

### G.S. AUTO INTERNATIONAL LTD.



Ref: GSA: FIN:

2021

Dated: 16.04.2021

Department of Corporate Services, Bombay Stock Exchange Limited, Floor 25, Phiroze Jeejeebhoy Towers, Dalal Street, MUMBAI-400001

### SUB: Compliance under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir,

Pursuant to the provisions of Regulation 40(9) and 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find attached herewith certificate from Practicing Company Secretary for the half year ended 31.03.2021.

Kindly take note of the same.

Thanking You,

Yours Faithfully,

For G.S. AUTO INTERNATIONAL LIMITED

(HARKIRAT SINGH RYAIT) COMPLIANCE OFFICER

REGD. OFFICE & WORKS: G. S. ESTATE, G.T. ROAD, LUDHIANA-141 010. (INDIA)

Phone # 91-161-2511001/2/3/4/5 Fax # 91-161-2510885 Website # www.gsgroupindia.com

CIN: L34300PB1973PLC003301

# P. S. DUA & ASSOCIATES COMPANY SECRETARIES

5 SF, Sant Isher Singh Nagar, Pakhowal Road, Ludhiana – 141002 (Punjab), Tel. 0161 – 4623424 E-Mail: cspsdua@gmail.com

## CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATION & DISCLOSURE REQUIRMENTS), 2015

#### FOR THE HALF YEAR ENDING 31.03.2021

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. 01st April, 2019. SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01st April, 2019. Further, SEBI vide its circular dated 07th September, 2020 has fixed 31st March, 2021 as the cut-off date for re-lodgment of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 02nd December, 2020.

Accordingly, I have examined all Records, Documents and Papers relating to M/s G S Auto International Limited having CIN:- L34300PB1973PLC003301 ( the company), maintained by Skyline Financial Services Private Limited pertaining to transfer of equity shares of the company for the half year ending 31st March, 2021 for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing obligations & Disclosure Requirement) 2015, to the best of my knowledge and on the basis of the information provided to me by the RTA and the records and documents examined by me, I hereby certify that:

a) The Company has not received any request for transfer of shares during the half year ended 31.03.2021 and hence delivery of Share Certificates within one month relating to the Share Transfer during the said half year does not arise.

#### P. S. DUA & ASSOCIATES

#### COMPANY SECRETARIES

- 5 SF, Sant Isher Singh Nagar, Pakhowal Road, Ludhiana 141002 (Punjab), Tel. 0161 4623424 E-Mail: cspsdua@gmail.com
- b) The Company has processed all valid transmission within fifteen days from the date of lodgment of request.
- c) No request was received for issue of duplicate Share Certificate, sub-division, consolidation, transposition and split during the said aforesaid period.

(P.S. Dua)

Company Secretary

CP No.:3934

(C.P. Number: 3934)

Place: - Ludhiana Date: - 15.04.2021

UDIN: F004552C000097887