

Reporting Format of Annual Secretarial Compliance Report

Secretarial compliance report of Dugar Housing Limited for the year ended 31st
March 2019

I Aishwarya N, Practicing Company Secretary have examined:

- (a) all the documents and records made available to us and explanation provided by M/s. Dugar Housing Limited ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31st March 2019 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;

Aishwarya N



- (c) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
(d) Securities and Exchange Board of India (Registrars to an Issue and Share transfer Agents) Regulations, 1993;

And circulars/ guidelines issued thereunder;

And based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:

Sr.No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1.	Reg-33-Mar-18	Non-submission of financial results for mar 2018	Yes, the company filed it late by 3 days
2.	Reg-31-Dec-18	Late submission of shareholding pattern	Yes, the company filed it late by 11 days
3.	Reg 13(3)-Dec-18	Non-submission of investor complaints	Yes, the company filed it late
4.	Reg 29(2)-Feb-19	Delay in giving prior information regarding board meeting	Yes, the company filed it late by 2 days

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.

The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through Various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Aishwarya



Sr. No.	Action taken by	Details of violation	Details of action taken e.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
1.	BSE	Reg-33-Mar-18	1,29,800	Yes, the company paid the penalty
2.	BSE	Reg-31-Dec-18	25960	Yes, the company paid the penalty
3.	BSE	Reg 13(3)-Dec-18	18880	Yes, the company paid the penalty
4.	BSE	Reg 29(2)-Feb-19	11800	Yes, the company paid the penalty

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year Ended... (The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
NA	NA	NA	NA	NA

Place: Chennai

Date: 28th May, 2019

Aishwarya N

Aishwarya N
PRACTISING COMPANY SECRETARY

CP. No. -20319
ACS. NO. - S1960

