



Phone: 0124-4200274

UNITED LEASING & INDUSTRIES LIMITED

Regd office: Plot No.66, Sector-34, EHTP, Gurugram -122001 (Haryana)

E-mail id:investorsrelations@ulilltd.com, website: www, ulilltd.com

(CIN: L17100HR1983PLC033460)

Date: 29th May, 2023

To

BSE Limited,
Corporate Relationship Dept.
P. J. Towers, Dalal Street,
Mumbai - 400 001.

Scrip Code: BSE: 507808

Sub-Annual Secretarial Compliance Report for the year ended on March 31, 2023

Ref: Disclosure pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir,

Please find enclosed Annual Secretarial Compliance Report for the year ended on March 31, 2023 in terms of the provisions of Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, issued by M/s Choudhary Pankaj & Associates, Company Secretaries along with the report issued by the Secretarial Auditors of United Leasing & Industries. You are requested to take the above submissions in your records.

Thanking you

Yours faithfully,

For United Leasing & Industries Ltd

S Shekhar

Shashi Shekhar

Company Secretary

ACS No 30145



**SECRETARIAL COMPLIANCE REPORT
UNITED LEASING AND INDUSTRIES LIMITED
FOR THE YEAR ENDED MARCH 31, 2023**

[Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI's Circular No. CIR/CFD/CMD 1/27/2019 dated 8th February, 2019]

We have examined:

- a. all the documents and records made available to us and explanation provided by **United Leasing and Industries Limited (CIN: L17100HR1983PLC033460)**, having its Registered Office at Plot No 66, Sector 34, EHPT Gurugram Haryana 122001.
- b. the filings/ submissions made by the Company to the stock exchanges,
- c. website of the Company,
- d. Management Representation letter and other document/ filing, as may be relevant, which has been relied upon to make this certification for the financial year ended 31st March, 2023 ("**Review Period**") in respect of compliance with the provisions of:
 - (a) the Securities and Exchange Board of India Act, 1992 ("**SEBI Act**") and the Regulations, circulars, guidelines issued thereunder; and
 - (b) the Securities Contracts (Regulation) Act, 1956 ("**SCRA Act**"), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India ("**SEBI**");

The specific Regulations, whose provisions and the circulars/ guidelines issued there under, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (herein after referred as "**SEBI LODR**");
- (b) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (c) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018 and circulars/ guidelines issued there under;
- (d) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (e) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; (**Not applicable to the Company during the review period**)
- (f) The Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021 (**Not applicable to the Company**)

during the review period)

- (g) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **(Not applicable to the Company during the review period)**
- (h) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **(Not applicable to the Company during the review period)**
- (i) Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021 **(Not applicable to the Company during the review period)**; and based on the above examination, we hereby report that, during the review Period:

a) The Company has complied with the provisions of the above regulations and circulars/ guidelines issued there under.

b) The Company has taken the following actions to comply with the observations made in previous reports: **Annexure A**

c) Compliances related to resignation of statutory auditors from listed entities and their material subsidiaries as per SEBI Circular CIR/CFD/CMD1/114/2019 dated 18th October, 2019; **(Not Applicable, as there was no resignation of Statutory Auditors)**

d) Pursuant to the NSE Circular No. NSE/CML/ 2023/21 & BSE Circular no. 20230316-14 dated March 16, 2023 read with NSE Circular No. NSE/CML/ 2023/30 & BSE Circular no. 20230410-41 dated April 10, 2023, we further affirm to the following:

S.NO.	Particulars	Compliance Status (Yes / No/ NA)	Observations/ Remarks by PCS
1.	<u>Secretarial Standard</u> The compliances of listed entities are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries India (ICSI)	YES	The company has been in compliance of SS issued by ICSI being listed entity.
2	<u>Adoption and timely updating of the Policies:</u>		
	• All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entities.	YES	Entire applicable SEBI policies are adopted as per applicable policies.
	• All the policies are in conformity with SEBI Regulations and has been reviewed & timely updated as per the regulations/ circulars guidelines issued by SEBI.	YES	All of the adopted policies are in conformity with the SEBI Regulations/circulars.

3.	<u>Maintenance and disclosures on Website:</u>		
	• The Listed entity is maintaining a functional website.	YES	Yes
	• Timely dissemination of the documents/ information under a separate section on the website.	YES	No comments required.
	• Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which redirects to the relevant document(s)/ section of the website.	YES	No comments required.
4.	<u>Disqualification of Director</u> None of the Director of the Company are disqualified under Section 164 of the Companies Act, 2013.	YES	None of the director has been disqualified u/s 164 of the Companies act.
5.	<u>To examine details related to Subsidiaries of listed entities</u>		
	• Identification of material subsidiary companies.	NA	The Company has no material subsidiary.
	• Requirement with respect to disclosure of material as well as other subsidiaries.	NA	The Company has no subsidiary.
6.	<u>Preservation of Documents:</u> The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival policy prescribed under SEBI LODR Regulations, 2015	YES	Documents kept as per the Guidelines issued by SEBI time to time.
7.	<u>Performance Evaluation:</u> The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year as prescribed in SEBI Regulations.	YES	Performance evaluation as per SEBI Guidelines/Regulations.
8.	<u>Related Party Transactions:</u>		
	• The Listed entity has obtained prior approval of Audit Committee for all Related party transaction	YES	All Related party transactions were entered after obtaining prior approval of the audit committee
	• In case no prior approval	NA	NA

	obtained, the listed entity shall provide detailed reasons along with confirmation whether the transaction were subsequently approved/ ratified/ rejected by the Audit Committee		
9.	<u>DISCLOSURE OF EVENTS OR INFORMATION:</u> The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed there under	Yes	Yes
10.	<u>PROHIBITION OF INSIDER TRADING:</u> The listed entity is in compliance with Regulation 3(5) & 3(6) of SEBI (Prohibition of Insider Trading) Regulations, 2015.	YES	No insider trading activities found during the review period.
11.	<u>Actions taken by SEBI or Stock Exchange(s), if any</u> No Actions taken against the listed entity/ its promoters/directors/ subsidiaries either by SEBI or by Stock Exchanges(including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued there under"	YES	No action taken as reported.
12.	<u>Additional Non-compliances, if any:</u> No any additional non-compliance observed for all SEBI regulation/circular/guidance note etc.	YES	NIL

**FOR CHOUDHARY PANKAJ ASSOCIATES
COMPANY SECRETARIES**

Date: 27/05/2023

Place: New Delhi

UDIN: F006642E000397813

**PANKAJ KUMAR CHOUDHARY
CP No. 5417
FCS No. 6642**