance)	Pledge	
No. and % of shares encumbered	No. of shares: 342082 % of total promoter shareholding :3.23%	
Specific details about the encumbrance	Name of the entity in whose favour shares encumbered (X)	State Bank of India being Lead Banker
	Whether the entity X is a scheduled commercial bank, public financial institution, NBFC or housing finance company? If No, provide the nature of the business of the entity.	YES, State Bank of India is a Scheduled Commercial Bank
	Names of all other entities in the agreement	Listed company and its group companies (if any) - Not Applicable

C 2

		Other entities (if any) – Not Applicable
	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument, including credit rating	NO
Security Cover / Asset Cover	Value of on the date of event / shares agreement (A)	Rs. 0.64 /- Crore
	Amount involved (against which shares have been encumbered) (B)	Rs. 989.17/- crore
	Ratio of A / B	0.00065
End use of money	<p>Borrowed amount to be utilized for what purpose –</p> <p>(w) Personal use by promoters and PACs</p> <p>(x) For the benefit of listed company</p> <p>Provide details including amount, purpose of raising money by listed company, schedule for utilization of amount, repayment schedule etc.</p> <p>(a) Any other reason (please specify)</p>	<p>NO</p> <p>Yes</p> <p>The loan has been taken for the business purpose of the company. The pledge of shares are in terms of the CDR scheme sanctioned vide Letter of Approval (LOA) dated 11.09.2009 of the outstanding as on the cut off dated i.e. 31.01.2009.</p> <p>Due to withdrawal of CDR/Re-work of CDR on 16.11.2012, the company is under financial overall restructuring.</p>



Signature of Authorised Signatory:

Place: Ghaziabad

Date: October 1, 2019

Details of Promoter shareholding along with % of total shares encumbered as on date

S.No.	Name of Promoter	PAN	Total nos. shares held	% of total share capital	Number of Shares pledged or otherwise encumbered	% of shares encumbered
1	K. K. Agarwal (Huf)	AABHK7991K	117000	0.30	0	0
2	Sandeep Agarwal(Huf)	AADHS9672P	94380	0.24	44380	0.419
3	Nidhi Agarwal	AAKPA2949J	216100	0.55	16100	0.152
4	K. K. Agarwal	AAPPA5044B	1147460	2.93	647460	6.116
5	Sandeep Agarwal	ACEPA4737G	651510	1.67	401510	3.793
6	Sanyog Agarwal	ACGPA7178K	248650	0.64	248650	2.349
7	Rohan Agarwal	AIUPA3170F	430128	1.1	230128	2.174
8	Sunandini Agarwal	AIUPA3196R	800	0.00*	800	0.008
9	Coronation Spinning India Pvt Ltd	AAACC8311R	900000	2.3	300000	2.83
10	Careen Fintec (P) Ltd	AAACC8312N	295000	0.75	45000	0.43
11	Padam Precision Dies And Components Pvt Ltd	AAACP4217H	1688200	4.32	188200	1.78
12	Prefect Finmen Services (P) Ltd	AAACP8958R	412800	1.06	262800	2.48
13	Peek Finvest (P) Ltd	AAACP8959Q	342082	0.87	342082	3.23
14	Roseat Finvest (P) Ltd	AAACR7175G	382000	0.98	382000	3.61
15	Peek Texfab Ltd	AABCA6857F	100120	0.26	120	0.00
16	Pacific Texmark Private Limited	AABCA7511N	2835872	7.25	335872	3.17
17	Alps Processers Private Limited	AABCA7512R	250116	0.64	250116	2.36
18	Supreme Finvest (P) Ltd	AACCS0471N	290000	0.74	140000	1.32
19	Saurabh Floriculture (P) Ltd	AACCS6111R	183800	0.47	183800	1.74
TOTAL			10586018	27.06	4019018	37.97

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PREFECT FINMEN SERVICES PVT LTD

F-228, GROUND FLOOR, LADO SARAI, NEW DELHI-110 030
CIN:- U74899DL1995PTC067340, Email: ajay_guptaji@yahoo.com



October 1, 2019

The General Manager Market Operations Deptt., National Stock Exchange of India Ltd. , Exchange Plaza, 5th Floor, Plot No. C/1, G-Block, Bandra-Kurla Complex Bandra (E), Mumbai - 400 051 Ph - 91-22-2659 8101 - 8114 Fax : 022 - 26598237/38/ 2659 8100 Email - cmlist@nse.co.in	Ms. Erika D'Sa, (Relationship Manager) Corporate Relationship Deptt., Bombay Stock Exchange Limited , 1 ST Floor New Trading Ring, Rotunda Building, P. J. Towers, Dalal Street, Fort, Mumbai-400 001 . Ph - 022-22728995 Fax: 022 - 22723121 / 3719/2037/2039/2041/2061 Email - intern.erika@bseindia.com, corp.relations@bseindia.com
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**SUB: SUBMISSION OF DISCLOSURE OF REASONS FOR ENCUMBRANCE BY
PROMOTER IN TERMS OF SEBI CIRCULAR NO.
SEBI/HO/CFD/DCR1/CIR/P/2019/90 DATED AUGUST 07, 2019**


Dear Sir,

This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter, as required I, Authorized Representative of Prefect Finmen Services (P) Ltd (the "company") am submitting herewith the said disclosure on behalf of the company, being under the promoter group.

This is for your kind information and record please.

Thanking you,

Yours faithfully,
For **Prefect Finmen Services (P) Ltd (Promoter)**


Sandeep Agarwal
(Authorized Representative)

CC to:

To
Company Secretary
ALPS INDUSTRIES LIMITED
57/2, Site-IV, Industrial Area, Sahibabad,
Ghaziabad-201010 (U.P)

Encl. a/a.

