

SMEL/SE/2022-23/05

April 21, 2022

<b>The Secretary, Listing Department. BSE Limited</b> Phiroze Jeejeebhoy Towers Dalal Street Mumbai 400 001 Maharashtra, India Scrip Code: <b>543299</b>	<b>The Manager – Listing Department National Stock Exchange of India Limited</b> “Exchange Plaza”, 5th Floor, Plot No. C/1, G Block, Bandra-Kurla Complex, Bandra (East), Mumbai 400 051 Maharashtra, India Symbol: <b>SHYAMMETL</b>
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**Sub: - Submission of Certificate under Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 for the year ended 31st March, 2022**

Please find enclosed herewith the certificate under Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 for the financial year ended 31<sup>st</sup> March, 2022.

This is for your kind information and record.

Thanking you,

Yours faithfully,  
**For Shyam Metalics And Energy Limited**

**Birendra Kumar Jaiswal**  
**Company Secretary**





**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND  
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE  
REQUIREMENTS) REGULATIONS, 2015**

**FOR THE PERIOD ENDED 31<sup>ST</sup> MARCH, 2022**

I have examined Registers, files and other documents relating to **SHYAM METALICS & ENERGY LIMITED** maintained by **KFIN TECHNOLOGIES LIMITED (RTA)** of Selenium, Tower - B, Plot No. 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad - 500032 pertaining to transfer of equity shares of the company for the period from 24<sup>th</sup> June, 2021 (date of listing of equity shares on the stock exchanges) to 31<sup>st</sup> March, 2022 for the purpose of issuing a certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company/ RTA, I hereby certify that there were no instances of transfer, transmission, name deletion, transposition, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the period from 24<sup>th</sup> June, 2021 to 31<sup>st</sup> March, 2022.

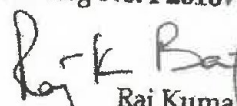
Date: 21.04.2022

Place: Kolkata

UDIN: A017190D000174248

For MKB & Associates  
Company Secretaries

Firm Reg No: P2010WB042700

  
Raj Kumar Banthia



Membership no. 17190

COP no. 18428