

Date: October 14, 2019

To,
The Dept. of Corporate Services
BSE Limited.
Floor 25, P. J. Tower,
Dalal Street,
MUMBAI - 400 001

Sub: Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulation 2015, for the Half year ended on 30th, September, 2019.

Dear Sir/Madam,

With reference to the above, we are enclosing herewith Half Yearly Compliance Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015 for the half year ended on September 30, 2019 received from Virendra G. Bhatt Practicing Company Secretary.

Please take the same on your record and acknowledge.

Thanking You,

Yours Faithfully,

For Arihant Capital Markets Limited


Mahesh Pancholi
(Company Secretary)
M. No. F-7143



ARIHANT CAPITAL MARKETS LTD.

Member of NSE, BSE, NSDL, CDSL

Reg. Office: E-5, Ratlam Kothi, Indore-452001, India | Admin Office: 6 Lad Colony, Y.N. Road, Indore-452003 T: +91-731-3016100 F: +91-731-3016027

Corp. Office: #1011, Solitaire Corporate Park, Building No. 10, 1st Floor, Andheri Ghatkopar Link Road, Chakala, Andheri (E), Mumbai-400093

T: +91-22-67114800, +91-22-42254800 F: +91-22-42254880

CIN: L67120MP1992PLC007182

W: www.arihantcapital.com E: contactus@arihantcapital.com

Virendra Bhatt

Company Secretary

Office :

Office No. 03, A Wing, 9th Floor,

Pinnacle Corporate Park,

BKC CST Link Rd., MMRDA Area,

Bandra Kurla Complex,

Bandra East, Mumbai - 400 051.

Tel. : 022 - 2652 9367 / 68

Mobile : +91 - 98200 48670

E-mail : bhattvirendra1945@yahoo.co.in

Name of the Company : ARIHANT CAPITAL MARKETS LIMITED

CIN : L67120MP1992PLC007182

Registered Office : E-5, Ratlam Kothi Area, Indore, MP- 452001

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2019.


I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **ARIHANT CAPITAL MARKETS LIMITED** maintained by **ANKIT CONSULTANCY PRIVATE LIMITED** pertaining to transfer of equity shares of the Company for the period from **1st April, 2019 to 30th September, 2019** for the purpose of issuing a Certificate as per Regulation 40(9) Of the SEBI (Listing Obligations and Disclosure Requirements) Regulation 2015, by **ARIHANT CAPITAL MARKETS LIMITED** and based on the information provided by the Company and hereby certify that the Company has delivered during half year ended on **30th September, 2019**.

- A. Share Certificates relating to the Share Transfer and Transmission forms received during the period from **1st April, 2019 to 30th September, 2019** as entered in the Memorandum of Transfers have been issued within thirty days from the respective date of lodgement of each form excepting those rejected on technical grounds.
- B. Share certificates in respect of request for exchange of duplicate certificates have been issued within thirty days of lodgement.

Date: 14th October, 2019

Place: Mumbai

UDIN: A001157A000070864


Virendra G. Bhatt
Practicing Company Secretary
ACS No.: 1157 / COP No.: 124

