

Date: 30/05/2020

The Head- Listing Compliance	The Head- Listing Compliance	The Manager
BSE Limited, Phiroze Jeejeebhoy Towers, Dalal Street, FortMumbai- 400001	National Stock Exchange of India Ltd. Exchange Plaza, Plot no. C/1, G Block, Bandra-Kurla Complex Mumbai - 400051	The Calcutta Stock Exchange Ltd. 7, Lyons Range, Murgighata, BBD Bagh, Kolkata, West Bengal- 700001
Security Code: 511611	Stock Code: DCMFINSERV	Scrip Code: 014032

Subject: <u>Certificate under Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations</u>, 2015

## Dear Sir/Ma'am,

We are appending below the Compliance Certificate for the half year ended 31<sup>st</sup> March, 2020 received from our Registrar and Share Transfer Agent, MCS Share Transfer Agent Limited, as required under Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Kindly take it on record.

For DCM Financial Services Limited

Sd/-

**Shantanu Deveshwar Whole Time Director** 

DIN: 08268523

## DCM FINANCIAL SERVICES LIMITED

CIN:L65921DL1991PLC043087 Regd. Office: D 7/3, Okhla Industrial Area-II, New Delhi-110020 Tel-011-26387750

email ID: <a href="mailto:info@dfslonline.com">info@dfslonline.com</a>
Website: <a href="mailto:www.dfslonline.com">www.dfslonline.com</a>

## **COMPLIANCE CERTIFICATE**

[Pursuant to regulation 7 of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015]

We the undersigned, hereby certify that all activities in relation to both physical and electronic share transfer facilities are maintained by Registrar and Share Transfer Agent Le. MCS Share Transfer Agent Limited having their office at F-65,1st Floor, Okhla Industrial Area, Phase-I, New Delhi - 110020. We confirm that MCS Share Transfer Agent Limited is registered with Securities and Exchange Board of India to act as Registrar and Share Transfer Agent.

Further, we wish to state that the Company i.e. DCM Financial Services Limited has its Financial Year from "April to March" as its Financial year and accordingly we are submitting the compliance certificate for half of the Financial year ended March 31, 2020 i.e. for the period from October 1, 2019 to March 31, 2020 pursuant to Regulation 7(3) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015.

For DCM Financial Services Limited

Company Secretary

For MCS Share Transfer Agent Limited

Ajay Dalal

Sr.Manager (Admn.)

Dated :- 05.05.2020