

12<sup>th</sup> April 2021.

**National Stock Exchange of India Limited,**  
“Exchange Plaza”,  
Bandra-Kurla Complex, Bandra (East),  
Mumbai-400051.

**BSE Limited,**  
P.J. Towers,  
Dalal Street,  
Mumbai-400001.

Dear Sirs,

**Sub.: Compliance in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended (the “Listing Regulations”) for the quarter / year ended March 2021.**

Enclosed please find the following in terms of the Listing Regulations for the quarter / year ended March 2021:

1. Certificate under Regulation 7(3) of the Listing Regulations for the half year ended March 2021, certifying that all activities in relation to both physical and electronic share transfer facility are maintained by KFin Technologies Private Limited (formerly Karvy Fintech Private Limited) (SEBI Registration No.INR000000221), the Registrar and Share Transfer Agent of the Company;
2. A statement of investors’ complaints under Regulation 13(3) of the Listing Regulations;
3. Corporate Governance Report in terms Regulation 27(2) of the Listing Regulations;
4. Certificate from Practising Company Secretary relating to audit under Regulation 76(1) of SEBI (Depositories and Participants) Regulations, 2018; and
5. Certificate from Practising Company Secretary under Regulation 40(9) of the Listing Regulations.

Hope you would find the same in order.

Thanking you,

Yours faithfully,

**For Suzlon Energy Limited**



**Geetanjali S.Vaidya,**  
**Company Secretary.**

Encl.: As above.

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS  
AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

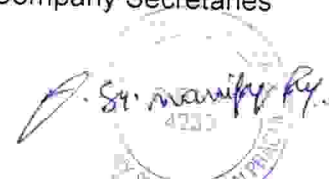
FOR THE HALF YEAR ENDED 31<sup>st</sup> MARCH, 2021.

I have examined all share transfer deeds, Memorandum of Transfers (MOT's), Registers, files and other documents relating to SUZLON ENERGY LIMITED, maintained by the Registrars KFin Technologies Private Limited (Formerly known as Karvy Fintech Private Limited) at Hyderabad, pertaining to transfer of Equity Shares of the Company for the period from 1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021 for the purpose of issuing a Certificate as per Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. I hereby certify that the Company during half year ended on 31<sup>st</sup> March 2021 has not received:

- (a) any requests for subdivision, consolidation, renewal, exchange or endorsement of calls / allotment monies during the period from 1<sup>st</sup> October, 2020 to 31<sup>st</sup> March 2021.
- (b) any requests for issue of duplicate share certificates.

Place: Hyderabad  
Date: 12<sup>th</sup> April, 2021

For DSMR & Associates  
Company Secretaries



D.S.M. Ram  
Proprietor  
C. P. No. 4239  
M. No. 14939  
UDIN: A014939C000053802