



Vaswani Industries Limited

POWER • SPONGE IRON • STEEL

• CIN - L28939CT2003PLC015964 • GSTN 22AABCV9564E1ZB

16/10/2020

VIL/SECRETARIAL/2020-21

BY LISTING PORTAL

National Stock Exchange of India Ltd,
Exchange Plaza, 5th Floor;
Plot No. C11, G Block,
Bandra Kurla Complex, Bandra East
Mumbai 400051

Bombay Stock Exchange Ltd.,
Market Operations Department
P.J. Towers
Dalal Street
Mumbai 400001

Dear Sir(s)

Sub: Certificate under Regulation 74 (5) of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018

Please find enclosed herewith certificate received by Company's Registrar and Share Transfer Agent ('RTA'), viz., Link Intime India Pvt Ltd, a SEBI registered Category I RTA bearing Registration Number INR000004058, confirming compliance with Regulation 74 (5) of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.

This is for your information and records.

Yours Faithfully,

For Vaswani Industries Limited LTD

(Yashwant Vaswani)
Whole Time Director
DIN: 01627408

DIRECTOR

Enclosed as stated above



Link Intime India Pvt. Ltd.

CIN : U67190MH1999PTC118368

C-101, 247 Park, L.B.S. Marg,

Vikhroli(West), Mumbai - 400 083

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Email: mumbai@linkintime.co.in

Website: www.linkintime.co.in

Date: 07.10.2020

To,
The Company Secretary
Vaswani Industries Limited

Subject: Confirmation Certificate in the matter of Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.

Dear Sir,

In reference to the above captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 30th September, 2020, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

SEBI vide their Circular Nos. SEBI/HO/MIRSD/DOP/CIR/P/2020/62 dated April 16, 2020; SEBI/HO/MIRSD/DOP/CIR/P/2020/112 dated June 30, 2020 and SEBI/HO/MIRSD/DOP/CIR/P/2020/142 dated July 29, 2020 had extended the time line/period of exclusion (March 23, 2020 to September 30, 2020) for processing the demat requests by the Issuer Company/RTA. We hereby also confirm that the security certificates received for dematerialisation have been mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within the time lines mentioned in the aforesaid circulars.

We request you to kindly take note of the above in your records subject to below note.

Note: We have not received/processed any demat requests for the above cited Company from 01.07.2020 to 30.09.2020.

Thanking You,

Yours faithfully,

For Link Intime India Pvt. Ltd

Sd/-

Balaji Sharma

Vice President – Corporate Registry