Disclosure of reasons for encumbrance

(In addition to Annexure - I prescribed by way of circular dated August 05, 2015)

Name of listed company	ALPS INDUSTRIES LIMITED
Name of the recognised stock exchanges where the shares of the company are listed	1. National Stock Exchange of India Limited(NSE) 2. Bombay Stock Exchange Limited(BSE)
Name of the promoter(s) / PACs whose shares have been encumbered	Prefect Finmen Services (P) Ltd
Total promoter shareholding in the listed company	No. of shares -412800 % of total share capital -1.06%
Encumbered shares as a % of promoter shareholding	2.48%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

Details of all the existing events/ agreements pertaining to encumbrance

	Date of creation of encumbrance: 26.06.2010	
Type of encumbrance (pledge, lien, negative lien, non-disposal undertaking etc. or any other covenant, transaction, condition or arrangement in the nature of encumbrance)	Pledge	
No. and % of shares encumbered	No. of shares: 262800 % of total promoter shareholding :2.48%	
Specific details about the encumbrance	Name of the entity in whose favour shares encumbered (X)	State Bank of India being Lead Banker
	Whether the entity X is a scheduled commercial bank, public financial institution, NBFC or housing finance company? If No, provide the nature of the business of the entity.	YES, State Bank of India is a Scheduled Commercial Bank
	Names of all other entities in the agreement	Listed company and its group companies (if any) - Not Applicable

C 2

		Other entities (if any) – Not Applicable
	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument, including credit rating	NO
Security Cover / Asset Cover	Value of _____ on the date of event / shares agreement (A)	Rs. 0.64 /- Crore
	Amount involved (against which shares have been encumbered) (B)	Rs. 989.17/- crore
	Ratio of A / B	0.00065
End use of money	<p>Borrowed amount to be utilized for what purpose –</p> <p>(u) Personal use by promoters and PACs</p> <p>(v) For the benefit of listed company</p> <p>Provide details including amount, purpose of raising money by listed company, schedule for utilization of amount, repayment schedule etc.</p> <p>(a) Any other reason (please specify)</p>	<p>NO</p> <p>Yes</p> <p>The loan has been taken for the business purpose of the company. The pledge of shares are in terms of the CDR scheme sanctioned vide Letter of Approval (LOA) dated 11.09.2009 of the outstanding as on the cut off dated i.e. 31.01.2009.</p> <p>Due to withdrawal of CDR/Re-work of CDR on 16.11.2012, the company is under financial overall restructuring.</p>



Signature of Authorised Signatory:

Place: Ghaziabad

Date: October 1, 2019

Details of Promoter shareholding along with % of total shares encumbered as on date

S.No.	Name of Promoter	PAN	Total nos. shares held	% of total share capital	Number of Shares pledged or otherwise encumbered	% of shares encumbered
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2	Sandeep Agarwal(Huf)	AADHS9672P	94380	0.24	44380	0.419
3	Nidhi Agarwal	AAKPA2949J	216100	0.55	16100	0.152
4	K. K. Agarwal	AAPPA5044B	1147460	2.93	647460	6.116
5	Sandeep Agarwal	ACEPA4737G	651510	1.67	401510	3.793
6	Sanyog Agarwal	ACGPA7178K	248650	0.64	248650	2.349
7	Rohan Agarwal	AIUPA3170F	430128	1.1	230128	2.174
8	Sunandini Agarwal	AIUPA3196R	800	0.00*	800	0.008
9	Coronation Spinning India Pvt Ltd	AAACC8311R	900000	2.3	300000	2.83
10	Careen Fintec (P) Ltd	AAACC8312N	295000	0.75	45000	0.43
11	Padam Precision Dies And Components Pvt Ltd	AAACP4217H	1688200	4.32	188200	1.78
12	Prefect Finmen Services (P) Ltd	AAACP8958R	412800	1.06	262800	2.48
13	Peek Finvest (P) Ltd	AAACP8959Q	342082	0.87	342082	3.23
14	Roseat Finvest (P) Ltd	AAACR7175G	382000	0.98	382000	3.61
15	Peek Texfab Ltd	AABCA6857F	100120	0.26	120	0.00
16	Pacific Texmark Private Limited	AABCA7511N	2835872	7.25	335872	3.17
17	Alps Processers Private Limited	AABCA7512R	250116	0.64	250116	2.36
18	Supreme Finvest (P) Ltd	AACCS0471N	290000	0.74	140000	1.32
19	Saurabh Floriculture (P) Ltd	AACCS6111R	183800	0.47	183800	1.74
TOTAL			10586018	27.06	4019018	37.97

C 2

CAREEN FINTEC PRIVATE LIMITED
F-228, GROUND FLOOR, LADO SARAI, NEW DELHI-110 030
CIN: U67120DL1996PTC076021, Email: roc.rkgovil@gmail.com



October 1, 2019

The General Manager Market Operations Deptt., National Stock Exchange of India Ltd. , Exchange Plaza, 5th Floor, Plot No. C/1, G-Block, Bandra-Kurla Complex Bandra (E), Mumbai - 400 051 Ph - 91-22-2659 8101 - 8114 Fax : 022 - 26598237/38/ 2659 8100 Email - cmlist@nse.co.in	Ms. Erika D'Sa, (Relationship Manager) Corporate Relationship Deptt., Bombay Stock Exchange Limited , 1 st Floor New Trading Ring, Rotunda Building, P. J. Towers, Dalal Street, Fort, Mumbai-400 001 . Ph - 022-22728995 Fax: 022 - 22723121 / 3719/2037/2039/2041/2061 Email - intern.erika@bseindia.com, corp.relations@bseindia.com
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**SUB: SUBMISSION OF DISCLOSURE OF REASONS FOR ENCUMBRANCE BY
PROMOTER IN TERMS OF SEBI CIRCULAR NO.
SEBI/HO/CFD/DCR1/CIR/P/2019/90 DATED AUGUST 07, 2019**

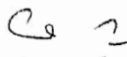
Dear Sir,

This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter, as required I, Authorized Representative of Careen Fintec (P) Ltd (the "company") am submitting herewith the said disclosure on behalf of the company, being under the promoter group.

This is for your kind information and record please.

Thanking you,

Yours faithfully,
For **Careen Fintec (P) Ltd (Promoter)**


Sandeep Agarwal
(Authorized Representative)

CC to:
To

Company Secretary
ALPS INDUSTRIES LIMITED
57/2, Site-IV, Industrial Area, Sahibabad,
Ghaziabad-201010 (U.P)

Encl. a/a.

Disclosure of reasons for encumbrance

(In addition to Annexure - I prescribed by way of circular dated August 05, 2015)

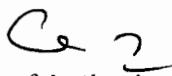
Name of listed company	ALPS INDUSTRIES LIMITED
Name of the recognised stock exchanges where the shares of the company are listed	1. National Stock Exchange of India Limited(NSE) 2. Bombay Stock Exchange Limited(BSE)
Name of the promoter(s) / PACs whose shares have been encumbered	Careen Fintec (P) Ltd
Total promoter shareholding in the listed company	No. of shares -295000 % of total share capital -0.75%
Encumbered shares as a % of promoter shareholding	0.43%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

Details of all the existing events/ agreements pertaining to encumbrance

	Date of creation of encumbrance: 26.06.2010	
Type of encumbrance (pledge, lien, negative lien, non-disposal undertaking etc. or any other covenant, transaction, condition or arrangement in the nature of encumbrance)	Pledge	
No. and % of shares encumbered	No. of shares: 45000 % of total promoter shareholding :0.43%	
Specific details about the encumbrance	Name of the entity in whose favour shares encumbered (X)	State Bank of India being Lead Banker
	Whether the entity X is a scheduled commercial bank, public financial institution, NBFC or housing finance company? If No, provide the nature of the business of the entity.	YES, State Bank of India is a Scheduled Commercial Bank
	Names of all other entities in the agreement	Listed company and its group companies (if any) - Not Applicable

