Alps Industries Ltd. REGD./CORP. OFFICE 57/2, Site-IV, Industrial Area Sahibabad, Ghaziabad - 201010 Uttar Pradesh (India)

ALPS/500/SE10/2019-2020

October 1, 2019

The General Manager	Ms. Erika D'Sa, (Relationship Manager)
Market Operations Deptt.,	Corporate Relationship Deptt.,
National Stock Exchange of India Ltd.,	Bombay Stock Exchange Limited,
Exchange Plaza, 5th Floor,	1 <sup>ST</sup> Floor New Trading Ring,
Plot No. C/1, G-Block, Bandra-Kurla Complex	Rotunda Building, P. J. Towers,
Bandra (E), Mumbai - 400 051	Dalal Street, Fort, Mumbai-400 001.
Ph – 91-22-2659 8101 – 8114	Ph - 022-22728995
Fax : 022 - 26598237/38/ 2659 8100	Fax: 022 – 22723121 /
Email – cmlist@nse.co.in	3719/2037/2039/2041/2061
	Email – intern.erika@bseindia.com ,
	corp.relations@bseindia.com

#### SUB: SUBMISSION OF DISCLOSURE OF REASONS FOR ENCUMBRANCE OF PROMOTER SHAREHOLDING RECEIVED FROM THE PROMOTERS OF THE COMPANY IN TERMS OF SEBI CIRCULAR NO. SEBI/HO/CFD/DCR1/CIR/P/2019/90 DATED AUGUST 07, 2019

Dear Sir,

With reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019, we are submitting herewith the disclosure of reasons for encumbrance of promoter shareholding received from respective promoters of the company on October 1, 2019 in terms of the said circular.

This is for your kind information and record please.

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Thanking you,

Yours faithfully, For ALPS INDUSTRIES LIMITED

(Ajay Gupta) Company Secretary & General Manager - Legal Encl. a/a.

INDUSTRIE RECEIN DATE DE PATE AB	BD B
The General Manager Market Operations Deptt., <b>National Stock Exchange of India Ltd.,</b> Exchange Plaza, 5th Floor, Plot No. C/1, G-Block, Bandra-Kurla Complex Bandra (E), <b>Mumbai - 400 051</b> Ph - 91-22-2659 8101 - 8114 Fax : 022 - 26598237/38/ 2659 8100 <b>Email</b> - cmlist@nse.co.in	Ms. Erika D'Sa, (Relationship Manager) Corporate Relationship Deptt., <b>Bombay Stock Exchange Limited</b> , 1 <sup>st</sup> Floor New Trading Ring, Rotunda Building, P. J. Towers, Dalal Street, Fort, <b>Mumbai-400 001</b> . Ph - 022-22728995 Fax: 022 - 22723121 / 3719/2037/2039/2041/2061 Email - intern.erika@bseindia.com, corp.relations@bseindia.com

#### SUB: SUBMISSION OF DISCLOSURE OF REASONS FOR ENCUMBRANCE BY PROMOTER IN TERMS OF SEBI CIRCULAR NO. SEBI/HO/CFD/DCR1/CIR/P/2019/90 DATED AUGUST 07, 2019

Dear Sir,

This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter, as required I am submitting herewith the said disclosure being under the promoter group.

This is for your kind information and record please.

Thanking you,

Yours faithfully,

Sandeep Agarwal (Promoter)

CC to:

Company Secretary ALPS INDUSTRIES LIMITED 57/2, Site-IV, Industrial Area, Sahibabad, Ghaziabad-201010 (U.P)

Encl. a/a.

(In addition to Annexure - I prescribed by way of circular dated August 05, 2015)

Name of listed company	ALPS INDUSTRIES LIMITED
Name of the recognised stock exchanges where the shares of the company are listed	<ol> <li>National Stock Exchange of India Limited(NSE)</li> <li>Bombay Stock Exchange Limited(BSE)</li> </ol>
Name of the promoter(s) / PACs whose shares have been encumbered	Sandeep Agarwal
Total promoter shareholding in the listed	No. of shares -651510
company	% of total share capital -1.67%
Encumbered shares as a % of promoter shareholding	3.793%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

## Details of all the existing events/ agreements pertaining to encumbrance

		Date of creation of encumbrance: 26.06.2010
disposal undertaking etc. or a	e (pledge, lien, negative lien, non- ny other covenant, transaction, ment in the nature of encumbrance)	Pledge
No. and % of shares		No. of shares: 401510 % of total promoter shareholding :3.793%
Specific details	Name of the entity in whose favour	State Bank of India being
about the	shares	Lead Banker
encumbrance	encumbered (X) Whether the entity X is a scheduled commercial bank, public financial institution, NBFC or housing finance company? If No, provide the nature of the business of the entity.	YES, State Bank of India is a Scheduled Commercial Bank
	Names of all other entities in the agreement	Listed company and its group companies (if any) – Not Applicable

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		Other entities (if any) – Not Applicable
Security Cover /	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument, including credit rating Value of on the date of event /	
Asset Cover	shares agreement (A) Amount involved (against which shares have been encumbered) (B)	
	Ratio of A / B	0.00065
End use of money	Borrowed amount to be utilized for what purpose – (e) Personal use by promoters and PACs (f) For the benefit of listed	NO Yes
	Provide details including amount, purpose of raising money by listed company, schedule for utilization of amount, repayment schedule etc.	The loan has been taken for the business purpose of the company. The pledge of shares are in terms of the CDR scheme sanctioned vide Letter of Approval (LOA) dated 11.09.2009 of the outstanding
		as on the cut off dated i.e. 31.01.2009. Due to withdrawal of CDR/Re- work of CDR on 16.11.2012, the company is under financial overall restructuring.

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Signature of Authorised Signatory:

Place: Ghaziabad

S.No.	Name of Promoter	PAN	Total nos. shares held	% of total share capital	Number of Shares pledged or otherwise encumber ed	% of shares encumbered
1	K. K. Agarwal (Huf)	AABHK7991K	117000	0.30	0	0
2	Sandeep Agarwal(Huf)	AADHS9672P	94380	0.24	44380	0.419
3	Nidhi Agarwal	AAKPA2949J	216100	0.55	16100	0.152
4	K. K. Agarwal	AAPPA5044B	1147460	2.93	647460	6.116
5	Sandeep Agarwal	ACEPA4737G	651510	1.67	401510	3.793
6	Sanyog Agarwal	ACGPA7178K	248650	0.64	248650	2.349
7	Rohan Agarwal	AIUPA3170F	430128	1.1	230128	2.174
8	Sunandini Agarwal	AIUPA3196R	800	0.00*	800	0.008
9	Coronation Spinning India Pvt Ltd	AAACC8311R	900000	2.3	300000	2.83
10	Careen Fintec (P) Ltd	AAACC8312N	295000	0.75	45000	0.43
11	Padam Precision Dies And Components Pvt Ltd	AAACP4217H	1688200	4.32	188200	1.78
12	Prefect Finmen Services (P) Ltd	AAACP8958R	412800	1.06	262800	2.48
13	Peek Finvest (P) Ltd	AAACP8959Q	342082	0.87	342082	3.23
14	Roseat Finvest (P) Ltd	AAACR7175G	382000	0.98	382000	3.61
15	Peek Texfab Ltd	AABCA6857F	100120	0.26	120	0.00
16	Pacific Texmark Private Limited	AABCA7511N	2835872	7.25	335872	3.17
17	Alps Processers Private Limited	AABCA7512R	250116	0.64	250116	2.36
18	Supreme Finvest (P) Ltd	AACCS0471N	290000	0.74	140000	1.32
19	Saurabh Floriculture (P) Ltd	AACCS6111R	183800	0.47	183800	1.74
	TOTAL		10586018	27.06	4019018	37.97

# Details of Promoter shareholding along with % of total shares encumbered as on date

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October 1, 2019

The General ManagerMs. Erika D'SaMarket Operations Deptt.,Corporate RelatNational Stock Exchange of India Ltd.,Bombay StockExchange Plaza, 5th Floor,Ist Floor New TPlot No. C/1, G-Block, Bandra-KurlaRotunda BuildiComplex Bandra (E), Mumbai - 400 051Dalal Street, FoPh - 91-22-2659 8101 - 8114Ph - 022-22728Fax : 022 - 26598237/38/ 2659 8100Fax: 022 - 2272Email - cmlist@nse.co.in3719/2037/20Email - intern.co.Email - intern.co.

Ms. Erika D'Sa, (Relationship Manager) Corporate Relationship Deptt., **Bombay Stock Exchange Limited**, 1<sup>ST</sup> Floor New Trading Ring, Rotunda Building, P. J. Towers, Dalal Street, Fort, **Mumbai-400 001.** Ph - 022-22728995 Fax: 022 - 22723121 / 3719/2037/2039/2041/2061 Email - intern.erika@bseindia.com, corp.relations@bseindia.com

SUB: SUBMISSION OF DISCLOSURE OF REASONS FOR ENCUMBRANCE BY PROMOTER IN TERMS OF SEBI CIRCULAR NO. SEBI/HO/CFD/DCR1/CIR/P/2019/90 DATED AUGUST 07, 2019

Dear Sir,

This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter, as required I, Karta of Sandeep Agarwal & Sons, am submitting herewith the said disclosure being under the promoter group.

This is for your kind information and record please.

Thanking you,

Yours faithfully, For **Sandeep Agarwal & Sons (Promoter)** 

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Sandeep Agarwal (Karta)

to: To

Company Secretary ALPS INDUSTRIES LIMITED 57/2, Site-IV, Industrial Area, Sahibabad, Ghaziabad-201010 (U.P)

Encl. a/a.

## (In addition to Annexure - I prescribed by way of circular dated August 05, 2015)

Name of listed company	ALPS INDUSTRIES LIMITED
Name of the recognised stock exchanges where the shares of the company are listed	<ol> <li>National Stock Exchange of India Limited(NSE)</li> <li>Bombay Stock Exchange Limited(BSE)</li> </ol>
Name of the promoter(s) / PACs whose shares have been encumbered	Sandeep Agarwal & Sons (HUF)
Total promoter shareholding in the listed	No. of shares -94380
company	% of total share capital -0.24%
Encumbered shares as a % of promoter shareholding	0.419%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

# Details of all the existing events/ agreements pertaining to encumbrance

		Date of creation of encumbrance: 26.06.2010
disposal undertaking etc. or a condition or arranger	e (pledge, lien, negative lien, non- ny other covenant, transaction, nent in the nature of encumbrance)	Pledge
No. and % of shares e	encumbered	No. of shares: 44380 % of total promoter shareholding :0.419%
Specific details about the	Name of the entity in whose favour shares encumbered (X)	State Bank of India being Lead Banker
encumbrance	Whether the entity X is a scheduled commercial bank, public financial institution, NBFC or housing finance company? If No, provide the nature of the business of the entity.	YES, State Bank of India is a Scheduled Commercial Bank
	Names of all other entities in the agreement	Listed company and its group companies (if any) – Not Applicable

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	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument, including credit	
Security Cover / Asset Cover	rating Value of on the date of event / shares agreement (A) Amount involved (against which shares have been encumbered) (B)	
	Ratio of A / B	0.00065
End use of money		NO Yes The loan has been taken for the business purpose of the company. The pledge of shares are in terms of the CDR scheme sanctioned vide Letter of Approval (LOA) dated 11.09.2009 of the outstanding as on the cut off dated i.e. 31.01.2009. Due to withdrawal of CDR/Re- work of CDR on 16.11.2012, the company is under financial overall restructuring.

Signature of Authorised Signatory:

Place: Ghaziabad

Details of Promoter shareholding alo	ong with $\%$	of total shares	s encumbered as on date

S.No.	Name of Promoter	PAN	Total nos. shares held	% of total share capital	Number of Shares pledged or otherwise encumber ed	% of shares encumbered
1	K. K. Agarwal (Huf)	AABHK7991K	117000	0.30	0	0
2	Sandeep Agarwal(Huf)	AADHS9672P	94380	0.24	44380	0.419
3	Nidhi Agarwal	AAKPA2949J	216100	0.55	16100	0.152
4	K. K. Agarwal	AAPPA5044B	1147460	2.93	647460	6.116
5	Sandeep Agarwal	ACEPA4737G	651510	1.67	401510	3.793
6	Sanyog Agarwal	ACGPA7178K	248650	0.64	248650	2.349
7	Rohan Agarwal	AIUPA3170F	430128	1.1	230128	2.174
8	Sunandini Agarwal	AIUPA3196R	800	0.00*	800	0.008
9	Coronation Spinning India Pvt Ltd	AAACC8311R	900000	2.3	300000	2.83
10	Careen Fintec (P) Ltd	AAACC8312N	295000	0.75	45000	0.43
11	Padam Precision Dies And Components Pvt Ltd	AAACP4217H	1688200	4.32	188200	1.78
12	Prefect Finmen Services (P) Ltd	AAACP8958R	412800	1.06	262800	2.48
13	Peek Finvest (P) Ltd	AAACP8959Q	342082	0.87	342082	3.23
14	Roseat Finvest (P) Ltd	AAACR7175G	382000	0.98	382000	3.61
15	Peek Texfab Ltd	AABCA6857F	100120	0.26	120	0.00
16	Pacific Texmark Private Limited	AABCA7511N	2835872	7.25	335872	3.17
17	Alps Processers Private Limited	AABCA7512R	250116	0.64	250116	2.36
18	Supreme Finvest (P) Ltd	AACCS0471N	290000	0.74	140000	1.32
19	Saurabh Floriculture (P) Ltd	AACCS6111R	183800	0.47	183800	1.74
	TOTAL		10586018	27.06	4019018	37.97

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#### SUB: SUBMISSION OF DISCLOSURE OF REASONS FOR ENCUMBRANCE BY PROMOTER IN TERMS OF SEBI CIRCULAR NO. SEBI/HO/CFD/DCR1/CIR/P/2019/90 DATED AUGUST 07, 2019

Dear Sir,

5 . .

