

# JINDAL POLY INVESTMENT AND FINANCE COMPANY LIMITED

Corp Office: Plot No. 12, Sector B-1, Local Shopping Complex, Vasant Kunj, New Delhi-110070  
Regd Off: 19<sup>th</sup> K M, Hapur Bulandshahr Road P.O.: Gulaothi, Distt.: Bulandshahr UP 245408  
Tel.: 011- 40322100; E-mail: cs\_jpifcl@jindalgroup.com  
CIN: L65923UP2012PLC051433

JPIFCL/SE/April-22/125

Date: 13<sup>th</sup> April, 2022

The Manager Listing <b>BSE Limited</b> Phiroze Jeejeebhoy Towers, Dalal Street, Fort, Mumbai – 400001  Scrip Code: 536773	The Manager, Listing <b>National Stock Exchange of India Ltd.</b> Exchange Plaza, Bandra-Kurla Complex, Bandra (E), Mumbai - 400 051  Scrip Symbol: JPOLYINVST
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**Sub: Certificate under Regulation 40(9) & (10) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015**

Dear Sir,

Please find enclosed certificate under Regulation 40(9) & (10) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, dated 13<sup>th</sup> April 2022, from Pragnya Pradhan & Associates, Company Secretaries for the year ended on 31st March 2022 in the prescribed format.

Please take the above information in your record.

Yours Faithfully,

For Jindal Poly Investment and Finance Company Limited

  
Sakshie Mendiratta  
Company Secretary  
M. No. 47271



Encl: As above



# PRAGNYA PRADHAN & ASSOCIATES

PRACTICING COMPANY SECRETARIES

*Deliver the best at the earliest*

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE FINANCIAL YEAR ENDED ON MARCH 31, 2021**

The Securities and Exchange Board of India (SEBI) vide gazette notification dated June 08, 2018, dated May 05, 2021 and vide its press release dated December 03, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. April 01, 2019. Further, SEBI vide its press release dated March 27, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 01, 2019.

Accordingly, on the basis of examination of the relevant documents and records relating to **M/s JINDAL POLY INVESTMENT AND FINANCE COMPANY LIMITED** ('the Company') maintained and provided electronically by **KFIN TECHNOLOGIES LIMITED** (formerly known as KFin Technologies Private Limited), Registrar and Share Transfer Agents (RTA), for the financial year from **April 01, 2021 to March 31, 2022**, for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, I hereby certify that the Company has delivered during the said financial year ended March 31, 2022:

- a) No share transfer deed received during the said financial year requiring entry in the Memorandum of Transfers.
- b) There were no requests received relating to sub-division (split), consolidation, renewal, exchange, or endorsement of calls/allotment monies during the aforesaid period.

**FOR PRAGNYA PRADHAN & ASSOCIATES  
COMPANY SECRETARIES**

Date: 13-04-2022  
Place: New Delhi



*Pragnya Parimita Pradhan*

(Pragnya Parimita Pradhan)  
Proprietor  
C.P.No.-12030

Peer Review No: 1564/2021  
UDIN - A032778D000095027