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		Other entities (if any) – Not Applicable
	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument, including credit rating	NO
Security Cover / Asset Cover	Value of _____ on the date of event / shares agreement (A)	Rs. 0.64 /- Crore
	Amount involved (against which shares have been encumbered) (B)	Rs. 989.17/- crore
	Ratio of A / B	0.00065
End use of money	Borrowed amount to be utilized for what purpose – (q) Personal use by promoters and PACs (r) For the benefit of listed company	NO Yes
	Provide details including amount, purpose of raising money by listed company, schedule for utilization of amount, repayment schedule etc. (a) Any other reason (please specify)	The loan has been taken for the business purpose of the company. The pledge of shares are in terms of the CDR scheme sanctioned vide Letter of Approval (LOA) dated 11.09.2009 of the outstanding as on the cut off dated i.e. 31.01.2009. Due to withdrawal of CDR/Re-work of CDR on 16.11.2012, the company is under financial overall restructuring.



Signature of Authorised Signatory:

Place: Ghaziabad

Date: October 1, 2019

Details of Promoter shareholding along with % of total shares encumbered as on date

S.No.	Name of Promoter	PAN	Total nos. shares held	% of total share capital	Number of Shares pledged or otherwise encumbered	% of shares encumbered
1	K. K. Agarwal (Huf)	AABHK7991K	117000	0.30	0	0
2	Sandeep Agarwal(Huf)	AADHS9672P	94380	0.24	44380	0.419
3	Nidhi Agarwal	AAKPA2949J	216100	0.55	16100	0.152
4	K. K. Agarwal	AAPPA5044B	1147460	2.93	647460	6.116
5	Sandeep Agarwal	ACEPA4737G	651510	1.67	401510	3.793
6	Sanyog Agarwal	ACGPA7178K	248650	0.64	248650	2.349
7	Rohan Agarwal	AIUPA3170F	430128	1.1	230128	2.174
8	Sunandini Agarwal	AIUPA3196R	800	0.00*	800	0.008
9	Coronation Spinning India Pvt Ltd	AAACC8311R	900000	2.3	300000	2.83
10	Careen Fintec (P) Ltd	AAACC8312N	295000	0.75	45000	0.43
11	Padam Precision Dies And Components Pvt Ltd	AAACP4217H	1688200	4.32	188200	1.78
12	Prefect Finmen Services (P) Ltd	AAACP8958R	412800	1.06	262800	2.48
13	Peek Finvest (P) Ltd	AAACP8959Q	342082	0.87	342082	3.23
14	Roseat Finvest (P) Ltd	AAACR7175G	382000	0.98	382000	3.61
15	Peek Texfab Ltd	AABCA6857F	100120	0.26	120	0.00
16	Pacific Texmark Private Limited	AABCA7511N	2835872	7.25	335872	3.17
17	Alps Processers Private Limited	AABCA7512R	250116	0.64	250116	2.36
18	Supreme Finvest (P) Ltd	AACCS0471N	290000	0.74	140000	1.32
19	Saurabh Floriculture (P) Ltd	AACCS6111R	183800	0.47	183800	1.74
TOTAL			10586018	27.06	4019018	37.97

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PADAM PRECISION DIES AND COMPONENTS PRIVATE LIMITED

F-228, Ground Floor, Lado Sarai, New Delhi-110 030
CIN: U74899DL1991PTC045849, Email: roc.rkgovil@gmail.com



October 1, 2019

<p>The General Manager Market Operations Deptt., National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot No. C/1, G-Block, Bandra-Kurla Complex Bandra (E), Mumbai - 400 051 Ph - 91-22-2659 8101 - 8114 Fax : 022 - 26598237/38/ 2659 8100 Email - cmlist@nse.co.in</p>	<p>Ms. Erika D'Sa, (Relationship Manager) Corporate Relationship Deptt., Bombay Stock Exchange Limited, 1ST Floor New Trading Ring, Rotunda Building, P. J. Towers, Dalal Street, Fort, Mumbai-400 001. Ph - 022-22728995 Fax: 022 - 22723121 / 3719/2037/2039/2041/2061 Email - intern.erika@bseindia.com, corp.relations@bseindia.com</p>
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**SUB: SUBMISSION OF DISCLOSURE OF REASONS FOR ENCUMBRANCE BY
PROMOTER IN TERMS OF SEBI CIRCULAR NO.
SEBI/HO/CFD/DCR1/CIR/P/2019/90 DATED AUGUST 07, 2019**

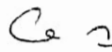
Dear Sir,

This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter, as required I, Authorized Representative of Padam Precision Dies And Components Pvt Ltd (the "company") am submitting herewith the said disclosure on behalf of the company, being under the promoter group.

This is for your kind information and record please.

Thanking you,

Yours faithfully,
For **Padam Precision Dies And Components Pvt Ltd (Promoter)**


Sandeep Agarwal
(Authorized Representative)

CC to:
To
Company Secretary
ALPS INDUSTRIES LIMITED
57/2, Site-IV, Industrial Area, Sahibabad,
Ghaziabad-201010 (U.P)

Encl. a/a.

Disclosure of reasons for encumbrance

(In addition to Annexure - I prescribed by way of circular dated August 05, 2015)


Name of listed company	ALPS INDUSTRIES LIMITED
Name of the recognised stock exchanges where the shares of the company are listed	1. National Stock Exchange of India Limited(NSE) 2. Bombay Stock Exchange Limited(BSE)
Name of the promoter(s) / PACs whose shares have been encumbered	Padam Precision Dies And Components Pvt Ltd
Total promoter shareholding in the listed company	No. of shares -1688200 % of total share capital -4.32%
Encumbered shares as a % of promoter shareholding	1.78%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

Details of all the existing events/ agreements pertaining to encumbrance

	Date of creation of encumbrance: 26.06.2010	
Type of encumbrance (pledge, lien, negative lien, non-disposal undertaking etc. or any other covenant, transaction, condition or arrangement in the nature of encumbrance)	Pledge	
No. and % of shares encumbered	No. of shares: 188200 % of total promoter shareholding : 1.78%	
Specific details about the encumbrance	Name of the entity in whose favour shares encumbered (X)	State Bank of India being Lead Banker
	Whether the entity X is a scheduled commercial bank, public financial institution, NBFC or housing finance company? If No, provide the nature of the business of the entity.	YES, State Bank of India is a Scheduled Commercial Bank
	Names of all other entities in the agreement	Listed company and its group companies (if any) - Not Applicable