This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter, as required I am submitting herewith the said disclosure being under the promoter group.

This is for your kind information and record please.

Thanking you,

Yours faithfully,

Rylon

Rohan Agarwal (Promoter)

CC to: Company Secretary ALPS INDUSTRIES LIMITED 57/2, Site-IV, Industrial Area, Sahibabad, Ghaziabad-201010 (U.P)

Encl. a/a.

(In addition to Annexure - I prescribed by way of circular dated August 05, 2015)

Name of listed company	ALPS INDUSTRIES LIMITED
Name of the recognised stock exchanges where the shares of the company are listed	<ol> <li>National Stock Exchange of India Limited(NSE)</li> <li>Bombay Stock Exchange Limited(BSE)</li> </ol>
Name of the promoter(s) / PACs whose shares have been encumbered	Rohan Agarwal
Total promoter shareholding in the listed company	No. of shares -430128 % of total share capital -1.1%
Encumbered shares as a % of promoter shareholding	2.174%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

## Details of all the existing events/ agreements pertaining to encumbrance

		Date of creation of encumbrance: 26.06.2010
disposal undertaking etc. or a	e (pledge, lien, negative lien, non- ny other covenant, transaction, <u>nent in the nature of encumbrance)</u> encumbered	Pledge No. of shares: 230128 % of total promoter shareholding :2.174%
Specific details about the encumbrance	Name of the entity in whose favour shares encumbered (X) Whether the entity X is a scheduled commercial bank, public financial institution, NBFC or housing finance company? If No, provide the nature of the business of the entity.	State Bank of India being Lead Banker YES, State Bank of India is a Scheduled Commercial Bank
	Names of all other entities in the agreement	Listed company and its group companies (if any) – Not Applicable



		Other entities (if any) – Not Applicable
Security Cover / Asset Cover	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument, including credit rating Value of on the date of event / shares	
	agreement (A) Amount involved (against which shares have been encumbered) (B)	Rs. 989.17/- crore
	Ratio of A / B	0.00065
End use of money	Borrowed amount to be utilized for what purpose – (i) Personal use by promoters and PACs	NO
	(j) For the benefit of listed company	Yes The loan has been taken for
	purpose of raising money by listed company, schedule for utilization of amount, repayment schedule etc.	the business purpose of the company. The pledge of shares are in terms of the CDR scheme sanctioned vide Letter of Approval (LOA) dated 11.09.2009 of the outstanding
		as on the cut off dated i.e. 31.01.2009. Due to withdrawal of CDR/Re- work of CDR on 16.11.2012, the company is under financial overall restructuring.

Signature of Authorised Signatory:

Place: Ghaziabad

5.10.	Promoter	PAN	held	% of total share capital	Number of Shares pledged or otherwise encumber ed	% of shares encumbered
1	K. K. Agarwal (Huf)	AABHK7991K	117000	0.30	0	0
2	Sandeep Agarwal(Huf)	AADHS9672P	94380	0.24	44380	0.419
3	Nidhi Agarwal	AAKPA2949J	216100	0.55	16100	0.152
4	K. K. Agarwal	AAPPA5044B	1147460	2.93	647460	6.116
5	Sandeep Agarwal	ACEPA4737G	651510	1.67	401510	3.793
6	Sanyog Agarwal	ACGPA7178K	248650	0.64	248650	2.349
7	Rohan Agarwal	AIUPA3170F	430128	1.1	230128	2.174
8	Sunandini Agarwal	AIUPA3196R	800	0.00*	800	0.008
9	Coronation Spinning India Pvt Ltd	AAACC8311R	900000	2.3	300000	2.83
10	Careen Fintec (P) Ltd	AAACC8312N	295000	0.75	45000	0.43
11	Padam Precision Dies And Components Pvt Ltd	AAACP4217H	1688200	4.32	188200	1.78
12	Prefect Finmen Services (P) Ltd	AAACP8958R	412800	1.06	262800	2.48
13	Peek Finvest (P) Ltd	AAACP8959Q	342082	0.87	342082	3.23
14	Roseat Finvest (P) Ltd	AAACR7175G	382000	0.98	382000	3.61
15	Peek Texfab Ltd	AABCA6857F	100120	0.26	120	0.00
16	Pacific Texmark Private Limited	AABCA7511N	2835872	7.25	335872	3.17
17	Alps Processers Private Limited	AABCA7512R	250116	0.64	250116	2.36
18	Supreme Finvest (P) Ltd	AACCS0471N	290000	0.74	140000	1.32
19	Saurabh Floriculture (P)	AACCS6111R	183800	0.47	183800	1.74

# Details of Promoter shareholding along with % of total shares encumbered as on date

Total nos.

% of

Number of

% of shares

PAN

S.No.

Name of

Floriculture (P)

TOTAL

Ltd

Roway

10586018

27.06

4019018

37.97

Justices LL USTRIES RECE October 1, 2019 Ms. Erika D'Sa, (Relationship Manager) The General Manager Corporate Relationship Deptt., Market Operations Deptt., Bombay Stock Exchange Limited, National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, 1st Floor New Trading Ring, Rotunda Building, P. J. Towers, Plot No. C/1, G-Block, Bandra-Kurla Dalal Street, Fort, Mumbai-400 001. Complex Bandra (E), Mumbai - 400 051 Ph - 91-22-2659 8101 - 8114 Ph - 022-22728995 Fax: 022 - 26598237/38/ 2659 8100 Fax: 022 - 22723121 / 3719/2037/2039/2041/2061 Email - cmlist@nse.co.in Email - intern.erika@bseindia.com, corp.relations@bseindia.com

SUB: SUBMISSION OF DISCLOSURE OF REASONS FOR ENCUMBRANCE BY PROMOTER IN TERMS OF SEBI CIRCULAR NO. SEBI/HO/CFD/DCR1/CIR/P/2019/90 DATED AUGUST 07, 2019

Dear Sir,

This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter, as required I am submitting herewith the said disclosure being under the promoter group.

This is for your kind information and record please.

Thanking you,

Yours faithfully, anyoy Ayand 3

Sanyog Agarwal (Promoter)

CC ro: To Company Secretary ALPS INDUSTRIES LIMITED 57/2, Site-IV, Industrial Area, Sahibabad, Ghaziabad-201010 (U.P)

Encl. a/a.

(In addition to Annexure - I prescribed by way of circular dated August 05, 2015)

Name of listed company	ALPS INDUSTRIES LIMITED
Name of the recognised stock exchanges where the shares of the company are listed	<ol> <li>National Stock Exchange of India Limited(NSE)</li> <li>Bombay Stock Exchange Limited(BSE)</li> </ol>
Name of the promoter(s) / PACs whose shares have been encumbered	Sanyog Agarwal
Total promoter shareholding in the listed	No. of shares -248650
company	% of total share capital -0.64%
Encumbered shares as a % of promoter shareholding	2.349%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

# Details of all the existing events/ agreements pertaining to encumbrance

		Date of creation of encumbrance: 26.06.2010
disposal undertaking etc. or a	e (pledge, lien, negative lien, non- ny other covenant, transaction, nent in the nature of encumbrance)	Pledge
No. and % of shares e		No. of shares: 248650 % of total promoter shareholding :2.349%
Specific details about the encumbrance	Name of the entity in whose favour shares encumbered (X)	State Bank of India being Lead Banker
	Whether the entity X is a scheduled commercial bank, public financial institution, NBFC or housing finance company? If No, provide the nature of the business of the entity.	YES, State Bank of India is a Scheduled Commercial Bank
my ay the gand	Names of all other entities in the agreement	Listed company and its group companies (if any) – Not Applicable

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	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument, including credit	Other entities (if any) – Not Applicable NO
Security Cover / Asset Cover	rating Value of on the date of event / shares agreement (A) Amount involved (against which shares have been encumbered) (B)	
	Ratio of A / B	0.00065
End use of money	<ul> <li>(g) Personal use by promoters and PACs</li> <li>(h) For the benefit of listed company</li> <li>Provide details including amount, purpose of raising money by listed company, schedule for utilization of amount, repayment schedule etc.</li> <li>(a) Any other reason (please specify)</li> </ul>	NO Yes The loan has been taken for the business purpose of the company. The pledge of shares are in terms of the CDR scheme sanctioned vide Letter of Approval (LOA) dated 11.09.2009 of the outstanding as on the cut off dated i.e. 31.01.2009. Due to withdrawal of CDR/Re- work of CDR on 16.11.2012, the company is under financial overall restructuring.

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Signature of Authorised Signatory:

Place: Ghaziabad

## Details of Promoter shareholding along with % of total shares encumbered as on date

S.No.	Name of Promoter	PAN	Total nos. shares held	% of total share capital	Number of Shares pledged or otherwise encumber ed	% of shares encumbered
1	K. K. Agarwal (Huf)	AABHK7991K	117000	0.30	0	0
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4	K. K. Agarwal	AAPPA5044B	1147460	2.93	647460	6.116
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15	Peek Texfab Ltd	AABCA6857F	100120	0.26	120	0.00
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17	Alps Processers Private Limited	AABCA7512R	250116	0.64	250116	2.36
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19	Saurabh Floriculture (P) Ltd	AACCS6111R	183800	0.47	183800	1.74
	TOTAL		10586018	27.06	4019018	37.97

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October 1, 2019

The General Manager Ms. Erika D'Sa, (Relationship Manager) Market Operations Deptt., Corporate Relationship Deptt., National Stock Exchange of India Ltd., Bombay Stock Exchange Limited, Exchange Plaza, 5th Floor, 1<sup>ST</sup> Floor New Trading Ring, Plot No. C/1, G-Block, Bandra-Kurla Rotunda Building, P. J. Towers, Complex Bandra (E), Mumbai - 400 051 Dalal Street, Fort, Mumbai-400 001. Ph - 91-22-2659 8101 - 8114 Ph - 022-22728995 Fax: 022 - 26598237/38/ 2659 8100 Fax: 022 - 22723121 / Email - cmlist@nse.co.in 3719/2037/2039/2041/2061 Email - intern.erika@bseindia.com, corp.relations@bseindia.com

SUB:SUBMISSION OFDISCLOSUREOFREASONSFORENCUMBRANCEBYPROMOTERINTERMSOFSEBICIRCULARNO.SEBI/HO/CFD/DCR1/CIR/P/2019/90DATED AUGUST 07, 2019

Dear Sir,

This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter, as required I am submitting herewith the said disclosure being under the promoter group.

This is for your kind information and record please.

Thanking you,

Yours faithfully Nidhe Nidhi Agarwal (Promoter)

CC to: To Company Secretary ALPS INDUSTRIES LIMITED 57/2, Site-IV, Industrial Area, Sahibabad, Ghaziabad-201010 (U.P)

Encl. a/a.

(In addition to Annexure - I prescribed by way of circular dated August 05, 2015)

Name of listed company	ALPS INDUSTRIES LIMITED
Name of the recognised stock exchanges where the shares of the company are listed	<ol> <li>National Stock Exchange of India Limited(NSE)</li> <li>Bombay Stock Exchange Limited(BSE)</li> </ol>
Name of the promoter(s) / PACs whose shares have been encumbered	Nidhi Agarwal
Total promoter shareholding in the listed company	No. of shares -216100 % of total share capital -0.55%
Encumbered shares as a % of promoter shareholding	0.152%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

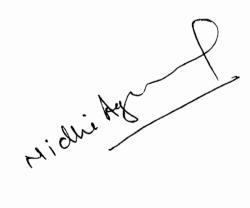
### Details of all the existing events/ agreements pertaining to encumbrance

		Date of creation of encumbrance: 26.06.2010
disposal undertaking etc. or a	e (pledge, lien, negative lien, non- ny other covenant, transaction, nent in the nature of encumbrance) encumbered	Pledge No. of shares: 16100 % of total promoter
Specific details about the encumbrance	Name of the entity in whose favour shares encumbered (X) Whether the entity X is a scheduled commercial bank, public financial institution, NBFC or housing finance company? If No, provide the nature of the business of the entity.	shareholding :0.152% State Bank of India being Lead Banker
	Names of all other entities in the agreement	Listed company and its group companies (if any) – Not Applicable
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	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument,	Other entities (if any) – Not Applicable NO
	including credit	
Security Cover / Asset Cover	rating Value of on the date of event / shares agreement (A)	
	Amount involved (against which shares have been encumbered) (B)	Rs. 989.17/- crore
	Ratio of A / B	0.00065
End use of money	<ul> <li>(d) For the benefit of listed company</li> <li>Provide details including amount, purpose of raising money by listed company, schedule for utilization of amount, repayment schedule etc.</li> <li>(a) Any other reason (please specify)</li> </ul>	NO Yes The loan has been taken for the business purpose of the company. The pledge of shares are in terms of the CDR scheme sanctioned vide Letter of Approval (LOA) dated 11.09.2009 of the outstanding as on the cut off dated i.e. 31.01.2009. Due to withdrawal of CDR/Re- work of CDR on 16.11.2012, the company is under financial overall restructuring.

