PANKAJ PIYUSH TRADE AND INVESTMENT LTD

Regd. Off. :314, 3rd Floor, Opposite Plot No. 39, R. G. Mall, Sector-9, Rohini, New Delhi-110085 (CIN : L65990DL1982PLC256291) Website : www.pptinvestment.in E-mail : infopptinvestment@gmail.com Ph. : 011-42804171, 9891442777

Date: June 26, 2021

To, The Manager-Listing Department BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai- 400001 Scrip Code: 506122

Ref: SEBI Circular CIR/CFD/CMD1/27/2019 dated 8th February, 2019

Sub: Annual Secretarial Compliance Report for the Financial Year ended 31st March, 2021

Dear Sir,

Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular CIR/CFD/CMD1/27/2019 dated 8th February, 2019, please find the enclosed Annual Secretarial Compliance Report for the financial year ended March 31, 2021.

This is for your information and records.

For Pankaj Piyush Trade and Investment Limited

Kanika Arora

Company Secretary

Place: Delhi

VMS & CO.

Company Secretaries

ANNUAL SECRETARIAL COMPLIANCE REPORT OF PANKAJ PIYUSH TRADE & INVESTMENT LIMITED FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2021

[Pursuant to Circular CIR/CFD/CMD1/27/2019 dated February 08, 2019 in compliance with Regulation 24A of SEBI (Listing Obligations and **Disclosure Requirements) Regulations, 2015]**

To, The Board of Directors, Pankaj Piyush Trade & Investment Limited Regd. Office: 314,3rdFloor, Opposite Plot No. 39 R.G. Mall Sector-9, Rohini, New Delhi-110085

We, VMS & Co., Practicing Company Secretaries have examined:

All the documents and records made available to us and explanation provided by (a) Pankaj Piyush Trade & Investment Limited (CIN: L65990DL1982PLC256291) ("the listed entity"),

The filings/ submissions made by the listed entity to the stock exchanges i.e. BSE (b)Limited,

Website of the listed entity, (C)

'Any other document/ filing, as may be relevant, which has been relied upon to (d) make this certification for the year ended 31st March, 2021 ("Review Period") in respect of compliance with the provisions of:

- the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the (i)Regulations, circulars, guidelines issued there under; and
- the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made (ii)thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India("SEBI");

The specific Regulations whose provisions and the circulars/guidelines issued thereunder have been examined include:

- Securities and Exchange Board of India (Listing Obligations and Disclosure (i)Requirements) Regulations,2015;
- Securities and Exchange Board of India (Issue of Capital and Disclosure (ii)Requirements) Regulations,2018(Not applicable as the Company has not issued any further share capital during the review period);

Office: M-1/12, Ground Floor, Model Town-III, New Delhi-110009 Contact No.: 011-65648009, +91 981127 2009, 965008 2009 Email: vmscorporategroup@gmail.com, Website: www.vmslegal.in

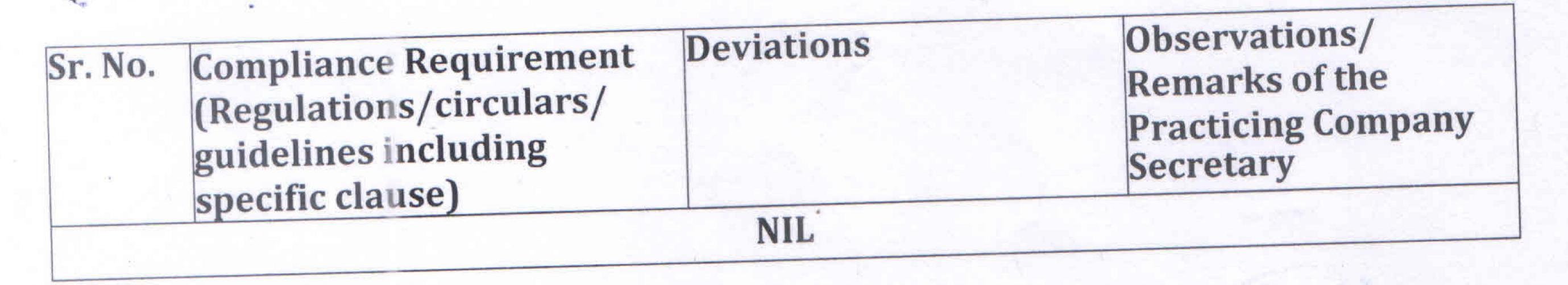
- Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 (Not applicable as the Company has not brought back/propose to any of its securities during the review period);
- (v) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations,2014**(Not applicable as the Company has not issued any shares**

to its employees during the review period);

- (vi) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations,2008(Not applicable as the Company has not issued and listed debtsecurities during the review period);
- (vii) Securities and Exchange Board of India(Issue and Listing of Non- Convertible and Redeemable Preference Shares)Regulations,2013(Not applicable as the Company has not issued and listed any Non- Convertible and Redeemable Preference Sharesduring the review period);

(viii) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations,2015 and the circulars and guidelines issued thereunder;

- (ix) Securities and Exchange Board of India (Depositories and Participants)
 Regulations, 2018;
- (x) The Securities and Exchange Board of India (Registrars to an Issue and Share TransferAgents) Regulations, 1993;
- (xi) Other regulations as applicable to the Company and circulars/guidelines issued thereunder;
- And based on the above examination we hereby report that during the review period:
- (a) The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued thereunder, <u>except</u> in respect of matters specified below: -



(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.

(c) The following are the details of actions taken against the listed entity/its promoters/directors/material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars)under the aforesaid Acts/ Regulations and circulars/ guidelines issuedthereunder:

Sr. No.	Action by	taken Details of violation	Details of taken E.g. warning letter, debarment, etc	fines,	Observations/ remarks of the Practicing Company Secretary, if any.
4			NIL		

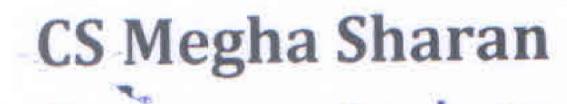
(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr.	Observations of	Observations made Actions taken by	Comments of the
No.	the Practicing	in the secretarial the listed entity	
	Company Secretary		Secretary on the
		for the year ended	actions taken by the
	reports	31 st March, 2021	listed entity

NIL

We further report that there was no event of appointment/re-appointment/resignation of Statutory Auditor of the Company during the review period and the Company was in compliance with Para 6(A) and Para 6(B) of Circular No. CIR/CFD/CMD1/114/2019 dated October 18, 2019.

For VMS & Co., Company Secretaries



Company Secretary in Practice M. No.: F9802 C.P. No.: 12171 UDIN: F009802C000517331

Date:25.06.2021 Place: Delhi