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		Other entities (if any) – Not Applicable
	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument, including credit rating	NO
Security Cover / Asset Cover	Value of shares on the date of event / agreement (A)	Rs. 0.64 /- Crore
	Amount involved (against which shares have been encumbered) (B)	Rs. 989.17/- crore
	Ratio of A / B	0.00065
End use of money	Borrowed amount to be utilized for what purpose – (s) Personal use by promoters and PACs	NO
	(t) For the benefit of listed company Provide details including amount, purpose of raising money by listed company, schedule for utilization of amount, repayment schedule etc. (a) Any other reason (please specify)	Yes The loan has been taken for the business purpose of the company. The pledge of shares are in terms of the CDR scheme sanctioned vide Letter of Approval (LOA) dated 11.09.2009 of the outstanding as on the cut off dated i.e. 31.01.2009. Due to withdrawal of CDR/Re-work of CDR on 16.11.2012, the company is under financial overall restructuring.



Signature of Authorised Signatory:

Place: Ghaziabad

Date: October 1, 2019

Details of Promoter shareholding along with % of total shares encumbered as on date

S.No.	Name of Promoter	PAN	Total nos. shares held	% of total share capital	Number of Shares pledged or otherwise encumbered	% of shares encumbered
1	K. K. Agarwal (Huf)	AABHK7991K	117000	0.30	0	0
2	Sandeep Agarwal(Huf)	AADHS9672P	94380	0.24	44380	0.419
3	Nidhi Agarwal	AAKPA2949J	216100	0.55	16100	0.152
4	K. K. Agarwal	AAPPA5044B	1147460	2.93	647460	6.116
5	Sandeep Agarwal	ACEPA4737G	651510	1.67	401510	3.793
6	Sanyog Agarwal	ACGPA7178K	248650	0.64	248650	2.349
7	Rohan Agarwal	AIUPA3170F	430128	1.1	230128	2.174
8	Sunandini Agarwal	AIUPA3196R	800	0.00*	800	0.008
9	Coronation Spinning India Pvt Ltd	AAACC8311R	900000	2.3	300000	2.83
10	Careen Fintec (P) Ltd	AAACC8312N	295000	0.75	45000	0.43
11	Padam Precision Dies And Components Pvt Ltd	AAACP4217H	1688200	4.32	188200	1.78
12	Prefect Finmen Services (P) Ltd	AAACP8958R	412800	1.06	262800	2.48
13	Peek Finvest (P) Ltd	AAACP8959Q	342082	0.87	342082	3.23
14	Roseat Finvest (P) Ltd	AAACR7175G	382000	0.98	382000	3.61
15	Peek Texfab Ltd	AABCA6857F	100120	0.26	120	0.00
16	Pacific Texmark Private Limited	AABCA7511N	2835872	7.25	335872	3.17
17	Alps Processers Private Limited	AABCA7512R	250116	0.64	250116	2.36
18	Supreme Finvest (P) Ltd	AACCS0471N	290000	0.74	140000	1.32
19	Saurabh Floriculture (P) Ltd	AACCS6111R	183800	0.47	183800	1.74
TOTAL			10586018	27.06	4019018	37.97

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CORONATION SPINNING (I) PRIVATE LIMITED

F-228, GROUND FLOOR, LADO SARAI, NEW DELHI-110 030
CIN NO:- U74899DL1982PTC013569



October 1, 2019

<p>The General Manager Market Operations Deptt., National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot No. C/1, G-Block, Bandra-Kurla Complex Bandra (E), Mumbai - 400 051 Ph - 91-22-2659 8101 - 8114 Fax : 022 - 26598237/38/ 2659 8100 Email - cmlist@nse.co.in</p>	<p>Ms. Erika D'Sa, (Relationship Manager) Corporate Relationship Deptt., Bombay Stock Exchange Limited, 1ST Floor New Trading Ring, Rotunda Building, P. J. Towers, Dalal Street, Fort, Mumbai-400 001. Ph - 022-22728995 Fax: 022 - 22723121 / 3719/2037/2039/2041/2061 Email - intern.erika@bseindia.com, corp.relations@bseindia.com</p>
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SUB: SUBMISSION OF DISCLOSURE OF REASONS FOR ENCUMBRANCE BY PROMOTER IN TERMS OF SEBI CIRCULAR NO. SEBI/HO/CFD/DCR1/CIR/P/2019/90 DATED AUGUST 07, 2019


Dear Sir,

This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter, as required I, Authorized Representative of Coronation Spinning India Pvt Ltd (the "company") am submitting herewith the said disclosure on behalf of the company, being under the promoter group.

This is for your kind information and record please.

Thanking you,

Yours faithfully,
For **Coronation Spinning India Pvt Ltd (Promoter)**


Sandeep Agarwal
(Authorized Representative)

CC to:

✓ To
Company Secretary
ALPS INDUSTRIES LIMITED
57/2, Site-IV, Industrial Area, Sahibabad,
Ghaziabad-201010 (U.P)

Encl. a/a.

Disclosure of reasons for encumbrance

(In addition to Annexure - I prescribed by way of circular dated August 05, 2015)

Name of listed company	ALPS INDUSTRIES LIMITED
Name of the recognised stock exchanges where the shares of the company are listed	1. National Stock Exchange of India Limited(NSE) 2. Bombay Stock Exchange Limited(BSE)
Name of the promoter(s) / PACs whose shares have been encumbered	Coronation Spinning India Pvt Ltd
Total promoter shareholding in the listed company	No. of shares -900000 % of total share capital -2.3%
Encumbered shares as a % of promoter shareholding	2.83%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

Details of all the existing events/ agreements pertaining to encumbrance

	Date of creation of encumbrance: 26.06.2010	
Type of encumbrance (pledge, lien, negative lien, non-disposal undertaking etc. or any other covenant, transaction, condition or arrangement in the nature of encumbrance)	Pledge	
No. and % of shares encumbered	No. of shares: 300000 % of total promoter shareholding :2.83%	
Specific details about the encumbrance	Name of the entity in whose favour shares encumbered (X)	State Bank of India being Lead Banker
	Whether the entity X is a scheduled commercial bank, public financial institution, NBFC or housing finance company? If No, provide the nature of the business of the entity.	YES, State Bank of India is a Scheduled Commercial Bank
	Names of all other entities in the agreement	Listed company and its group companies (if any) - Not Applicable