Signature of Authorised Signatory:

Place: Ghaziabad



S.No.	Name of Promoter	PAN	Total nos. shares held	% of total share capital	Number of Shares pledged or otherwise encumber ed	% of shares encumbered
1	K. K. Agarwal (Huf)	AABHK7991K	117000	0.30	0	0
2	Sandeep Agarwal(Huf)	AADHS9672P	94380	0.24	44380	0.419
3	Nidhi Agarwal	AAKPA2949J	216100	0.55	16100	0.152
4	K. K. Agarwal	AAPPA5044B	1147460	2.93	647460	6.116
5	Sandeep Agarwal	ACEPA4737G	651510	1.67	401510	3.793
6	Sanyog Agarwal	ACGPA7178K	248650	0.64	248650	2.349
7	Rohan Agarwal	AIUPA3170F	430128	1.1	230128	2.174
8	Sunandini Agarwal	AIUPA3196R	800	0.00*	800	0.008
9	Coronation Spinning India Pvt Ltd	AAACC8311R	900000	2.3	300000	2.83
10	Careen Fintec (P) Ltd	AAACC8312N	295000	0.75	45000	0.43
11	Padam Precision Dies And Components Pvt Ltd	AAACP4217H	1688200	4.32	188200	1.78
12	Prefect Finmen Services (P) Ltd	AAACP8958R	412800	1.06	262800	2.48
13	Peek Finvest (P) Ltd	AAACP8959Q	342082	0.87	342082	3.23
14	Roseat Finvest (P) Ltd	AAACR7175G	382000	0.98	382000	3.61
15	Peek Texfab Ltd	AABCA6857F	100120	0.26	120	0.00
16	Pacific Texmark Private Limited	AABCA7511N	2835872	7.25	335872	3.17
17	Alps Processers Private Limited	AABCA7512R	250116	0.64	250116	2.36
18	Supreme Finvest (P) Ltd	AACCS0471N	290000	0.74	140000	1.32
19	Saurabh Floriculture (P) Ltd	AACCS6111R	183800	0.47	183800	1.74
	TOTAL		10586018	27.06	4019018	37.97

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October 1, 2019

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Ms. Erika D'Sa, (Relationship Manager) The General Manager Market Operations Deptt., Corporate Relationship Deptt., National Stock Exchange of India Ltd., Bombay Stock Exchange Limited, Exchange Plaza, 5th Floor, Plot No. C/1, G-Block, Bandra-Kurla 1<sup>ST</sup> Floor New Trading Ring, Rotunda Building, P. J. Towers, Dalal Street, Fort, Mumbai-400 001. Complex Bandra (E), Mumbai - 400 051 Ph - 91-22-2659 8101 - 8114 Fax: 022 - 26598237/38/ 2659 8100 Ph - 022-22728995 Fax: 022 - 22723121 / Email - cmlist@nse.co.in 3719/2037/2039/2041/2061 Email – intern.erika@bseindia.com, corp.relations@bseindia.com

#### SUB: SUBMISSION OF DISCLOSURE OF REASONS FOR ENCUMBRANCE BY PROMOTER IN TERMS OF SEBI CIRCULAR NO. SEBI/HO/CFD/DCR1/CIR/P/2019/90 DATED AUGUST 07, 2019

Dear Sir,

This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter. As required I am submitting herewith the said disclosure being under the promoter group.

This is for your kind information and record please.

Thanking you,

Yours faithfully,

K.K Agarwat

(Promoter) CC to:

70 Company Secretary ALPS INDUSTRIES LIMITED 57/2, Site-IV, Industrial Area, Sahibabad, Ghaziabad-201010 (U.P)

Encl. a/a.

## (In addition to Annexure - I prescribed by way of circular dated August 05, 2015)

Name of listed company	ALPS INDUSTRIES LIMITED
Name of the recognised stock exchanges where the shares of the company are listed	<ol> <li>National Stock Exchange of India Limited(NSE)</li> <li>Bombay Stock Exchange Limited(BSE)</li> </ol>
Name of the promoter(s) / PACs whose shares have been encumbered	K.K. Agarwal
Total promoter shareholding in the listed	No. of shares -1147460
company	% of total share capital -2.93%
Encumbered shares as a % of promoter shareholding	6.11%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

## Details of all the existing events/ agreements pertaining to encumbrance

		Date of creation of encumbrance: 26.06.2010
disposal undertaking etc. or a	e (pledge, lien, negative lien, non- ny other covenant, transaction,	Pledge
No. and % of shares	nent in the nature of encumbrance)	N 6 1 6 6 7 7 6 6
No. and % of shares (	encumbered	No. of shares: 647460 % of total promoter shareholding :6.11%
Specific details about the encumbrance	Name of the entity in whose favour shares encumbered (X) Whether the entity X is a scheduled commercial bank, public financial institution, NBFC or housing finance	State Bank of India being Lead Banker
	company? If No, provide the nature of the business of the entity.	
	Names of all other entities in the agreement	Listed company and its group companies (if any) – Not Applicable

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		Other entities (if any) – Not Applicable
	Whether the encumbrance is relating to any debt instruments viz.	NO
	debenture, commercial paper,	
	certificate of deposit etc.? If yes, provide details about the instrument,	
	including credit rating	
Security Cover / Asset Cover	Value of on the date of event / shares	Rs. 0.64 /- Crore
	agreement (A) Amount involved (against which shares have been encumbered) (B)	Rs. 989.17/- crore
	Ratio of A / B	0.00065
End use of	Borrowed amount to be utilized for	
money	(a) Personal use by promoters and PACs	NO
	(b) For the benefit of listed company	Yes
	Provide details including amount, purpose of raising money by listed company, schedule for utilization of amount, repayment schedule etc. (a) Any other reason (please specify)	The loan has been taken for the business purpose of the company. The pledge of shares are in terms of the CDR scheme sanctioned vide Letter of Approval (LOA) dated 11.09.2009 of the outstanding as on the cut off dated i.e. 31.01.2009.
		Due to withdrawal of CDR/Re- work of CDR on 16.11.2012, the company is under financial overall restructuring.

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Signature of Authorised Signatory:

Place: Ghaziabad

S.No.	Name of Promoter	PAN	Total nos. shares held	% of total share capital	Number of Shares pledged or otherwise encumber ed	% of shares encumbered
1	K. K. Agarwal (Huf)	AABHK7991K	117000	0.30	0	0
2	Sandeep Agarwal(Huf)	AADHS9672P	94380	0.24	44380	0.419
3	Nidhi Agarwal	AAKPA2949J	216100	0.55	16100	0.152
4	K. K. Agarwal	AAPPA5044B	1147460	2.93	647460	6.116
5	Sandeep Agarwal	ACEPA4737G	651510	1.67	401510	3.793
6	Sanyog Agarwal	ACGPA7178K	248650	0.64	248650	2.349
7	Rohan Agarwal	AIUPA3170F	430128	1.1	230128	2.174
8	Sunandini Agarwal	AIUPA3196R	800	0.00*	800	0.008
9	Coronation Spinning India Pvt Ltd	AAACC8311R	900000	2.3	300000	2.83
10	Careen Fintec (P) Ltd	AAACC8312N	295000	0.75	45000	0.43
11	Padam Precision Dies And Components Pvt Ltd	AAACP4217H	1688200	4.32	188200	1.78
12	Prefect Finmen Services (P) Ltd	AAACP8958R	412800	1.06	262800	2.48
13	Peek Finvest (P) Ltd	AAACP8959Q	342082	0.87	342082	3.23
14	Roseat Finvest (P) Ltd	AAACR7175G	382000	0.98	382000	3.61
15	Peek Texfab Ltd	AABCA6857F	100120	0.26	120	0.00
16	Pacific Texmark Private Limited	AABCA7511N	2835872	7.25	335872	3.17
17	Alps Processers Private Limited	AABCA7512R	250116	0.64	250116	2.36
18	Supreme Finvest (P) Ltd	AACCS0471N	290000	0.74	140000	1.32
19	Saurabh Floriculture (P) Ltd	AACCS6111R	183800	0.47	183800	1.74
	TOTAL		10586018	27.06	4019018	37.97

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# PACIFIC TEXMARK PRIVATE LIMITED

REGD. OFFICE: F-228, GROUND FLOOR, LADO SARAI, NEW DELHI-110030 CIN: U67120DL1997PTC084608, EMAIL: ajay\_guptaji@yahoo.com

#### October 1, 2019

The General Manager	Ms. Erika D'Sa, (Relationship Manager)
Market Operations Deptt.,	Corporate Relationship Deptt.,
National Stock Exchange of India Ltd.,	Bombay Stock Exchange Limited,
Exchange Plaza, 5th Floor,	1 <sup>ST</sup> Floor New Trading Ring,
Plot No. C/1, G-Block, Bandra-Kurla	Rotunda Building, P. J. Towers,
Complex Bandra (E), Mumbai - 400 051	Dalal Street, Fort, Mumbai-400 001.
Ph - 91-22-2659 8101 - 8114	Ph - 022-22728995
Fax: 022 - 26598237/38/ 2659 8100	Fax: 022 - 22723121 /
Email - cmlist@nse.co.in	3719/2037/2039/2041/2061
	Email – intern.erika@bseindia.com,
	corp.relations@bseindia.com

#### SUB: SUBMISSION OF DISCLOSURE OF REASONS FOR ENCUMBRANCE BY PROMOTER IN TERMS OF SEBI CIRCULAR NO. SEBI/HO/CFD/DCR1/CIR/P/2019/90 DATED AUGUST 07, 2019

Dear Sir,

This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter, as required I, Authorized Representative of Pacific Texmark Private Limited (the "company") am submitting herewith the said disclosure on behalf of the company, being under the promoter group.

This is for your kind information and record please.

Thanking you,

Yours faithfully, For **Pacific Texmark Private Limited (Promoter)** 

Sandeep Agarwal (Authorized Representative)

CC do: To Company Secretary ALPS INDUSTRIES LIMITED 57/2, Site-IV, Industrial Area, Sahibabad, Ghaziabad-201010 (U.P)

Encl. a/a.

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(In addition to Annexure - I prescribed by way of circular dated August 05, 2015)

Name of listed company	ALPS INDUSTRIES LIMITED
Name of the recognised stock exchanges where the shares of the company are listed	<ol> <li>National Stock Exchange of India Limited(NSE)</li> <li>Bombay Stock Exchange Limited(BSE)</li> </ol>
Name of the promoter(s) / PACs whose shares have been encumbered	Pacific Texmark Private Limited
Total promoter shareholding in the listed	No. of shares -2835872
company	% of total share capital -7.25%
Encumbered shares as a % of promoter shareholding	3.17%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

### Details of all the existing events/ agreements pertaining to encumbrance

		Date of creation of encumbrance: 26.06.2010
disposal undertaking etc. or	e (pledge, lien, negative lien, non-	Pledge
condition or arrange	ment in the nature of encumbrance)	
No. and % of shares	encumbered	No. of shares: 335872
		% of total promoter shareholding :3.17%
Specific details	Name of the entity in whose favour	State Bank of India being
about the	shares	Lead Banker
encumbrance	encumbered (X)	
	Whether the entity X is a scheduled commercial bank, public financial institution, NBFC or housing finance company? If No, provide the nature of the business of the entity.	YES, State Bank of India is a Scheduled Commercial Bank
	Names of all other entities in the agreement	Listed company and its group companies (if any) – Not Applicable

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	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument, including credit	Other entities (if any) – Not Applicable NO
Security Cover / Asset Cover	rating Value of on the date of event / shares agreement (A) Amount involved (against which shares have been encumbered) (B)	
	Ratio of A / B	0.00065
End use of money	<ul> <li>(dd)For the benefit of listed company</li> <li>Provide details including amount, purpose of raising money by listed company, schedule for utilization of amount, repayment schedule etc.</li> <li>(a) Any other reason (please specify)</li> </ul>	NO Yes The loan has been taken for the business purpose of the company. The pledge of shares are in terms of the CDR scheme sanctioned vide Letter of Approval (LOA) dated 11.09.2009 of the outstanding as on the cut off dated i.e. 31.01.2009. Due to withdrawal of CDR/Re- work of CDR on 16.11.2012, the company is under financial overall restructuring.

