

NWML/SEC/2024/59

January 13, 2024

The Manager, The Manager,
Listing Department, Listing Department,

BSE Limited, National Stock Exchange of India Ltd.,

Phiroze Jeejeebhoy Tower, Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra

Dalal Street, - Kurla Complex, Bandra (E),

Mumbai 400 001. Mumbai 400 051.

BSE Scrip Code: 543988 NSE Symbol: NUVAMA

Dear Sir(s) / Madam(s),

Subject: - Certificate under Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018

Please find enclosed certificate received from Link Intime India Private Limited, Registrar and Share Transfer Agent of the Company, for the quarter ended December 31, 2023. This report is submitted in compliance with Regulation 74(5) of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.

Kindly take the same on record.

Thanking you,

Yours faithfully,

For Nuvama Wealth Management Limited (formerly known as Edelweiss Securities Limited)

Sneha Patwardhan Company Secretary



Link Intime India Pvt. Ltd. CIN: U67190MH1999PTC118368

C-101, 247 Park, L.B.S. Marg, Vikhroli (West), Mumbai - 400 083 Phone: +91 22 6656 8484

Fax: +91 22 6656 8494 Email: csg-unit@tcplindia.co.in Website: https://www.tcplindia.co.in

Date: 12th January,2024

To, Nuvama Wealth Management Limited 8th Floor, Wing A, Building No. 3, Inspire BKC G Block, Bandra Kurla Complex, Bandra East Mumbai 400051

Dear Sir/Madam.

Sub: Confirmation Certificate under Regulation 74(5) of Securities of Exchange Board of India (Depositories and Participants) Regulation, 2018.

In reference to the above captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 31^s December 2023 were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

We hereby also confirm that the security certificates received for dematerialisation have been confirmed/rejected and the security certificates received were mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within the prescribed timelines. We request you to kindly take note of the above in your records.

Note:- There were no demat request received from the shareholders for the quarter ended 31st December 2023. This certificate is issued on the request of the company for compliance purpose.

Thanking you,

Yours faithfully, For Link Intime India Private Limited

[Deepak Tambe] Senior Manager