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		Other entities (if any) - Not Applicable
	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument, including credit rating	NO
Security Cover / Asset Cover	Value of shares on the date of event / agreement (A)	Rs. 0.64 /- Crore
	Amount involved (against which shares have been encumbered) (B)	Rs. 989.17/- crore
	Ratio of A / B	0.00065
End use of money	<p>Borrowed amount to be utilized for what purpose -</p> <p>(o) Personal use by promoters and PACs</p> <p>(p) For the benefit of listed company</p> <p>Provide details including amount, purpose of raising money by listed company, schedule for utilization of amount, repayment schedule etc.</p> <p>(a) Any other reason (please specify)</p>	<p>NO</p> <p>Yes</p> <p>The loan has been taken for the business purpose of the company. The pledge of shares are in terms of the CDR scheme sanctioned vide Letter of Approval (LOA) dated 11.09.2009 of the outstanding as on the cut off dated i.e. 31.01.2009.</p> <p>Due to withdrawal of CDR/Re-work of CDR on 16.11.2012, the company is under financial overall restructuring.</p>



Signature of Authorised Signatory:

Place: Ghaziabad

Date: October 1, 2019

Details of Promoter shareholding along with % of total shares encumbered as on date

S.No.	Name of Promoter	PAH	Total nos. shares held	% of total share capital	Number of Shares pledged or otherwise encumbered	% of shares encumbered
1	K. K. Agarwal (Ilf)	AAJHK7991K	117000	0.30	0	0
2	Sandeep Agarwal(Ilf)	AAJH89672P	94380	0.24	44380	0.419
3	Nidhi Agarwal	AAKPA2949J	216100	0.55	16100	0.152
4	K. K. Agarwal	AAJPA5044B	1147460	2.93	647460	6.116
5	Sandeep Agarwal	ACEPA4737C	651510	1.67	401510	3.793
6	Sanyog Agarwal	ACGPA7178K	248650	0.64	248650	2.349
7	Rohan Agarwal	AIUPA3170F	430128	1.1	230128	2.174
8	Sunandini Agarwal	AIUPA3196R	800	0.00*	800	0.008
9	Coronation Spinning India Pvt Ltd	AAACC8311R	900000	2.3	300000	2.83
10	Caren Fintec (P) Ltd	AAACC8312N	295000	0.75	45000	0.43
11	Padam Precision Dies And Components Pvt Ltd	AAACP4217H	1688200	4.32	188200	1.78
12	Prefect Finmen Services (P) Ltd	AAACP8958R	412800	1.06	262800	2.48
13	Peek Finvest (P) Ltd	AAACP8959Q	342082	0.87	342082	3.23
14	Roseat Finvest (P) Ltd	AAACR7175G	382000	0.98	382000	3.61
15	Peek Texfab Ltd	AABCA6857F	100120	0.26	120	0.00
16	Pacific Texmark Private Limited	AABCA7511N	2835872	7.25	335872	3.17
17	Alps Processers Private Limited	AABCA7512R	250116	0.64	250116	2.36
18	Supreme Finvest (P) Ltd	AACCS0471N	290000	0.74	140000	1.32
19	Saurabh Floriculture (P) Ltd	AACCS6111R	183800	0.47	183800	1.74
TOTAL			10586018	27.06	4019018	37.97

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SAURABH FLORICULTURE PRIVATE LIMITED

F-228, GROUND FLOOR, LADO SARAI, NEW DELHI-110 030
CIN NO:- U74899DL1995PTC067348, Email: roc.rkgovil@gmail.com



October 1, 2019

<p>The General Manager Market Operations Deptt., National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot No. C/1, G-Block, Bandra-Kurla Complex Bandra (E), Mumbai - 400 051 Ph - 91-22-2659 8101 - 8114 Fax : 022 - 26598237/38/ 2659 8100 Email - cmlist@nse.co.in</p>	<p>Ms. Erika D'Sa, (Relationship Manager) Corporate Relationship Deptt., Bombay Stock Exchange Limited, 1ST Floor New Trading Ring, Rotunda Building, P. J. Towers, Dalal Street, Fort, Mumbai-400 001. Ph - 022-22728995 Fax: 022 - 22723121 / 3719/2037/2039/2041/2061 Email - intern.erika@bseindia.com, corp.relations@bseindia.com</p>
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SUB: SUBMISSION OF DISCLOSURE OF REASONS FOR ENCUMBRANCE BY PROMOTER IN TERMS OF SEBI CIRCULAR NO. SEBI/HO/CFD/DCR1/CIR/P/2019/90 DATED AUGUST 07, 2019


Dear Sir,

This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter, as required I, Authorized Representative of Saurabh Floriculture (P) Ltd (the "company") am submitting herewith the said disclosure on behalf of the company, being under the promoter group.

This is for your kind information and record please.

Thanking you,

Yours faithfully,
For **Saurabh Floriculture (P) Ltd (Promoter)**


Sandeep Agarwal
(Authorized Representative)

CC to:

✓ To
Company Secretary
ALPS INDUSTRIES LIMITED
57/2, Site-IV, Industrial Area, Sahibabad,
Ghaziabad-201010 (U.P)

Encl. a/a.

Disclosure of reasons for encumbrance

(In addition to Annexure - I prescribed by way of circular dated August 05, 2015)

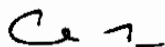
Name of listed company	ALPS INDUSTRIES LIMITED
Name of the recognised stock exchanges where the shares of the company are listed	1. National Stock Exchange of India Limited(NSE) 2. Bombay Stock Exchange Limited(BSE)
Name of the promoter(s) / PACs whose shares have been encumbered	Saurabh Floriculture (P) Ltd
Total promoter shareholding in the listed company	No. of shares -183800 % of total share capital -0.47%
Encumbered shares as a % of promoter shareholding	1.74%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

Details of all the existing events/ agreements pertaining to encumbrance

	Date of creation of encumbrance: 26.06.2010	
Type of encumbrance (pledge, lien, negative lien, non-disposal undertaking etc. or any other covenant, transaction, condition or arrangement in the nature of encumbrance)	Pledge	
No. and % of shares encumbered	No. of shares: 183800 % of total promoter shareholding :1.74%	
Specific details about the encumbrance	Name of the entity in whose favour shares encumbered (X)	State Bank of India being Lead Banker
	Whether the entity X is a scheduled commercial bank, public financial institution, NBFC or housing finance company? If No, provide the nature of the business of the entity.	YES, State Bank of India is a Scheduled Commercial Bank
	Names of all other entities in the agreement	Listed company and its group companies (if any) - Not Applicable