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Signature of Authorised Signatory:

Place: Ghaziabad

Details of Promoter shareholding along w	with % of total shares encumbered as on date

S.No.	Name of Promoter	PAN	Total nos. shares held	% of total share capital	Number of Shares pledged or otherwise encumber ed	% of shares encumbered
1	K. K. Agarwal (Huf)	AABHK7991K	117000	0.30	0	0
2	Sandeep Agarwal(Huf)	AADHS9672P	94380	0.24	44380	0.419
3	Nidhi Agarwal	AAKPA2949J	216100	0.55	16100	0.152
4	K. K. Agarwal	AAPPA5044B	1147460	2.93	647460	6.116
5	Sandeep Agarwal	ACEPA4737G	651510	1.67	401510	3.793
6	Sanyog Agarwal	ACGPA7178K	248650	0.64	248650	2.349
7	Rohan Agarwal	AIUPA3170F	430128	1.1	230128	2.174
8	Sunandini Agarwal	AIUPA3196R	800	0.00*	800	0.008
9	Coronation Spinning India Pvt Ltd	AAACC8311R	900000	2.3	300000	2.83
10	Careen Fintec (P) Ltd	AAACC8312N	295000	0.75	45000	0.43
11	Padam Precision Dies And Components Pvt Ltd	AAACP4217H	1688200	4.32	188200	1.78
12	Prefect Finmen Services (P) Ltd	AAACP8958R	412800	1.06	262800	2.48
13	Peek Finvest (P) Ltd	AAACP8959Q	342082	0.87	342082	3.23
14	Roseat Finvest (P) Ltd	AAACR7175G	382000	0.98	382000	3.61
15	Peek Texfab Ltd	AABCA6857F	100120	0.26	120	0.00
16	Pacific Texmark Private Limited	AABCA7511N	2835872	7.25	335872	3.17
17	Alps Processers Private Limited	AABCA7512R	250116	0.64	250116	2.36
18	Supreme Finvest (P) Ltd	AACCS0471N	290000	0.74	140000	1.32
19	Saurabh Floriculture (P) Ltd	AACCS6111R	183800	0.47	183800	1.74
	TOTAL		10586018	27.06	4019018	37.97

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# PEEK TEXFAB LIMITED



REGD. OFF.: F-228, GROUND FLOOR, LADO SARAI, NEW DELHI-110030 CIN: U74899DL1995PLC074557, Email: ajay\_guptaji@yahoo.com

October 1, 2019

Ms. Erika D'Sa, (Relationship Manager) The General Manager Corporate Relationship Deptt., Market Operations Deptt., Bombay Stock Exchange Limited, National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, 1<sup>ST</sup> Floor New Trading Ring, Rotunda Building, P. J. Towers, Plot No. C/1, G-Block, Bandra-Kurla Dalal Street, Fort, Mumbai-400 001. Complex Bandra (E), Mumbai - 400 051 Ph - 022-22728995 Ph - 91-22-2659 8101 - 8114 Fax: 022 - 26598237/38/ 2659 8100 Fax: 022 - 22723121 / 3719/2037/2039/2041/2061 Email - cmlist@nse.co.in Email - intern.erika@bseindia.com, corp.relations@bseindia.com

#### SUB: SUBMISSION OF DISCLOSURE OF REASONS FOR ENCUMBRANCE BY PROMOTER IN TERMS OF SEBI CIRCULAR NO. SEBI/HO/CFD/DCR1/CIR/P/2019/90 DATED AUGUST 07, 2019

Dear Sir,

This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter, as required I, Authorized Representative of Peek Texfab Ltd (the "company") am submitting herewith the said disclosure on behalf of the company, being under the promoter group.

This is for your kind information and record please.

Thanking you,

Yours faithfully, For Peek Texfab Ltd (Promoter)

Sandeep Agarwal (Authorized Representative)

CC/to: To Company Secretary ALPS INDUSTRIES LIMITED 57/2, Site-IV, Industrial Area, Sahibabad, Ghaziabad-201010 (U.P)

Encl. a/a.

Scanned by CamScanner

(In addition to Annexure - I prescribed by way of circular dated August 05, 2015)

Name of listed company	ALPS INDUSTRIES LIMITED
Name of the recognised stock exchanges where the shares of the company are listed	<ol> <li>National Stock Exchange of India Limited(NSE)</li> <li>Bombay Stock Exchange Limited(BSE)</li> </ol>
Name of the promoter(s) / PACs whose shares have been encumbered	Peek Texfab Ltd
Total promoter shareholding in the listed	No. of shares -100120
company	% of total share capital -0.26%
Encumbered shares as a % of promoter shareholding	0.00%*
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

### Details of all the existing events/ agreements pertaining to encumbrance

		Date of creation of encumbrance: 26.06.2010
Type of encumbrance (pledge, lien, negative lien, non- disposal undertaking etc. or any other covenant, transaction, condition or arrangement in the nature of encumbrance)		Pledge
No. and % of shares of	encumbered	No. of shares: 120 % of total promoter shareholding :0.00%*
Specific details about the encumbrance	Name of the entity in whose favour shares encumbered (X) Whether the entity X is a scheduled commercial bank, public financial institution, NBFC or housing finance company? If No, provide the nature of the business of the entity.	State Bank of India being Lead Banker YES, State Bank of India is a Scheduled Commercial Bank
	Names of all other entities in the agreement	Listed company and its group companies (if any) – Not Applicable

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		Other entities (if any) – Not Applicable
	Whether the encumbrance is relating	NO
	to any debt instruments viz.	
	debenture, commercial paper,	
	certificate of deposit etc.? If yes,	
	provide details about the instrument, including credit	
	rating	
Security Cover / Asset Cover	Value of on the date of event / shares	Rs. 0.64 /- Crore
	agreement (A)	
	Amount involved (against which shares have been encumbered) (B)	Rs. 989.17/- crore
	Ratio of A / B	0.00065
End use of	Borrowed amount to be utilized for	
money	what purpose -	
	( <b>aa</b> )Personal use by promoters and PACs	NO
	(bb)For the benefit of listed	Yes
	company	
		The loan has been taken for
	8	the business purpose of the
	purpose of faising money by instea	company. The pledge of shares are in terms of the
	company, schedule for utilization of	CDR scheme sanctioned vide
	amount, repayment schedule etc.	Letter of Approval (LOA) dated
	(a) Any other reason (please specify)	11.09.2009 of the outstanding
		31.01.2009.
		Due to withdrawal of CDR/Re-
		work of CDR on 16.11.2012,
		the company is under
Negligible		financial overall restructuring.

\*Negligible

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Signature of Authorised Signatory:

Place: Ghaziabad

Details of Promoter shareholding along with % of total shares encumbered	l as on date

S.No.	Name of Promoter	PAN	Total nos. shares held	% of total share capital	Number of Shares pledged or otherwise encumber ed	% of shares encumbered
1	K. K. Agarwal (Huf)	AABHK7991K	117000	0.30	0	0
2	Sandeep Agarwal(Huf)	AADHS9672P	94380	0.24	44380	0.419
3	Nidhi Agarwal	AAKPA2949J	216100	0.55	16100	0.152
4	K. K. Agarwal	AAPPA5044B	1147460	2.93	647460	6.116
5	Sandeep Agarwal	ACEPA4737G	651510	1.67	401510	3.793
6	Sanyog Agarwal	ACGPA7178K	248650	0.64	248650	2.349
7	Rohan Agarwal	AIUPA3170F	430128	1.1	230128	2.174
8	Sunandini Agarwal	AIUPA3196R	800	0.00*	800	0.008
9	Coronation Spinning India Pvt Ltd	AAACC8311R	900000	2.3	300000	2.83
10	Careen Fintec (P) Ltd	AAACC8312N	295000	0.75	45000	0.43
11	Padam Precision Dies And Components Pvt Ltd	AAACP4217H	1688200	4.32	188200	1.78
12	Prefect Finmen Services (P) Ltd	AAACP8958R	412800	1.06	262800	2.48
13	Peek Finvest (P) Ltd	AAACP8959Q	342082	0.87	342082	3.23
14	Roseat Finvest (P) Ltd	AAACR7175G	382000	0.98	382000	3.61
15	Peek Texfab Ltd	AABCA6857F	100120	0.26	120	0.00
16	Pacific Texmark Private Limited	AABCA7511N	2835872	7.25	335872	3.17
17	Alps Processers Private Limited	AABCA7512R	250116	0.64	250116	2.36
18	Supreme Finvest (P) Ltd	AACCS0471N	290000	0.74	140000	1.32
19	Saurabh Floriculture (P) Ltd	AACCS6111R	183800	0.47	183800	1.74
	TOTAL		10586018	27.06	4019018	37.97

#### TETRIES

ROSEATE FINVEST PRIVATE LIMITED

F-228, GROUND FLOOR, LADO SARAI, NEW DELHI-110 030 CIN:- U74899DL1995PTC067348, Email: roc.rkgovil@gmail.com



October 1, 2019

#### SUB: SUBMISSION OF DISCLOSURE OF REASONS FOR ENCUMBRANCE BY PROMOTER IN TERMS OF SEBI CIRCULAR NO. SEBI/HO/CFD/DCR1/CIR/P/2019/90 DATED AUGUST 07, 2019

Dear Sir,

5.2

This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter, as required I, Authorized Representative of Roseate Finvest (P) Ltd (the "company") am submitting herewith the said disclosure on behalf of the company, being under the promoter group.

This is for your kind information and record please.

Thanking you,

Yours faithfully, For RoseateFinvest (P) Ltd (Promoter)

Sandeep Agarwal (Authorized Representative)

CC to: To Company Secretary ALPS INDUSTRIES LIMITED 57/2, Site-IV, Industrial Area, Sahibabad, Ghaziabad-201010 (U.P)

Encl. a/a.

Scanned by CamScanner

(In addition to Annexure - I prescribed by way of circular dated August 05, 2015)

Name of listed company	ALPS INDUSTRIES LIMITED
Name of the recognised stock exchanges where the shares of the company are listed	<ol> <li>National Stock Exchange of India Limited(NSE)</li> <li>Bombay Stock Exchange Limited(BSE)</li> </ol>
Name of the promoter(s) / PACs whose shares have been encumbered	Roseat Finvest (P) Ltd
Total promoter shareholding in the listed	No. of shares -382000
company	% of total share capital -0.98%
Encumbered shares as a % of promoter shareholding	3.61%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

# Details of all the existing events/ agreements pertaining to encumbrance

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		Date of creation of
		encumbrance: 26.06.2010
Type of encumbrance (pledge, lien, negative lien, non- disposal		Pledge
undertaking etc. or a	ny other covenant, transaction,	
	nent in the nature of encumbrance)	
No. and % of shares of	encumbered	No. of shares: 382000
		% of total promoter shareholding :3.61%
Specific details	Name of the entity in whose favour	State Bank of India being
about the	shares	Lead Banker
encumbrance	encumbered (X)	Dead Danker
encumbrance	Whether the entity X is a scheduled commercial bank, public financial institution, NBFC or housing finance company? If No, provide the nature of the business of the entity.	YES, State Bank of India is a Scheduled Commercial Bank
	Names of all other entities in the agreement	Listed company and its group companies (if any) – Not Applicable

		Other entities (if any) – Not Applicable
	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument, including credit	NO
Security Cover / Asset Cover	rating Value of on the date of event / shares agreement (A) Amount involved (against which shares have been encumbered) (B)	
	Ratio of A / B	0.00065
End use of money	Borrowed amount to be utilized for what purpose – (y) Personal use by promoters and PACs (z) For the benefit of listed company Provide details including amount,	NO Yes The loan has been taken for the business purpose of the
		company. The pledge of shares are in terms of the CDR scheme sanctioned vide Letter of Approval (LOA) dated 11.09.2009 of the outstanding as on the cut off dated i.e. 31.01.2009. Due to withdrawal of CDR/Re- work of CDR on 16.11.2012, the company is under financial overall restructuring.

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Signature of Authorised Signatory:

Place: Ghaziabad

## Details of Promoter shareholding along with % of total shares encumbered as on date

S.No.	Name of Promoter	PAN	Total nos. shares held	% of total share capital	Number of Shares pledged or otherwise encumber ed	% of shares encumbered
1	K. K. Agarwal (Huf)	AABHK7991K	117000	0.30	0	0
2	Sandeep Agarwal(Huf)	AADHS9672P	94380	0.24	44380	0.419
3	Nidhi Agarwal	AAKPA2949J	216100	0.55	16100	0.152
4	K. K. Agarwal	AAPPA5044B	1147460	2.93	647460	6.116
5	Sandeep Agarwal	ACEPA4737G	651510	1.67	401510	3.793
6	Sanyog Agarwal	ACGPA7178K	248650	0.64	248650	2.349
7	Rohan Agarwal	AIUPA3170F	430128	1.1	230128	2.174
8	Sunandini Agarwal	AIUPA3196R	800	0.00*	800	0.008
9	Coronation Spinning India Pvt Ltd	AAACC8311R	900000	2.3	300000	2.83
10	Careen Fintec (P) Ltd	AAACC8312N	295000	0.75	45000	0.43
11	Padam Precision Dies And Components Pvt Ltd	AAACP4217H	1688200	4.32	188200	1.78
12	Prefect Finmen Services (P) Ltd	AAACP8958R	412800	1.06	262800	2.48
13	Peek Finvest (P) Ltd	AAACP8959Q	342082	0.87	342082	3.23
14	Roseat Finvest (P) Ltd	AAACR7175G	382000	0.98	382000	3.61
15	Peek Texfab Ltd	AABCA6857F	100120	0.26	120	0.00
16	Pacific Texmark Private Limited	AABCA7511N	2835872	7.25	335872	3.17
17	Alps Processers Private Limited	AABCA7512R	250116	0.64	250116	2.36
18	Supreme Finvest (P) Ltd	AACCS0471N	290000	0.74	140000	1.32
19	Saurabh Floriculture (P) Ltd	AACCS6111R	183800	0.47	183800	1.74
	TOTAL		10586018	27.06	4019018	37.97

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# PEEK FINVEST PRIVATE LIMITED

F-228, GROUND FLOOR, LADO SARAI, NEW DELHI-110 030 CIN:- U74899DL1995PTC067339

October 1, 2019

The General Manager Market Operations Deptt., **National Stock Exchange of India Ltd.,** Exchange Plaza, 5th Floor, Plot No. C/1, G-Block, Bandra-Kurla Complex Bandra (E), **Mumbai - 400 051** Ph = 91-22-2659 8101 - 8114 Fax : 022 - 26598237/38/ 2659 8100 **Email** - cmlist@nse.co.in Ms. Erika D'Sa, (Relationship Manager) Corporate Relationship Deptt., Bombay Stock Exchange Limited, 1<sup>ST</sup> Floor New Trading Ring, Rotunda Building, P. J. Towers, Dalal Street, Fort, Mumbai-400 001. Ph - 022-22728995 Fax: 022 - 22723121 / 3719/2037/2039/2041/2061 Email - intern.erika@bseindia.com, corp.relations@bseindia.com

SUB: SUBMISSION OF DISCLOSURE OF REASONS FOR ENCUMBRANCE BY PROMOTER IN TERMS OF SEBI CIRCULAR NO. SEBI/HO/CFD/DCR1/CIR/P/2019/90 DATED AUGUST 07, 2019

Dear Sir,

3.

