

# **UMA EXPORTS LTD**

CIN : L14109WB1988PLC043934

April 16, 2024

Listing Department,  
National Stock Exchange of India Limited  
Exchange Plaza, 5<sup>th</sup> Floor,  
Bandra Kurla Complex,  
Mumbai-400051

Listing Department,  
BSE Limited  
P.J. Towers,  
Dalal Street  
Mumbai-400001

Scrip: UMAEXPORTS

Scrip Code: 543513

Dear Sir/Madam,

**Sub: Submission of Certificate under Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

With reference to the above subject and pursuant to the Regulation 40(9) & (10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith a certificate from a practicing company secretary for the year ended March 31, 2024.

We request you to kindly take the above on records.

Thanking you,

Yours faithfully,  
**For Uma Exports Limited**

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**Sriti Singh Roy**  
**Company Secretary**  
**ACS 42425**

Encl. as above



**Certificate under Regulation 40(9) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 for the year ended March 31, 2024**

We have examined the relevant records of **UMA EXPORTS LIMITED** (the Company), having Registered Office at Ganga Jamuna Appartment, 28/1, Shakespeare Sarani, 1st Floor, Kolkata 700017, maintained by Registrar and Share Transfer Agent (RTA), MAS Services Limited, T-34 IIND Floor Okhla Industrial Area, Phase-II New Delhi 110020, for the period from April 1, 2023 to March 31, 2024, for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, and based on the information provided by the Company / RTA, We hereby certify that:

- A) No request for registration of transfer of physical shares has been received during the period from April 1, 2023 to March 31, 2024.
- B) No request for transmission of physical shares has been received during the period from April 1, 2023 to March 31, 2024; and
- C) No request for split or consolidation, renewal, exchange or endorsement of calls/allotment monies has been received during the period from April 1, 2023 to March 31, 2024.

For M Shahnawaz & Associates  
Company Secretaries  
Firm Regn. No.: S2015WB331508

*Md. Shahnawaz*



CS Md. Shahnawaz  
(Proprietor)

ACS No. 21427

C.P. No: 15076

Peer Review Regn No. 712/2020

UDIN: A021427F000112430

Date.: April 13, 2024  
Place: Kolkata