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		Other entities (if any) - Not Applicable
	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument, including credit rating	NO
Security Cover / Asset Cover	Value of shares on the date of event / agreement (A)	Rs. 0.64 /- Crore
	Amount involved (against which shares have been encumbered) (B)	Rs. 989.17/- crore
	Ratio of A / B	0.00065
End use of money	<p>Borrowed amount to be utilized for what purpose -</p> <p>(ii) Personal use by promoters and PACs</p> <p>(ij) For the benefit of listed company</p> <p>Provide details including amount, purpose of raising money by listed company, schedule for utilization of amount, repayment schedule etc.</p> <p>(a) Any other reason (please specify)</p>	<p>NO</p> <p>Yes</p> <p>The loan has been taken for the business purpose of the company. The pledge of shares are in terms of the CDR scheme sanctioned vide Letter of Approval (LOA) dated 11.09.2009 of the outstanding as on the cut off dated i.e. 31.01.2009.</p> <p>Due to withdrawal of CDR/Re-work of CDR on 16.11.2012, the company is under financial overall restructuring.</p>



Signature of Authorised Signatory:

Place: Ghaziabad

Date: October 1, 2019

Details of Promoter shareholding along with % of total shares encumbered as on date

S.No.	Name of Promoter	PAN	Total nos. shares held	% of total share capital	Number of Shares pledged or otherwise encumbered	% of shares encumbered
1	K. K. Agarwal (Huf)	AABHK7991K	117000	0.30	0	0
2	Sandeep Agarwal(Huf)	AADHS9672P	94380	0.24	44380	0.419
3	Nidhi Agarwal	AAKPA2949J	216100	0.55	16100	0.152
4	K. K. Agarwal	AAPPA5044B	1147460	2.93	647460	6.116
5	Sandeep Agarwal	ACEPA4737G	651510	1.67	401510	3.793
6	Sanyog Agarwal	ACGPA7178K	248650	0.64	248650	2.349
7	Rohan Agarwal	AIUPA3170F	430128	1.1	230128	2.174
8	Sunandini Agarwal	AIUPA3196R	800	0.00*	800	0.008
9	Coronation Spinning India Pvt Ltd	AAACC8311R	900000	2.3	300000	2.83
10	Careen Fintec (P) Ltd	AAACC8312N	295000	0.75	45000	0.43
11	Padam Precision Dies And Components Pvt Ltd	AAACP4217H	1688200	4.32	188200	1.78
12	Prefect Finmen Services (P) Ltd	AAACP8958R	412800	1.06	262800	2.48
13	Peek Finvest (P) Ltd	AAACP8959Q	342082	0.87	342082	3.23
14	Roseat Finvest (P) Ltd	AAACR7175G	382000	0.98	382000	3.61
15	Peek Texfab Ltd	AABCA6857F	100120	0.26	120	0.00
16	Pacific Texmark Private Limited	AABCA7511N	2835872	7.25	335872	3.17
17	Alps Processers Private Limited	AABCA7512R	250116	0.64	250116	2.36
18	Supreme Finvest (P) Ltd	AACCS0471N	290000	0.74	140000	1.32
19	Saurabh Floriculture (P) Ltd	AACCS6111R	183800	0.47	183800	1.74
TOTAL			10586018	27.06	4019018	37.97

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SUPREME FINVEST PRIVATE LIMITED

F-228, GROUND FLOOR, LADO SARAI, NEW DELHI-110 030
CIN NO:- U74899DL1995PTC067351, Email: roc.rkgovil@gmail.com



October 1, 2019

The General Manager Market Operations Deptt., National Stock Exchange of India Ltd. , Exchange Plaza, 5th Floor, Plot No. C/1, G-Block, Bandra-Kurla Complex Bandra (E), Mumbai - 400 051 Ph - 91-22-2659 8101 - 8114 Fax : 022 - 26598237/38/ 2659 8100 Email - cmlist@nse.co.in	Ms. Erika D'Sa, (Relationship Manager) Corporate Relationship Deptt., Bombay Stock Exchange Limited , 1 ST Floor New Trading Ring, Rotunda Building, P. J. Towers, Dalal Street, Fort, Mumbai-400 001. Ph - 022-22728995 Fax: 022 - 22723121 / 3719/2037/2039/2041/2061 Email - intern.erika@bseindia.com, corp.relations@bseindia.com
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SUB: SUBMISSION OF DISCLOSURE OF REASONS FOR ENCUMBRANCE BY PROMOTER IN TERMS OF SEBI CIRCULAR NO. SEBI/HO/CFD/DCR1/CIR/P/2019/90 DATED AUGUST 07, 2019


Dear Sir,

This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter, as required I, Authorized Representative of Supreme Finvest (P) Ltd (the "company") am submitting herewith the said disclosure on behalf of the company, being under the promoter group.

This is for your kind information and record please.

Thanking you,

Yours faithfully,
For **Supreme Finvest (P) Ltd (Promoter)**


Sandeep Agarwal
(Authorized Representative)

CC to:
To
Company Secretary
ALPS INDUSTRIES LIMITED
57/2, Site-IV, Industrial Area, Sahibabad,
Ghaziabad-201010 (U.P)

Encl. a/a.

Disclosure of reasons for encumbrance

(In addition to Annexure - I prescribed by way of circular dated August 05, 2015)

Name of listed company	ALPS INDUSTRIES LIMITED
Name of the recognised stock exchanges where the shares of the company are listed	1. National Stock Exchange of India Limited(NSE) 2. Bombay Stock Exchange Limited(BSE)
Name of the promoter(s) / PACs whose shares have been encumbered	Supreme Finvest (P) Ltd
Total promoter shareholding in the listed company	No. of shares -290000 % of total share capital -0.74%
Encumbered shares as a % of promoter shareholding	1.32%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

Details of all the existing events/ agreements pertaining to encumbrance

	Date of creation of encumbrance: 26.06.2010	
Type of encumbrance (pledge, lien, negative lien, non-disposal undertaking etc. or any other covenant, transaction, condition or arrangement in the nature of encumbrance)	Pledge	
No. and % of shares encumbered	No. of shares: 140000 % of total promoter shareholding :1.32%	
Specific details about the encumbrance	Name of the entity in whose favour shares encumbered (X)	State Bank of India being Lead Banker
	Whether the entity X is a scheduled commercial bank, public financial institution, NBFC or housing finance company? If No, provide the nature of the business of the entity.	YES, State Bank of India is a Scheduled Commercial Bank
	Names of all other entities in the agreement	Listed company and its group companies (if any) - Not Applicable