This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter, as required I, Authorized Representative of Peek Finvest (P) Ltd (the "company") am submitting herewith the said disclosure on behalf of the company, being under the promoter group.

This is for your kind information and record please.

Thanking you,

Yours faithfully, For Peek Finvest (P) Ltd (Promoter)

22

Sandeep Agarwal (Authorized Representative)

CC to: ю

Company Secretary ALPS INDUSTRIES LIMITED 57/2, Site-IV, Industrial Area, Sahibabad, Ghaziabad-201010 (U.P)

Encl. a/a.

(In addition to Annexure - I prescribed by way of circular dated August 05, 2015)

Name of listed company	ALPS INDUSTRIES LIMITED
0 0	1. National Stock Exchange of India
the shares of the company are listed	Limited(NSE) 2. Bombay Stock Exchange Limited(BSE)
Name of the promoter(s) / PACs whose shares have been encumbered	Peek Finvest (P) Ltd
Total promoter shareholding in the listed	No. of shares -342082
company	% of total share capital -0.87%
Encumbered shares as a % of promoter shareholding	3.23%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

## Details of all the existing events/ agreements pertaining to encumbrance

		Date of creation of encumbrance: 26.06.2010
disposal undertaking etc. or a	e (pledge, lien, negative lien, non- ny other covenant, transaction, ment in the nature of encumbrance) encumbered	Pledge No. of shares: 342082 % of total promoter shareholding :3.23%
Specific details about the encumbrance	Name of the entity in whose favour shares encumbered (X) Whether the entity X is a scheduled commercial bank, public financial institution, NBFC or housing finance company? If No, provide the nature of the business of the entity.	State Bank of India being Lead Banker
	Names of all other entities in the agreement	Listed company and its group companies (if any) – Not Applicable

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	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument,	Other entities (if any) – Not Applicable NO
	including credit rating	
Security Cover / Asset Cover	Value of on the date of event / shares agreement (A)	Rs. 0.64 /- Crore
	Amount involved (against which shares have been encumbered) (B)	Rs. 989.17/- crore
	Ratio of A / B	0.00065
End use of money	<ul> <li>(iii) Provide details including amount, purpose of raising money by listed company, schedule for utilization of amount, repayment schedule etc.</li> <li>(a) Any other reason (please specify)</li> </ul>	NO Yes The loan has been taken for the business purpose of the company. The pledge of shares are in terms of the CDR scheme sanctioned vide Letter of Approval (LOA) dated 11.09.2009 of the outstanding as on the cut off dated i.e. 31.01.2009. Due to withdrawal of CDR/Re- work of CDR on 16.11.2012, the company is under financial overall restructuring.

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Signature of Authorised Signatory:

Place: Ghaziabad

Details of Promoter shareholding along with % of total shares encumbered as on date

S.No.	Name of Promoter	PAN	Total nos. shares held	% of total share capital	Number of Shares pledged or otherwise encumber ed	% of shares encumbered
1	K. K. Agarwal (Huf)	AABHK7991K	117000	0.30	0	0
2	Sandeep Agarwal(Huf)	AADHS9672P	94380	0.24	44380	0.419
3	Nidhi Agarwal	AAKPA2949J	216100	0.55	16100	0.152
4	K. K. Agarwal	AAPPA5044B	1147460	2.93	647460	6.116
5	Sandeep Agarwal	ACEPA4737G	651510	1.67	401510	3.793
б	Sanyog Agarwal	ACGPA7178K	248650	0.64	248650	2.349
7	Rohan Agarwal	AIUPA3170F	430128	1.1	230128	2.174
8	Sunandini Agarwal	AIUPA3196R	800	0.00*	800	0.008
9	Coronation Spinning India Pvt Ltd	AAACC8311R	900000	2.3	300000	2.83
10	Careen Fintec (P) Ltd	AAACC8312N	295000	0.75	45000	0.43
11	Padam Precision Dies And Components Pvt Ltd	AAACP4217H	1688200	4.32	188200	1.78
12	Prefect Finmen Services (P) Ltd	AAACP8958R	412800	1.06	262800	2.48
13	Peek Finvest (P) Ltd	AAACP8959Q	342082	0.87	342082	3.23
14	Roseat Finvest (P) Ltd	AAACR7175G	382000	0.98	382000	3.61
15	Peek Texfab Ltd	AABCA6857F	100120	0.26	120	0.00
16	Pacific Texmark Private Limited	AABCA7511N	2835872	7.25	335872	3.17
17	Alps Processers Private Limited	AABCA7512R	250116	0.64	250116	2.36
18	Supreme Finvest (P) Ltd	AACCS0471N	290000	0.74	140000	1.32
19	Saurabh Floriculture (P) Ltd	AACCS6111R	183800	0.47	183800	1.74
	TOTAL		10586018	27.06	4019018	37.97

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## PREFECT FINMEN SERVICES PVT LTD

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F-228, GROUND FLOOR, LADO SARAI, NEW DELHI-110 030 CIN:- U74899DL1995PTC067340, Email: ajay\_guptaji@yahoo.com

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October 1, 2019

The General Manager	Ms. Erika D'Sa, (Relationship Manager)
Market Operations Deptt.,	Corporate Relationship Deptt.,
National Stock Exchange of India Ltd.,	Bombay Stock Exchange Limited,
Exchange Plaza, 5th Floor,	1 <sup>ST</sup> Floor New Trading Ring,
Plot No. C/1, G-Block, Bandra-Kurla	Rotunda Building, P. J. Towers,
Complex Bandra (E), Mumbai - 400 051	Dalal Street, Fort, Mumbai-400 001.
Ph - 91-22-2659 8101 - 8114	Ph - 022-22728995
Fax : 022 - 26598237/38/ 2659 8100	Fax: 022 - 22723121 /
Email - cmlist@nse.co.in	3719/2037/2039/2041/2061
	Email - intern.erika@bseindia.com,
	corp.relations@bseindia.com

SUB:SUBMISSION OF DISCLOSURE OF REASONS FOR ENCUMBRANCE BYPROMOTERINTERMSOFSEBICIRCULARNO.SEBI/HO/CFD/DCR1/CIR/P/2019/90DATED AUGUST 07, 2019

Dear Sir,

This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter, as required I, Authorized Representative of Prefect Finmen Services (P) Ltd (the "company") am submitting herewith the said disclosure on behalf of the company, being under the promoter group.

This is for your kind information and record please.

Thanking you,

Yours faithfully, For Prefect Finmen Services (P) Ltd (Promoter)

3

Sandeep Agarwal (Authorized Representative)

CC to: 26 Company Secretary **ALPS INDUSTRIES LIMITED** 57/2, Site-IV, Industrial Area, Sahibabad, Ghaziabad-201010 (U.P)

Encl. a/a.

#### (In addition to Annexure - I prescribed by way of circular dated August 05, 2015)

Name of listed company	ALPS INDUSTRIES LIMITED
Name of the recognised stock exchanges where the shares of the company are listed	<ol> <li>National Stock Exchange of India Limited(NSE)</li> <li>Bombay Stock Exchange Limited(BSE)</li> </ol>
Name of the promoter(s) / PACs whose shares have been encumbered	Prefect Finmen Services (P) Ltd
Total promoter shareholding in the listed company	No. of shares -412800 % of total share capital -1.06%
Encumbered shares as a % of promoter shareholding	2.48%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

## Details of all the existing events/ agreements pertaining to encumbrance

		Date of creation of encumbrance: 26.06.2010
disposal undertaking etc. or a	e (pledge, lien, negative lien, non- ny other covenant, transaction, nent in the nature of encumbrance)	Pledge
No. and % of shares o	encumbered	No. of shares: 262800 % of total promoter shareholding :2.48%
Specific details about the encumbrance	Name of the entity in whose favour shares encumbered (X) Whether the entity X is a scheduled commercial bank, public financial institution, NBFC or housing finance company? If No, provide the nature of the business of the entity.	State Bank of India being Lead Banker
	Names of all other entities in the agreement	Listed company and its group companies (if any) – Not Applicable

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		Other entities (if any) – Not Applicable
	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument, including credit rating	NO
Security Cover / Asset Cover	Value of on the date of event / shares agreement (A)	
	Amount involved (against which shares have been encumbered) (B)	Rs. 989.17/- crore
	Ratio of A / B	0.00065
End use of money	Borrowed amount to be utilized for what purpose – (u) Personal use by promoters and PACs	NO
	(v) For the benefit of listed company	Yes The loan has been taken for
	Provide details including amount, purpose of raising money by listed company, schedule for utilization of amount, repayment schedule etc.	the business purpose of the company. The pledge of shares are in terms of the CDR scheme sanctioned vide Letter of Approval (LOA) dated 11.09.2009 of the outstanding
		as on the cut off dated i.e. 31.01.2009. Due to withdrawal of CDR/Re- work of CDR on 16.11.2012, the company is under financial overall restructuring.

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Signature of Authorised Signatory:

Place: Ghaziabad

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S.No.	Name of Promoter	PAN	Total nos. shares held	% of total share capital	Number of Shares pledged or otherwise encumber ed	% of shares encumbered
1	K. K. Agarwal (Huf)	AABHK7991K	117000	0.30	0	0
2	Sandeep Agarwal(Huf)	AADHS9672P	94380	0.24	44380	0.419
3	Nidhi Agarwal	AAKPA2949J	216100	0.55	16100	0.152
4	K. K. Agarwal	AAPPA5044B	1147460	2.93	647460	6.116
5	Sandeep Agarwal	ACEPA4737G	651510	1.67	401510	3.793
6	Sanyog Agarwal	ACGPA7178K	248650	0.64	248650	2.349
7	Rohan Agarwal	AIUPA3170F	430128	1.1	230128	2.174
8	Sunandini Aga <del>r</del> wal	AIUPA3196R	800	0.00*	800	0.008
9	Coronation Spinning India Pvt Ltd	AAACC8311R	900000	2.3	300000	2.83
10	Careen Fintec (P) Ltd	AAACC8312N	295000	0.75	45000	0.43
11	Padam Precision Dies And Components Pvt Ltd	AAACP4217H	1688200	4.32	188200	1.78
12	Prefect Finmen Services (P) Ltd	AAACP8958R	412800	1.06	262800	2.48
13	Peek Finvest (P) Ltd	AAACP8959Q	342082	0.87	342082	3.23
14	Roseat Finvest (P) Ltd	AAACR7175G	382000	0.98	382000	3.61
15	Peek Texfab Ltd	AABCA6857F	100120	0.26	120	0.00
16	Pacific Texmark Private Limited	AABCA7511N	2835872	7.25	335872	3.17
17	Alps Processers Private Limited	AABCA7512R	250116	0.64	250116	2.36
18	Supreme Finvest (P) Ltd	AACCS0471N	290000	0.74	140000	1.32
19	Saurabh Floriculture (P) Ltd	AACCS6111R	183800	0.47	183800	1.74
	TOTAL		10586018	27.06	4019018	37.97

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CAREEN FINTEC PRIVATE LIMITED

No. 1805- 19 No. 1805- 19 DATE 0++0019 STATRABAD-201010

#### F-228, GROUND FLOOR, LADO SARAI, NEW DELHI-110 030 CIN: U67120DL1996PTC076021, Email: roc.rkgovil@gmail.com

#### October 1, 2019

The General Manager Market Operations Deptt., National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot No. C/1, G-Block, Bandra-Kurla Complex Bandra (E), Mumbai - 400 051 Ph - 91-22-2659 8101 - 8114 Fax : 022 - 26598237/38/ 2659 8100 Email - cmlist@nse.co.in

Ms. Erika D'Sa, (Relationship Manager) Corporate Relationship Deptt., **Bombay Stock Exchange Limited**, 1<sup>ST</sup> Floor New Trading Ring, Rotunda Building, P. J. Towers, Dalal Street, Fort, **Mumbai-400 001**. Ph - 022-22728995 Fax: 022 - 22723121 / 3719/2037/2039/2041/2061 Email - intern.erika@bseindia.com, corp.relations@bseindia.com

# SUB:SUBMISSION OFDISCLOSUREOFREASONSFORENCUMBRANCEBYPROMOTERINTERMSOFSEBICIRCULARNO.SEBI/HO/CFD/DCR1/CIR/P/2019/90DATEDAUGUST 07, 2019

Dear Sir,

This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter, as required I, Authorized Representative of Careen Fintec (P) Ltd (the "company") am submitting herewith the said disclosure on behalf of the company, being under the promoter group.

