



# REGENCY INVESTMENTS LIMITED

CIN: L67120PB1993PLC013169

**Registered Office: Unit No. 49 B, 3rd Floor, Sushma Infinium, Chandigarh Ambala  
Highway Zirakpur Punjab 140603**

**Corporate Office: Unit No. 12 A, 3rd Floor, Sushma Infinium, Chandigarh Ambala  
Highway Zirakpur Punjab 140603**

Telephone: 0172 4732618 Website: [www.regencyinvestments.co.in](http://www.regencyinvestments.co.in)

Email: [regencyinvestmentsltd@gmail.com](mailto:regencyinvestmentsltd@gmail.com)

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Ref: Regency/BSE/2020

Date: 29.05.2020

To,

Department of Corporate Services  
The BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Mumbai 400001

Scrip Code: 540175

**Sub: Compliance Certificate under Regulation 40(9) of SEBI (LODR) Regulations, 2015**

Dear Sir,

Pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are hereby enclosing Compliance Certificate duly certified by Practicing Company Secretary for the half year ended March 31, 2020.

Kindly take the same on records.

Thanking You.

**For Regency Investments Limited**

Regency Investments Limited  
Company Secretary

**Rahul Verma**  
**Company Secretary cum Compliance officer**

**KANWALJIT SINGH**  
**B.Com, F.C.S.**

**SCO 64-65, 1st Floor,**  
**Sector 17-A, Madhya Marg,**  
**Chandigarh- 160017.**  
**Off : 0172-2701906**  
**Mobile: 9915343212**  
**E-mail: kanwalcs@gmail.com**

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LODR) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH, 2020**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. Regency Investments Limited** maintained by **M/s. Mas Services Pvt. Ltd.**, T-34, 2<sup>nd</sup> Floor, Okhla, Industrial Area, Phase-II, New Delhi pertaining to transfer of equity shares of the Company for the period from **1<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020** for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that during the half year ended on 31<sup>st</sup> March, 2020, all Share Certificates have been issued within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal or exchange excepting those rejected on technical grounds.



Date : 29/05/2020  
Place : Chandigarh

(Kanwaljit Singh)  
Company Secretary in practice  
CP : 5870

UDIN: F005901B000299141