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		Other entities (if any) – Not Applicable
	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument, including credit rating	NO
Security Cover / Asset Cover	Value of shares on the date of event / agreement (A)	Rs. 0.64 /- Crore
	Amount involved (against which shares have been encumbered) (B)	Rs. 989.17/- crore
	Ratio of A / B	0.00065
End use of money	<p>Borrowed amount to be utilized for what purpose –</p> <p>(gg) Personal use by promoters and PACs</p> <p>(hh) For the benefit of listed company</p> <p>Provide details including amount, purpose of raising money by listed company, schedule for utilization of amount, repayment schedule etc.</p> <p>(a) Any other reason (please specify)</p>	<p>NO</p> <p>Yes</p> <p>The loan has been taken for the business purpose of the company. The pledge of shares are in terms of the CDR scheme sanctioned vide Letter of Approval (LOA) dated 11.09.2009 of the outstanding as on the cut off dated i.e. 31.01.2009.</p> <p>Due to withdrawal of CDR/Re-work of CDR on 16.11.2012, the company is under financial overall restructuring.</p>

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Signature of Authorised Signatory:

Place: Ghaziabad

Date: October 1, 2019

Details of Promoter shareholding along with % of total shares encumbered as on date

S.No.	Name of Promoter	PAN	Total nos. shares held	% of total share capital	Number of Shares pledged or otherwise encumbered	% of shares encumbered
1	K. K. Agarwal (Huf)	AABHK7991K	117000	0.30	0	0
2	Sandeep Agarwal(Huf)	AADHS9672P	94380	0.24	44380	0.419
3	Nidhi Agarwal	AAKPA2949J	216100	0.55	16100	0.152
4	K. K. Agarwal	AAPPA5044B	1147460	2.93	647460	6.116
5	Sandeep Agarwal	ACEPA4737G	651510	1.67	401510	3.793
6	Sanyog Agarwal	ACGPA7178K	248650	0.64	248650	2.349
7	Rohan Agarwal	AIUPA3170F	430128	1.1	230128	2.174
8	Sunandini Agarwal	AIUPA3196R	800	0.00*	800	0.008
9	Coronation Spinning India Pvt Ltd	AAACC8311R	900000	2.3	300000	2.83
10	Careen Fintec (P) Ltd	AAACC8312N	295000	0.75	45000	0.43
11	Padam Precision Dies And Components Pvt Ltd	AAACP4217H	1688200	4.32	188200	1.78
12	Prelect Finmen Services (P) Ltd	AAACP8958R	412800	1.06	262800	2.48
13	Peek Finvest (P) Ltd	AAACP8959Q	342082	0.87	342082	3.23
14	Roseat Finvest (P) Ltd	AAACR7175G	382000	0.98	382000	3.61
15	Peek Texfab Ltd	AABCA6857F	100120	0.26	120	0.00
16	Pacific Texmark Private Limited	AABCA7511N	2835872	7.25	335872	3.17
17	Alps Processors Private Limited	AABCA7512R	250116	0.64	250116	2.36
18	Supreme Finvest (P) Ltd	AACCS0471N	290000	0.74	140000	1.32
19	Saurabh Floriculture (P) Ltd	AACCS6111R	183800	0.47	183800	1.74
TOTAL			10586018	27.06	4019018	37.97

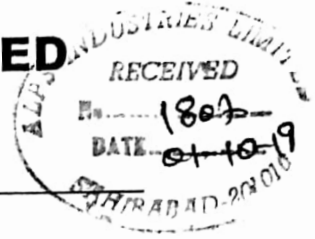
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ALPS PROCESSERS PRIVATE LIMITED

REGD. OFFICE: -F-228, GROUND FLOOR, LADO SARAI, NEW DELHI-110 030

CIN: U22219DL1996PTC080342

Email: ajay_guptajl@yahoo.co.in, Phone: 01129523097



October 1, 2019

<p>The General Manager Market Operations Deptt., National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot No. C/1, G-Block, Bandra-Kurla Complex Bandra (E), Mumbai - 400 051 Ph - 91-22-2659 8101 - 8114 Fax : 022 - 26598237/38/ 2659 8100 Email - cmlist@nse.co.in</p>	<p>Ms. Erika D'Sa, (Relationship Manager) Corporate Relationship Deptt., Bombay Stock Exchange Limited, 1ST Floor New Trading Ring, Rotunda Building, P. J. Towers, Dalal Street, Fort, Mumbai-400 001. Ph - 022-22728995 Fax: 022 - 22723121 / 3719/2037/2039/2041/2061 Email - intern.erika@bseindia.com, corp.relations@bseindia.com</p>
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SUB: SUBMISSION OF DISCLOSURE OF REASONS FOR ENCUMBRANCE BY PROMOTER IN TERMS OF SEBI CIRCULAR NO. SEBI/HO/CFD/DCR1/CIR/P/2019/90 DATED AUGUST 07, 2019

Dear Sir,

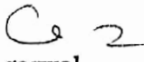
This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter, as required I, Authorized Representative of Alps Processers Private Limited (the "company") am submitting herewith the said disclosure on behalf of the company, being under the promoter group.

This is for your kind information and record please.

Thanking you,

Yours faithfully,

For **Alps Processers Private Limited (Promoter)**


Sandeep Agarwal
(Authorized Representative)

CC to

To

Company Secretary
ALPS INDUSTRIES LIMITED
57/2, Site-IV, Industrial Area, Sahibabad,
Ghaziabad-201010 (U.P)

Encl. a/a.

Disclosure of reasons for encumbrance

(In addition to Annexure - I prescribed by way of circular dated August 05, 2015)

Name of listed company	ALPS INDUSTRIES LIMITED
Name of the recognised stock exchanges where the shares of the company are listed	1. National Stock Exchange of India Limited(NSE) 2. Bombay Stock Exchange Limited(BSE)
Name of the promoter(s) / PACs whose shares have been encumbered	Alps Processers Private Limited
Total promoter shareholding in the listed company	No. of shares -250116 % of total share capital -0.64%
Encumbered shares as a % of promoter shareholding	2.36%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

Details of all the existing events/ agreements pertaining to encumbrance

	Date of creation of encumbrance: 26.06.2010	
Type of encumbrance (pledge, lien, negative lien, non-disposal undertaking etc. or any other covenant, transaction, condition or arrangement in the nature of encumbrance)	Pledge	
No. and % of shares encumbered	No. of shares: 250116 % of total promoter shareholding :2.36%	
Specific details about the encumbrance	Name of the entity in whose favour shares encumbered (X)	State Bank of India being Lead Banker
	Whether the entity X is a scheduled commercial bank, public financial institution, NBFC or housing finance company? If No, provide the nature of the business of the entity.	YES, State Bank of India is a Scheduled Commercial Bank
	Names of all other entities in the agreement	Listed company and its group companies (if any) - Not Applicable