This is for your kind information and record please.

Thanking you,

Yours faithfully, For Careen Fintec (P) Ltd (Promoter)

Ca

Sandeep Agarwal (Authorized Representative)

CC to:

Company Secretary ALPS INDUSTRIES LIMITED 57/2, Site-IV, Industrial Area, Sahibabad, Ghaziabad-201010 (U.P)

Encl. a/a.

### (In addition to Annexure - I prescribed by way of circular dated August 05, 2015)

Name of listed company	ALPS INDUSTRIES LIMITED
Name of the recognised stock exchanges where the shares of the company are listed	<ol> <li>National Stock Exchange of India Limited(NSE)</li> <li>Bombay Stock Exchange Limited(BSE)</li> </ol>
Name of the promoter(s) / PACs whose shares have been encumbered	Careen Fintec (P) Ltd
Total promoter shareholding in the listed	No. of shares –295000
company	% of total share capital -0.75%
Encumbered shares as a % of promoter shareholding	0.43%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

## Details of all the existing events/ agreements pertaining to encumbrance

		Date of creation of encumbrance: 26.06.2010
disposal undertaking etc. or a	e (pledge, lien, negative lien, non- ny other covenant, transaction, ment in the nature of encumbrance) encumbered	Pledge No. of shares: 45000 % of total promoter shareholding :0.43%
Specific details about the encumbrance	Name of the entity in whose favour shares encumbered (X) Whether the entity X is a scheduled commercial bank, public financial institution, NBFC or housing finance company? If No, provide the nature of the business of the entity.	State Bank of India being Lead Banker YES, State Bank of India is a Scheduled Commercial Bank
	Names of all other entities in the agreement	Listed company and its group companies (if any) – Not Applicable

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	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument, including credit rating	Other entities (if any) – Not Applicable NO
Security Cover / Asset Cover	Value of on the date of event / shares agreement (A)	
	Amount involved (against which shares have been encumbered) (B)	
	Ratio of A / B	0.00065
End use of money	purpose of raising money by listed company, schedule for utilization of amount, repayment schedule etc. (a) Any other reason (please specify)	NO Yes The loan has been taken for the business purpose of the company. The pledge of shares are in terms of the CDR scheme sanctioned vide Letter of Approval (LOA) dated 11.09.2009 of the outstanding as on the cut off dated i.e. 31.01.2009. Due to withdrawal of CDR/Re- work of CDR on 16.11.2012, the company is under financial overall restructuring.

Ce 2 Signature of Authorised Signatory:

Place: Ghaziabad

## Details of Promoter shareholding along with % of total shares encumbered as on date

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S.No.	Name of Promoter	PAN	Total nos. shares held	% of total share capital	Number of Shares pledged or otherwise encumber ed	% of shares encumbered
1	K. K. Agarwal (Huf)	AABHK7991K	117000	0.30	0	0
2	Sandeep Agarwal(Huf)	AADHS9672P	94380	0.24	44380	0.419
3	Nidhi Agarwal	AAKPA2949J	216100	0.55	16100	0.152
4	K. K. Agarwal	AAPPA5044B	1147460	2.93	647460	6.116
5	Sandeep Agarwal	ACEPA4737G	651510	1.67	401510	3.793
6	Sanyog Agarwal	ACGPA7178K	248650	0.64	248650	2.349
7	Rohan Agarwal	AIUPA3170F	430128	1.1	230128	2.174
8	Sunandini Agarwal	AIUPA3196R	800	0.00*	800	0.008
9	Coronation Spinning India Pvt Ltd	AAACC8311R	900000	2.3	300000	2.83
10	Careen Fintec (P) Ltd	AAACC8312N	295000	0.75	45000	0.43
11	Padam Precision Dies And Components Pvt Ltd	AAACP4217H	1688200	4.32	188200	1.78
12	Prefect Finmen Services (P) Ltd	AAACP8958R	412800	1.06	262800	2.48
13	Peek Finvest (P) Ltd	AAACP8959Q	342082	0.87	342082	3.23
14	Roseat Finvest (P) Ltd	AAACR7175G	382000	0.98	382000	3.61
15	Peek Texfab Ltd	AABCA6857F	100120	0.26	120	0.00
16	Pacific Texmark Private Limited	AABCA7511N	2835872	7.25	335872	3.17
17	Alps Processers Private Limited	AABCA7512R	250116	0.64	250116	2.36
18	Supreme Finvest (P) Ltd	AACCS0471N	290000	0.74	140000	1.32
19	Saurabh Floriculture (P) Ltd	AACCS6111R	183800	0.47	183800	1.74
	TOTAL		10586018	27.06	4019018	37.97

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## PADAM PRECISION DIES AND COMPONENTS PRIVATE LIMITED

F-228, Ground Floor, Lado Sarai, New Delhi-110 030 CIN: U74899DL1991PTC045849, Email: roc.rkgovil@gmail.com



October 1, 2019

The General Manager Ms. Erika D'Sa, (Relationship Manager) Market Operations Deptt., Corporate Relationship Deptt., National Stock Exchange of India Ltd., Bombay Stock Exchange Limited, Exchange Plaza, 5th Floor, 1<sup>ST</sup> Floor New Trading Ring, Plot No. C/1, G-Block, Bandra-Kurla Rotunda Building, P. J. Towers, Complex Bandra (E), Mumbai - 400 051 Dalal Street, Fort, Mumbai-400 001. Ph - 91-22-2659 8101 - 8114 Ph - 022-22728995 Fax: 022 - 26598237/38/ 2659 8100 Fax: 022 - 22723121 Email - cmlist@nse.co.in 3719/2037/2039/2041/2061 Email - intern.erika@bseindia.com, corp.relations@bseindia.com

#### SUB: SUBMISSION OF DISCLOSURE OF REASONS FOR ENCUMBRANCE BY PROMOTER IN TERMS OF SEBI CIRCULAR NO. SEBI/HO/CFD/DCR1/CIR/P/2019/90 DATED AUGUST 07, 2019

Dear Sir,

This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter, as required I, Authorized Representative of Padam Precision Dies And Components Pvt Ltd (the "company") am submitting herewith the said disclosure on behalf of the company, being under the promoter group.

This is for your kind information and record please.

Thanking you,

Yours faithfully, For Padam Precision Dies And Components Pvt Ltd (Promoter)

2 7

Sandeep Agarwal (Authorized Representative)

CC 10:

Company Secretary ALPS INDUSTRIES LIMITED 57/2, Site-IV, Industrial Area, Sahibabad, Ghaziabad-201010 (U.P)

Encl. a/a.

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(In addition to Annexure - I prescribed by way of circula dated August 05, 2015)	r

Name of listed company	ALPS INDUSTRIES LIMITED
Name of the recognised stock exchanges where the shares of the company are listed Name of the promoter(s) / PACs whose shares have been encumbered	1. National Stock Exchange of India Limited(NSE) 2. Bombay Stock Exchange Limited(BSE) Padam Precision Dies And Components Pvt Ltd
Total promoter shareholding in the listed company	No. of shares -1688200 % of total share capital -4.32%
Encumbered shares as a % of promoter shareholding	1.78%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

# Details of all the existing events/ agreements pertaining to encumbrance

		Date of creation of encumbrance: 26.06.2010
disposal undertaking etc. or	any other covenant, transaction, ement in the nature of encumbrance)	Pledge No. of shares: 188200
		% of total promoter shareholding :1.78%
Specific details about the encumbrance	-hower	Scheduled Commercial Bank
	Names of all other entities in the agreement	Listed company and its group companies (if any) – Not Applicable

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. ,		
		Other entities (if any) – Not Applicable
	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument, including credit	NO
Security Cover / Asset Cover	rating Value of on the date of event / shares agreement (A)	Rs. 0.64 /- Crore
	Amount involved (against which shares have been encumbered) (B)	Rs. 989.17/- crore
	Ratio of A / B	0.00065
End use of money	Borrowed amount to be utilized for what purpose – (s) Personal use by promoters and PACs	
	(i) For the benefit of listed company	Yes
	Provide details including amount purpose of raising money by liste company, schedule for utilization of amount, repayment schedule etc.	d company. The pledge of
	(a) Any other reason (please speci	fy) as on the cut off dated i.e. 31.01.2009. Due to withdrawal of CDR/Re- work of CDR on 16.11.2012, the company is under financial overall restructuring.
		mancial overall restructuring.

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Signature of Authorised Signatory:

Place: Ghaziabad

S	3.No.	Name of Promoter	PAN	Total nos. shares held	% of total share capita		umber hares ledged therwi ncuml	or se	of sha ncumb	res ered	
-		K. K. Agarwal	AABHK7991K	117000	0.30		<u> </u>		0		
	1 2	<u>(Huí)</u> Sandeep Agarwal(Huf)	AADHS9672P	94380	0.24		44380		0.419		
	3	Nidhi Agarwal	AAKPA2949J	216100	0.55		16100		0.152		1
	4	K. K. Agarwal	ΑΑΡΡΛ5044Β	1147460	2.93		64746	0	6.116		
	5	Sandeep Agarwal	ACEPA4737G	651510	1.67	,+   	4015	10	3.793		
	6	Sanyog Agarwal	ACGPA7178K	248650	0.64	t	2486	50	2.34		_
	7	Rohan Agarwal	AIUPA3170F	430128	1.1		2301	28	2.17		_{
1	3	Sunandini Agarwal	AIUPA3196R	800	0.0		800		0.00		
<u> </u>	•	Coronation Spinning India Pvt Ltd	AAACC8311R	900000	2.3		3000		2.8		
	· · ·	Careen Fintec (P) Ltd	AAACC8312N	295000	0.7	'5	450	00	0.4		
1		Padam Precision Dies And Components Pvt Ltd	АААСР4217Н	1688200	4.:	32	188	3200		78	
12	2 1	Prefect Finmen Services (P) Ltd	AAACP8958R	412800	1,	06	26	2800	2	2.48	
13		Peek Finvest (P) Ltd	AAACP8959Q	2 342082	0	.87	34	2082		3.23	
14		Roseat Finvest P) Ltd	AAACR71750	382000	0	.98	3	32000		3.61	
15	F	eek Texfab Ltd	AABCA68571	F 100120	C	).26	1	20	1	0.00	
16	( <sup></sup>	acific Texmark rivate Limited	AABCA75111	N 2835872		7.25	3	35872	2	3.17	
17		lps Processers rivate Limited	AABCA7512	R 250116		0.64		25011	6	2.36	
18	1	upreme Finvest ') Ltd	AACCS0471	N 290000		0.74	ł	14000	00	1.32	
<b>19</b>		aurabh oriculture (P) d	AACCS6111	R 183800		0.4	7	1838	00	1.74	t
		TOTAL		105860	)18	27.	.06	4019	9018	37	.97

# Details of Promoter shareholding along with % of total shares encumbered as on date

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# CORONATION SPINNING (I) PRIVATE LIMITED

October 1, 2019

F-228, GROUND FLOOR, LADO SARAI, NEW DELHI-110 030 CIN NO:- U74899DL1982PTC013569 BATE OF - 10-19

The General Manager	Ms. Erika D'Sa, (Relationship Manager
Market Operations Deptt.,	Corporate Relationship Deptt.,
National Stock Exchange of India Ltd.,	Bombay Stock Exchange Limited,
Exchange Plaza, 5th Floor,	1 <sup>ST</sup> Floor New Trading Ring,
Plot No. C/1, G-Block, Bandra-Kurla	Rotunda Building, P. J. Towers,
Complex Bandra (E), Mumbai - 400 051	Dalal Street, Fort, Mumbai-400 001.
Ph - 91-22-2659 8101 - 8114	Ph - 022-22728995
Fax: 022 - 26598237/38/ 2659 8100	Fax: 022 - 22723121 /
Email - cmlist@nse.co.in	3719/2037/2039/2041/2061
	Email - intern.erika@bseindia.com,
	corp.relations@bseindia.com

# SUB:SUBMISSION OFDISCLOSUREOFREASONSFORENCUMBRANCEBYPROMOTERINTERMSOFSEBICIRCULARNO.SEBI/HO/CFD/DCR1/CIR/P/2019/90DATEDAUGUST 07, 2019

Dear Sir,

. . . .

This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter, as required I, Authorized Representative of Coronation Spinning India Pvt Ltd (the "company") am submitting herewith the said disclosure on behalf of the company, being under the promoter group.

This is for your kind information and record please.

