

THE UGAR SUGAR WORKS LIMITED.

Works * Ugar Khurd - 591 316, Dist. Belgaum, Karnataka
E-mail * helpdesk@ugarsugar.com
Regd. Office * Mahaveernagar, Sangli - 416 416, Maharashtra.
E-mail * usw.sangli@ugarsugar.com.

Phone * -91 8339 274000 (5 Lines) Fax * -918339 272232
Website * www.ugarsugar.com
Phone * -91 233 2623717, 2623716 Fax * -91 233 2623617
TIN No. * 29520007001, PAN-AAACT7580R
GSTIN NO * 29AAACT7580R1ZD. ECC No. AAAC7580 RXM001.
(CIN - L15421PN1939PLC006738)

Sec

Date: 26/05/2022

To,
The Executive Director,
Bombay Stock Exchange Ltd.,
P J Towers, Dalal Street,
Mumbai.
Tel No: (022) 22721234
Fax No: (022) 22721278/22722039

To,
Corporate Communications
National Stock Exchange of India Ltd.
Exchange Plaza, Plot no. C/1, G Block
Bandra-Kurla Complex, Bandra (E)
Mumbai - 400 051 Tel No: (022)
26598148 Fax No: (022) 26598120

Stock Code: 530363

Stock Code: UGARSUGAR

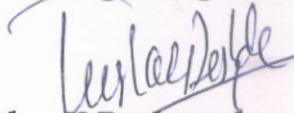
Dear Sir,

Sub: - Annual Secretarial Compliance Report Pursuant to Regulation 24(A)

Pursuant to Regulation 24 (A) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015, we are submitting herewith the Annual Secretarial Compliance Report for the financial year 2021-22.

Kindly take the same on record and acknowledgment the receipt of the same.

Thanking you,
Yours faithfully,
For The Ugar Sugar Works Ltd.


Tushar V Deshpande
Company Secretary
M. No: A45586



Office Address : 'GANESH VANDAN' Apts, Flat No. 202, Gandhi Colony, Opp. Ganapati Mandir, Vishrambag, Sangli - 416415.

Residence : "Yadneshwar Bunglow", Near Murtikar Joshi, Behind Court, Killa Bhag, Miraj - 416 410, Dist-Sangli.

Office Phone : (0233) 2302482, M : +91 9423871452, Email : abhaygulavani@rediffmail.com

**Secretarial compliance report of
The Ugar Sugar Works Limited
(CIN-L15421PN1939PLC006738)
for the year ended 31-03-2022**

(Pursuant to Regulation 24A of SEBI (LODR) Regulations 2015)

I, Mr. Abhay R Gulavani, Practicing Company Secretary, has examined:-

- (a) all the documents and records made available to us and explanation provided by **The Ugar Sugar Works Limited (CIN-L15421PN1939PLC006738)** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to both the stock exchanges – BSE and NSE,
- (c) website of the listed entity – www.ugarsugar.com,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended [31-03-2022] ("Review Period") in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (c) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (d) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act and dealing with client.

and circulars/ guidelines issued thereunder;

As informed by the Company, the following Regulations were not applicable to the Company:-

- a) The Securities and Exchange Board of India (Issue of Capital and Disclosure

- Requirements) Regulations, 2009;
- b) The Securities and Exchange Board of India (Employee Stock Option Scheme and Employee Stock Purchase Scheme) Guidelines, 1999;
 - c) The Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
 - d) The Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2009;
 - e) The Securities and Exchange Board of India (Buyback of Securities) Regulations, 1998; Foreign Exchange Management Act, 1999 and the rules and regulations made thereunder to the extent of Foreign Direct Investment, Overseas Direct Investment and External Commercial Borrowings;

and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder. Our observation is as under:-

Sr. No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
	NIL	NIL	NIL

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder during previous year:

Sr No	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
	NIL	NIL	NIL	NIL



(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr No	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended... (The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1	Company has not submitted the voting results of AGM in XBRL mode within the time and there was a delay of 1 hour in submission. Letter received from NSE.	2020-21	Company has submitted the Voting Results on Stock exchange portal within time in all other modes except there was a delay of 1 hour in submitting the results in XBRL mode.	Company has submitted a letter to NSE on 01-10-2020, informing that, the late submission was purely due to technical issues with the system due to distant access to the system due to COVID-19 restrictions, scanning issues, as the staff was working from their own places as they could not come to office.
2	Company has not provided the details relating to fees paid to auditors in network entities as well as to provide a certificate on the disqualification of directors in its Annual Report for 31-03-2020.	2020-21	Company has submitted a letter to NSE along with the details as required in its letter Dated 15-12-2020 vide NSE/LIST/17093 along with the Certificate as per Regulation 34 and the same was also displayed on Company website.	Action has been taken by the Company.

Place : Sangli

Date : 23-05-2022

UDIN:

Peer Review Cert No


Abhay R. Gulavani
Practicing Company Secretary

FCS: 10668; CP: 10741

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