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		Other entities (if any) – Not Applicable
	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument, including credit rating	NO
Security Cover / Asset Cover	Value of on the date of event / shares agreement (A)	Rs. 0.64 /- Crore
	Amount involved (against which shares have been encumbered) (B)	Rs. 989.17/- crore
	Ratio of A / B	0.00065
End use of money	<p>Borrowed amount to be utilized for what purpose –</p> <p>(ee) Personal use by promoters and PACs</p> <p>(ff) For the benefit of listed company</p> <p>Provide details including amount, purpose of raising money by listed company, schedule for utilization of amount, repayment schedule etc.</p> <p>(a) Any other reason (please specify)</p>	<p>NO</p> <p>Yes</p> <p>The loan has been taken for the business purpose of the company. The pledge of shares are in terms of the CDR scheme sanctioned vide Letter of Approval (LOA) dated 11.09.2009 of the outstanding as on the cut off dated i.e. 31.01.2009.</p> <p>Due to withdrawal of CDR/Re-work of CDR on 16.11.2012, the company is under financial overall restructuring.</p>

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Signature of Authorised Signatory:

Place: Ghaziabad

Date: October 1, 2019

Details of Promoter shareholding along with % of total shares encumbered as on date

S.No.	Name of Promoter	PAN	Total nos. shares held	% of total share capital	Number of Shares pledged or otherwise encumbered	% of shares encumbered
1	K. K. Agarwal (Huf)	AABHK7991K	117000	0.30	0	0
2	Sandeep Agarwal(Huf)	AADHS9672P	94380	0.24	44380	0.419
3	Nidhi Agarwal	AAKPA2949J	216100	0.55	16100	0.152
4	K. K. Agarwal	AAPPA5044B	1147460	2.93	647460	6.116
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6	Sanyog Agarwal	ACGPA7178K	248650	0.64	248650	2.349
7	Rohan Agarwal	AIUPA3170F	430128	1.1	230128	2.174
8	Sunandini Agarwal	AIUPA3196R	800	0.00*	800	0.008
9	Coronation Spinning India Pvt Ltd	AAACC8311R	900000	2.3	300000	2.83
10	Careen Fintec (P) Ltd	AAACC8312N	295000	0.75	45000	0.43
11	Padam Precision Dies And Components Pvt Ltd	AAACP4217H	1688200	4.32	188200	1.78
12	Prefect Finmen Services (P) Ltd	AAACP8958R	412800	1.06	262800	2.48
13	Peek Finvest (P) Ltd	AAACP8959Q	342082	0.87	342082	3.23
14	Roseat Finvest (P) Ltd	AAACR7175G	382000	0.98	382000	3.61
15	Peek Texfab Ltd	AABCA6857F	100120	0.26	120	0.00
16	Pacific Texmark Private Limited	AABCA7511N	2835872	7.25	335872	3.17
17	Alps Processers Private Limited	AABCA7512R	250116	0.64	250116	2.36
18	Supreme Finvest (P) Ltd	AACCS0471N	290000	0.74	140000	1.32
19	Saurabh Floriculture (P) Ltd	AACCS6111R	183800	0.47	183800	1.74
TOTAL			10586018	27.06	4019018	37.97

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October 1, 2019

<p>The General Manager Market Operations Deptt., National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot No. C/1, G-Block, Bandra-Kurla Complex Bandra (E), Mumbai - 400 051 Ph - 91-22-2659 8101 - 8114 Fax : 022 - 26598237/38/ 2659 8100 Email - cmlist@nse.co.in</p>	<p>Ms. Erika D'Sa, (Relationship Manager) Corporate Relationship Deptt., Bombay Stock Exchange Limited, 1st Floor New Trading Ring, Rotunda Building, P. J. Towers, Dalal Street, Fort, Mumbai-400 001. Ph - 022-22728995 Fax: 022 - 22723121 / 3719/2037/2039/2041/2061 Email - intern.erika@bseindia.com, corp.relationships@bseindia.com</p>
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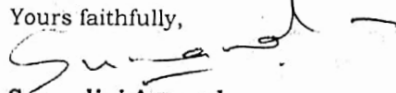
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This is for your kind information and record please.

Thanking you,

Yours faithfully,


Sunandini Agarwal
(Promoter)

CC to:

To
Company Secretary
ALPS INDUSTRIES LIMITED
57/2, Site-IV, Industrial Area, Sahibabad,
Ghaziabad-201010 (U.P)

Encl. a/a.

Disclosure of reasons for encumbrance

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Name of listed company	ALPS INDUSTRIES LIMITED
Name of the recognised stock exchanges where the shares of the company are listed	1. National Stock Exchange of India Limited(NSE) 2. Bombay Stock Exchange Limited(BSE)
Name of the promoter(s) / PACs whose shares have been encumbered	Sunandini Agarwal
Total promoter shareholding in the listed company	No. of shares -800 % of total share capital -0.00%*
Encumbered shares as a % of promoter shareholding	0.008%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

*Negligible

Details of all the existing events/ agreements pertaining to encumbrance

	Date of creation of encumbrance: 26.06.2010
Type of encumbrance (pledge, lien, negative lien, non-disposal undertaking etc. or any other covenant, transaction, condition or arrangement in the nature of encumbrance)	Pledge
No. and % of shares encumbered	No. of shares: 800 % of total promoter shareholding :0.008%
Specific details about the encumbrance	Name of the entity in whose favour shares encumbered (X) State Bank of India being Lead Banker
	Whether the entity X is a scheduled commercial bank, public financial institution, NBFC or housing finance company? If No, provide the nature of the business of the entity. YES, State Bank of India is a Scheduled Commercial Bank
	Names of all other entities in the agreement Listed company and its group companies (if any) - Not Applicable

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		Other entities (if any) – Not Applicable
	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument, including credit rating	NO
Security Cover / Asset Cover	Value of _____ on the date of event / shares agreement (A)	Rs. 0.64 /- Crore
	Amount involved (against which shares have been encumbered) (B)	Rs. 989.17/- crore
	Ratio of A / B	0.00065
End use of money	<p>Borrowed amount to be utilized for what purpose –</p> <p>(k) Personal use by promoters and PACs</p> <p>(l) For the benefit of listed company</p> <p>Provide details including amount, purpose of raising money by listed company, schedule for utilization of amount, repayment schedule etc.</p> <p>(a) Any other reason (please specify)</p>	<p>NO</p> <p>Yes</p> <p>The loan has been taken for the business purpose of the company. The pledge of shares are in terms of the CDR scheme sanctioned vide Letter of Approval (LOA) dated 11.09.2009 of the outstanding as on the cut off dated i.e. 31.01.2009.</p> <p>Due to withdrawal of CDR/Re-work of CDR on 16.11.2012, the company is under financial overall restructuring.</p>

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Place: Ghaziabad

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