Thanking you,

Yours faithfully, For Coronation Spinning India Pvt Ltd (Promoter)

2

Sandeep Agarwal (Authorized Representative)

CC to.

Company Secretary ALPS INDUSTRIES LIMITED 57/2, Site-IV, Industrial Area, Sahibabad, Ghaziabad-201010 (U.P)

Encl. a/a.

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In addition to Annexure - I prescribed by way of circular
dated August 05, 2015)

Name of listed company	ALPS INDUSTRIES LIMITED	
Name of the recognised stock exchanges where the shares of the company are listed	1. National Stock Exchange of India Limited(NSE) 2. Bombay Stock Exchange Limited(BSE)	
Name of the promoter(s) / PACs whose shares have been encumbered	Coronation Spinning India Pvt Ltd	
Total promoter shareholding in the listed company	No. of shares -900000 % of total share capital -2.3%	
Encumbered shares as a % of promoter shareholding	2.83%	
Whether encumbered share is 50% or more of promoter shareholding	NO	
Whether encumbered share is 20% or more of total share capital	YES	

# Details of all the existing events/ agreements pertaining to encumbrance

		Date of creation of encumbrance: 26.06.2010
disposal undertaking etc. or	any other covenant, transaction, ement in the nature of encumbrance)	Pledge No. of shares: 300000
		% of total promoter shareholding :2.83%
Specific details about the encumbrance	-1	State Bank of India being Lead Banker
	Names of all other entities in the agreement	Listed company and its group companies (if any) – Not Applicable

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	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes,	Other entities (if any) – Not Applicable NO
	provide details about the instrument, including credit rating	
Security Cover / Asset Cover	Value of on the date of event / shares agreement (A)	Rs. 0.64 /- Crore
	Amount involved (against which shares have been encumbered) (B)	Rs. 989.17/- crore
	Ratio of A / B	0.00065
End use of money	<ul> <li>Borrowed amount to be utilized for what purpose – <ul> <li>(o) Personal use by promoters and PACs</li> <li>(p) For the benefit of listed company</li> </ul> </li> <li>Provide details including amount purpose of raising money by liste company, schedule for utilization of amount, repayment schedule etc. <ul> <li>(a) Any other reason (please specified)</li> </ul> </li> </ul>	NO Yes The loan has been taken for the business purpose of the company. The pledge of shares are in terms of the
		Due to withdrawal of CDR/Re- work of CDR on 16.11.2012, the company is under financial overall restructuring.

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Signature of Authorised Signatory:

Place: Ghaziabad

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	8.	No. Name of Promoter	PAN	Total non, shures held		Number of Shares pledged or otherwise encumber ed		***
	1	K. K. Agarwal	AABHK7991K	117000	0.30	0	0	
	2	Sandeep Agerwal(Hut)	AADHS9672P	94380	0.24	44380	0.419	
Ľ	3	Nidhi Agarwal	AAKPA2949J	216100	0.55	16100	0.152	
	4	K. K. Ayarwal	AAPPA5044B	1147460	2.93	647460	6,11	
	5	Sandcep Agarwal	ACEPA4737G	651510	1.67	401510	3.79	3
	6	Sanyog Agarwa	ACOPA7178K	248650	0.64	248650	2.34	9
	7 8	Rohan Agarwal	AIUPA3170F	430128	1.1	230128	2.17	4
_		Sunandini Agarwal	AIUPA3196R	800	0.00*	800	0.00	)8
4	9	Coronation Spinning India Pvt Ltd	AAACC8311R	900000	2.3	300000	2.8	3
10		Careen Fintec (P) Ltd	AAACC8312N	295000	0.75	45000	0.4	3
11		Padam Precision Dies And Components Pvt Ltd	AAACP4217H	1688200	4.32	188200	1.7	'8
12		Prefect Finmen Services (P) Ltd	AAACP8958R	412800	1.06	262800	2.	48
3		Peek Finvest (P) .td	AAACP8959Q	342082	0.87	342082	3.	.23
4		loscat Finvest P) Ltd	AAACR7175G	382000	0.98	382000	3	.61
5	Pe	eek Texfab Ltd	AABCA6857F	100120	0.26	120	0	0.00
		cific Texmark ivate Limited	AABCA7511N	2835872	7.25	335872	- 1:	3.17
	Al	os Processers vate Limited	AABCA7512R	250116	0.64	250116	5	2.36
		preme Finvest Ltd	AACCS0471N	290000	0.74	14000	0	1.32
1	Sai	irabh riculture (P)	AACCS6111R	183800	0.47	18380	0	1.74
				10586018	3 27.0	6 4019	018	37.9

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# SAURABH FLORICULTURE PRIVATE LIMITED

F-228, GROUND FLOOR, LADO SARAI, NEW DELHI-110 030 CIN NO:- U74899DL1995PTC067348, Email: roc.rkgovil@gmail.com



October 1, 2019

The General Manager	Ms. Erika D'Sa, (Relationship Manager
Market Operations Deptt.,	Corporate Relationship Deptt.,
National Stock Exchange of India Ltd.,	Bombay Stock Exchange Limited,
Exchange Plaza, 5th Floor,	1 <sup>ST</sup> Floor New Trading Ring,
Plot No. C/1, G-Block, Bandra-Kurla	Rotunda Building, P. J. Towers,
Complex Bandra (E), Mumbai - 400 051	Dalal Street, Fort, Mumbai-400 001.
Ph – 91-22-2659 8101 – 8114	Ph - 022-22728995
Fax : 022 - 26598237/38/ 2659 8100	Fax: 022 - 22723121 /
Email - cmlist@nse.co.in	3719/2037/2039/2041/2061
	Email - intern.erika@bscindia.com,
	corp.relations@bseindia.com

# SUB:SUBMISSION OF DISCLOSURE OF REASONS FOR ENCUMBRANCE BYPROMOTERINTERMSOFSEBICIRCULARNO.SEBI/HO/CFD/DCR1/CIR/P/2019/90DATED AUGUST 07, 2019

Dear Sir,

This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter, as required I, Authorized Representative of Saurabh Floriculture (P) Ltd (the "company") am submitting herewith the said disclosure on behalf of the company, being under the promoter group.

This is for your kind information and record please.

Thanking you,

Yours faithfully, For Saurabh Floriculture (P) Ltd (Promoter)

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Sandcep Agarwal (Authorized Representative)

CC to: To Company Secretary ALPS INDUSTRIES LIMITED 57/2, Site-IV, Industrial Area, Sahibabad, Ghaziabad-201010 (U.P)

Encl. a/a.

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## (In addition to Annexure - I prescribed by way of circular dated August 05, 2015)

Name of listed company	ALPS INDUSTRIES LIMITED
the shares of the company are listed	1. National Stock Exchange of India Limited(NSE) 2. Bombay Stock Exchange Limited(BSE)
Name of the promoter(s) / PACs whose shares have been encumbered	Saurabh Floriculture (P) Ltd
Total promoter shareholding in the listed company	No. of shares -183800 % of total share capital -0.47%
Encumbered shares as a % of promoter shareholding	1.74%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

# Details of all the existing events/ agreements pertaining to encumbrance

		Date of creation of encumbrance: 26.06.2010
undertaking etc. or a	e (pledge, lien, negative lien, non- any other covenant, transaction, ment in the nature of encumbrance) encumbered	Pledge No. of shares: 183800
Oraci C.		% of total promoter shareholding :1.74%
Specific details about the encumbrance	shares encumbered (X) Whether the entity X is a scheduled commercial bank, public financial institution, NBFC or housing finance company? If No, provide the nature of the business of the entity.	State Bank of India being Lead Banker YES, State Bank of India is a Scheduled Commercial Bank
	Names of all other entities in the agreement	Listed company and its group companies (if any) – Not Applicable

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	Whether the encumbrance is relating	Other entities (if any) – Not Applicable NO
	to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument, including credit rating	
Security Cover / Asset Cover	Value of on the date of event , shares agreement (A) Amount involved (against which share have been encumbered) (B)	
	Ratio of A / B	0.00065
End use of money	<ul> <li>Borrowed amount to be utilized for what purpose – <ul> <li>(ii) Personal use by promoters and PACs</li> <li>(jj) For the benefit of listed company</li> </ul> </li> <li>Provide details including amount purpose of raising money by list company, schedule for utilization amount, repayment schedule etc. <ul> <li>(a) Any other reason (please specified)</li> </ul> </li> </ul>	d NO Yes The loan has been taken for the business purpose of the company. The pledge of shares are in terms of the

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Signature of Authorised Signatory:

Place: Ghaziabad

	S.N	o. Name of Promotor	PAN	Total nos. shares held	% of total share capital	Number of Shares pledged or otherwise encumber ed	% of shares encumbered	
	1	K. K. Agarwal (Huf)	AABHK7991K	117000	0.30	0	0	l
[	2	Sandeep Agarwal(Huf)	AADHS9672P	94380	0.24	44380	0.419	
Γ	3	Nidhi Agarwal	AAKPA2949J	216100	0.55	16100	0.152	]
Γ	4	K. K. Agarwal	AAPPA5044B	1147460	2.93	647460	6.116	
Γ	5	Sandeep Agarwal	ACEPA4737G	651510	1.67	401510	3.793	
	6	Sanyog Agarwal	ACGPA7178K	248650	0.64	248650	2.349	
	7	Rohan Agarwal	AIUPA3170F	430128	1.1	230128	2.174	
	8	Sunandini Agarwal	AIUPA3196R	800	0.00*	800	0.008	
		Coronation Spinning India Pvt Ltd	AAACC8311R	900000	2.3	300000	2.83	
	(	Careen Fintec (P) Ltd	AAACC8312N	295000	0.75	45000	0.43	
1		Padam Precision Dies And Components Pvt td	AAACP4217H	1688200	4.32	188200	1.78	
12		refect Finmen ervices (P) Ltd	AAACP8958R	412800	1.06	262800	2.48	
13	Pe	ek Finvest (P) d	AAACP8959Q	342082	0.87	342082	3.23	
14		oseat Finvest Ltd	AAACR7175G	382000	0.98	382000	3.61	
15	Pee	ek Texfab Ltd	AABCA6857F	100120	0.26	120	0.00	
16	,	cific Texmark vate Limited	AABCA7511N	2835872	7.25	335872	3.17	
17	1 -	s Processers ate Limited	AABCA7512R	250116	0.64	250116	2.36	
18	Sup	reme Finvest td	AACCS0471N	290000	0.74	14000	0 1.32	
9	Sau	rabh culture (P)	AACCS6111R	183800	0.47	18380	0 1.74	
		TOTAL		10586018	27.0	6 40190	018 37.9	7

# Details of Promotor shareholding along with % of total shares encumbered as on date

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# SUPREME FINVEST PRIVATE LIMITED

F-228, GROUND FLOOR, LADO SARAI, NEW DELHI-110 030 CIN NO:- U74899DL1995PTC067351, Email: roc.rkgovil@gmail.com

#### October 1, 2019

The General Manager Market Operations Deptt., National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot No. C/1, G-Block, Bandra-Kurla Complex Bandra (E), Mumbai - 400 051 Ph - 91-22-2659 8101 - 8114 Fax : 022 - 26598237/38/ 2659 8100 Email - cmlist@nse.co.in

Ms. Erika D'Sa, (Relationship Manager) Corporate Relationship Deptt., **Bombay Stock Exchange Limited,** 1<sup>st</sup> Floor New Trading Ring, Rotunda Building, P. J. Towers, Dalal Street, Fort, **Mumbai-400 001.** Ph - 022-22728995 Fax: 022 - 22723121 / 3719/2037/2039/2041/2061 Email - intern.erika@bseindia.com, corp.relations@bseindia.com

# SUB:SUBMISSION OF DISCLOSURE OF REASONS FOR ENCUMBRANCE BYPROMOTERINTERMSOFSEBICIRCULARNO.SEBI/HO/CFD/DCR1/CIR/P/2019/90DATED AUGUST 07, 2019

Dear Sir,

This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter, as required I, Authorized Representative of Supreme Finvest (P) Ltd (the "company") am submitting herewith the said disclosure on behalf of the company, being under the promoter group.

This is for your kind information and record please.

Thanking you,

Yours faithfully, For **Supreme Finvest (P) Ltd (Promoter)** 

Sandeep Agarwal (Authorized Representative)

CC to:

Company Secretary ALPS INDUSTRIES LIMITED 57/2, Site-IV, Industrial Area, Sahibabad, Ghaziabad-201010 (U.P)

Encl. a/a.

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### (In addition to Annexure - I prescribed by way of circular dated August 05, 2015)

Name of listed company	ALPS INDUSTRIES LIMITED
Name of the recognised stock exchanges where the shares of the company are listed	1. National Stock Exchange of India Limited(NSE) 2. Bombay Stock Exchange Limited(BSE)
Name of the promoter(s) / PACs whose shares have been encumbered	Supreme Finvest (P) Ltd
Total promoter shareholding in the listed company	No. of shares -290000 % of total share capital -0.74%
Encumbered shares as a % of promoter shareholding	1.32%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

# Details of all the existing events/ agreements pertaining to encumbrance

		Date of creation of encumbrance: 26.06.2010
disposal undertaking etc. or a	ny other covenant, transaction, nent in the nature of encumbrance)	Pledge No. of shares: 140000 % of total promoter
Specific details about the encumbrance	Name of the entity in whose favour shares encumbered (X) Whether the entity X is a scheduled	shareholding :1.32% State Bank of India being Lead Banker YES, State Bank of India is a
	commercial bank, public financial institution, NBFC or housing finance company? If No, provide the nature of the business of the entity.	
	Names of all other entities in the agreement	Listed company and its group companies (if any) – Not Applicable

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Security Cover /	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument, including credit rating Value of on the date of event /	Other entities (if any) – Not Applicable NO Rs. 0.64 /- Crore
Asset Cover	shares	
	agreement (A)	
	Amount involved (against which shares have been encumbered) (B)	Rs. 989.17/- crore
	Ratio of A / B	0.00065
End use of	Borrowed amount to be utilized for	
money	what purpose – (gg)Personal use by promoters and PACs	NO
	(hh)For the benefit of listed company	Yes The loan has been taken for
	Provide details including amount purpose of raising money by lister company, schedule for utilization of amount, repayment schedule etc.	company. The pledge of shares are in terms of the
	(a) Any other reason (please speci	
		the company is under financial overall restructuring.

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Signature of Authorised Signatory:

Place: Ghaziabad

8.No,	Name of Promoter	PAN	Total nos. shares held	% of total share capital	Number of Shares pledged or otherwise encumber ed	% of shares encumbered
1	K. K. Agarwal (Huf)	AABHK7991K	117000	0.30	0	0
2	Sandeep Agarwal(Huf)	AADHS9672P	94380	0.24	44380	0.419
3	Nidhi Agarwal	AAKPA2949J	216100	0.55	16100	0.152
4	K. K. Agarwal	AAPPA5044B	1147460	2.93	647460	6.116
5	Sandeep Agarwal	ACEPA4737G	651510	1.67	401510	3.793
6	Sanyog Agarwal	ACGPA7178K	248650	0.64	248650	2.349
7	Rohan Agarwal	AIUPA3170F	430128	1.1	230128	2.174
8	Sunandini Agarwal	AIUPA3196R	800	0.00*	800	0.008
9	Coronation Spinning India Pvt Ltd	AAACC8311R	900000	2.3	300000	2.83
10	Careen Fintec (P) Ltd	AAACC8312N	295000	0.75	45000	0.43
	Padam Precision Dies And Components Pvt Ltd	AAACP4217H	1688200	4.32	188200	1.78
12	Prefect Finmen Services (P) Ltd	AAACP8958R	412800	1.06	262800	2.48
	Peek Finvest (P) Ltd	AAACP8959Q	342082	0.87	342082	3.23
14 1	Roseat Finvest (P) Ltd	AAACR7175G	382000	0.98	382000	3.61
	Peek Texfab Ltd	AABCA6857F	100120	0.26	120	0.00
	Pacific Texmark Private Limited	AABCA7511N	2835872	7.25	335872	3.17
[7   A	Alps Processers Private Limited	AABCA7512R	250116	0.64	250116	2.36
8 5	Supreme Finvest	AACCS0471N	290000	0.74	140000	1.32
9 S F	aurabh loriculture (P)	AACCS6111R	183800	0.47	183800	1.74
	td		10586018	27.0	5 401901	8 37.97

# Details of Promoter shareholding along with % of total shares encumbered as on date

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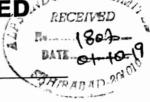
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# ALPS PROCESSERS PRIVATE LIMITED

REGD. OFFICE: -F-228, GROUND FLOOR, LADO SARAI, NEW DELHI-110 030 CIN: U22219DL1996PTC080342

Email: ajay\_guptajl@yahoo.co.in, Phone: 01129523097



#### October 1, 2019

٢	4511		-
	The General Manager	Ms. Erika D'Sa, (Relationship Manager)	
	Market Operations Deptt.	Corporate Relationship Deptt.,	
	National Stock Exchange of India Ltd.,	Bombay Stock Exchange Limited,	1
	Exchange Diene Eil Di		
	Exchange Plaza, 5th Floor,	1 <sup>ST</sup> Floor New Trading Ring,	
	Plot No. C/1, G-Block, Bandra-Kurla	Rotunda Building, P. J. Towers,	i
	Complex Bandra (E), Mumbai - 400 051	Dalal Street, Fort, Mumbai-400 001.	
	Ph - 91-22-2659 8101 - 8114	Ph - 022-22728995	
	Fax : 022 - 26598237/38/ 2659 8100	Fax: 022 - 22723121 /	
	Email - cmlist@nse.co.in	3719/2037/2039/2041/2061	
		Email - intern.erika@bseindia.com,	
		corp.relations@bseindia.com	1

#### SUB: SUBMISSION OF DISCLOSURE OF REASONS FOR ENCUMBRANCE BY PROMOTER IN TERMS OF SEBI CIRCULAR NO. SEBI/HO/CFD/DCR1/CIR/P/2019/90 DATED AUGUST 07, 2019

Dear Sir,

This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter, as required I, Authorized Representative of Alps Processers Private Limited (the "company") am submitting herewith the said disclosure on behalf of the company, being under the promoter group.

This is for your kind information and record please.

Thanking you,

Yours faithfully, For Alps Processers Private Limited (Promoter)

Sandeep Agarwal (Authorized Representative)

CC to:

Company Secretary ALPS INDUSTRIES LIMITED 57/2, Site-IV, Industrial Area, Sahibabad, Ghaziabad-201010 (U.P)

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Encl. a/a.

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## (In addition to Annexure - I prescribed by way of circular dated August 05, 2015)

Name of listed company	ALPS INDUSTRIES LIMITED
Name of the recognised stock exchanges where the shares of the company are listed	1. National Stock Exchange of India Limited(NSE) 2. Bombay Stock Exchange Limited(BSE)
Name of the promoter(s) / PACs whose shares have been encumbered	Alps Processers Private Limited
Total promoter shareholding in the listed company	No. of shares -250116 % of total share capital -0.64%
Encumbered shares as a % of promoter shareholding	2.36%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

#### Details of all the existing events/ agreements pertaining to encumbrance

		Date of creation of encumbrance: 26.06.2010
disposal undertaking etc. or a	ny other covenant, transaction, nent in the nature of encumbrance)	Pledge
		% of total promoter shareholding :2.36%
Specific details about the encumbrance	Name of the entity in whose favour shares encumbered (X) Whether the entity X is a scheduled commercial bank, public financial institution, NBFC or housing finance company? If No, provide the nature of the business of the entity.	State Bank of India being Lead Banker YES, State Bank of India is a Scheduled Commercial Bank
	Names of all other entities in the agreement	Listed company and its group companies (if any) – Not Applicable

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	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument, including credit rating	Other entities (if any) – Not Applicable NO
Security Cover / Asset Cover	Value of on the date of event / shares agreement (A) Amount involved (against which shares have been encumbered) (B)	
	Ratio of A / B	0.00065
End use of money	PACs (ff) For the benefit of listed company Provide details including amount,	Letter of Approval (LOA) dated 11.09.2009 of the outstanding

Signature of Authorised Signatory:

Place: Ghaziabad

Date: October 1, 2019

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S.No	o. Name of Promoter	PAN	Total nos. shares held	% of total share capital	Number of Shares pledged or otherwise encumber ed	% of shares encumbered
1	K. K. Agarwal (Huf)	AABHK7991K	117000	0.30	0	0
2	Sandeep Agarwal(Huf)	AADHS9672P	94380	0.24	44380	0.419
3	Nidhi Agarwal	AAKPA2949J	216100	0.55	16100	0.152
4	K. K. Agarwal	AAPPA5044B	1147460	2.93	647460	6.116
5	Sandeep Agarwal	ACEPA4737G	651510	1.67	401510	3.793
6	Sanyog Agarwal	ACGPA7178K	248650	0.64	248650	2.349
7	Rohan Agarwal	AIUPA3170F	430128	1.1	230128	2.174
8	Sunandini Agarwal	AIUPA3196R	800	0.00*	800	0.008
9	Coronation Spinning India Pvt Ltd	AAACC8311R	00000	2.3	300000	2.83
10	Careen Fintec (P) Ltd	AAACC8312N	295000	0.75	45000	0.43
11	Padam Precision Dies And Components Pvt Ltd	AAACP4217H	1688200	4.32	188200	1.78
12	Prefect Finmen Services (P) Ltd	AAACP8958R	412800	1.06	262800	2.48
13	Peek Finvest (P) Ltd	AAACP8959Q	342082	0.87	342082	3.23
14	Roseat Finvest (P) Ltd	AAACR7175G	382000	0.98	382000	3.61
15	Peek Texfab Ltd	AABCA6857F	100120	0.26	120	0.00
16	Pacific Texmark Private Limited	AABCA7511N	2835872	7.25	335872	3.17
	Alps Processers Private Limited	AABCA7512R	250116	0.64	250116	2.36
18	Supreme Finvest (P) Ltd	AACCS0471N	290000	0.74	140000	1.32
19	Saurabh Floriculture (P) Ltd	AACCS6111R	183800	0.47	183800	1.74
	TOTAL	10586018	27.06	4019018	37.97	

#### Details of Promoter shareholding along with % of total shares encumbered as on date

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October 1, 2019

The General Manager	Ms. Erika D'Sa, (Relationship Manager)
Market Operations Deptt.,	Corporate Relationship Deptt.,
National Stock Exchange of India Ltd.,	Bombay Stock Exchange Limited,
Exchange Plaza, 5th Floor,	1 <sup>sr</sup> Floor New Trading Ring,
Plot No. C/1, G-Block, Bandra-Kurla	Rotunda Building, P. J. Towers,
Complex Bandra (E), Mumbai - 400 051	Dalal Street, Fort, Mumbai-400 001.
Ph - 91-22-2659 8101 - 8114	Ph - 022-22728995
Fax: 022 - 26598237/38/ 2659 8100	Fax: 022 - 22723121 /
Email - cmlist@nse.co.in	3719/2037/2039/2041/2061
and a subject of the second strate participation of	Email - intern.erika@bseindia.com, corp.relations@bseindia.com

#### SUB: SUBMISSION OF DISCLOSURE OF REASONS FOR ENCUMBRANCE BY PROMOTER IN TERMS OF SEBI CIRCULAR NO. SEBI/HO/CFD/DCR1/CIR/P/2019/90 DATED AUGUST 07, 2019

Dear Sir,

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This has in reference to the SEBI Circular No. SEBI/HO/CFD/DCR1/CIR/P/2019/90 dated August 07, 2019 for submission of Disclosure of reasons for encumbrance by Promoter, as required I am submitting herewith the said disclosure being under the promoter group.

This is for your kind information and record please.

Thanking you,

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Yours faithfully,

Sunandini Agarwal (Promoter)

CC to:

Company Secretary ALPS INDUSTRIES LIMITED 57/2, Site-IV, Industrial Area, Sahibabad, Ghaziabad-201010 (U.P)

Encl. a/a.

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(In addition to Annexure - I prescribed by way of circular dated August 05, 2015)

Name of listed company	ALPS INDUSTRIES LIMITED
Name of the recognised stock exchanges where the shares of the company are listed	<ol> <li>National Stock Exchange of India Limited(NSE)</li> <li>Bombay Stock Exchange Limited(BSE)</li> </ol>
Name of the promoter(s) / PACs whose shares have been encumbered	Sunandini Agarwal
Total promoter shareholding in the listed company	No. of shares –800 % of total share capital -0.00%*
Encumbered shares as a % of promoter shareholding	0.008%
Whether encumbered share is 50% or more of promoter shareholding	NO
Whether encumbered share is 20% or more of total share capital	YES

\*Negligible

#### Details of all the existing events/ agreements pertaining to encumbrance

	· · · · · ·	Date of creation of encumbrance: 26.06.2010
disposal undertaking etc. or a	e (pledge, lien, negative lien, non- ny other covenant, transaction, nent in the nature of encumbrance)	Pledge
No. and % of shares	encumbered	No. of shares: 800 % of total promoter shareholding :0.008%
Specific details about the encumbrance	-1	
	Names of all other entities in the agreement $SU$	Listed company and its group companies (if any) – Not Applicable

	Whether the encumbrance is relating to any debt instruments viz. debenture, commercial paper, certificate of deposit etc.? If yes, provide details about the instrument,	
	including credit	
Security Cover / Asset Cover	rating       Value of     on the date of event /       shares     agreement (A)	Rs. 0.64 /- Crore
	Amount involved (against which shares have been encumbered) (B)	Rs. 989.17/- crore
	Ratio of A / B	0.00065
End use of money	Borrowed amount to be utilized for what purpose – (k) Personal use by promoters and PACs	NO
	company	Yes The loan has been taken for
	Provide details including amount, purpose of raising money by listed company, schedule for utilization of amount renormant schedule ato	the business purpose of the company. The pledge of shares are in terms of the CDR scheme sanctioned vide Letter of Approval (LOA) dated 11.09.2009 of the outstanding
		as on the cut off dated i.e. 31.01.2009. Due to withdrawal of CDR/Re- work of CDR on 16.11.2012,
		the company is under financial overall restructuring.
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Signature of Authorised Signatory:

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Place: Ghaziabad

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TOTAL			10586018	27.06	4019018	37.97
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