

# PALASH SECURITIES LIMITED

CIN – L74120UP2015PLC069675

REGD. OFFICE - P.O. HARGAON, DIST SITAPUR (U.P.), PIN – 261 121

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E-mail – [palashsecurities@birlasugar.org](mailto:palashsecurities@birlasugar.org); Website-[www.birla-sugar.com](http://www.birla-sugar.com)

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PSL/SE/2019-20/31

18<sup>th</sup> October, 2019

The Secretary  
National Stock Exchange of India Ltd.  
Exchange Plaza, 5th Floor  
Plot No. C/1, G Block  
Bandra- Kurla Complex, Bandra (E)  
Mumbai 400 051

The Dy. General Manager  
Corporate Relationship Department  
BSE Ltd.  
1st Floor, New Trading Ring,  
Rotunda Building  
P.J. Towers, Dalal Street, Fort  
Mumbai-400 001

Symbol : PALASHSECU

Stock Code : 540648

Dear Sirs,

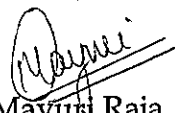
**Sub : Compliance Certificate for the half year ended 30<sup>th</sup> September, 2019**

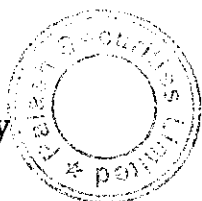
In pursuance of Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we send herewith a copy of the Compliance Certificate dated 17<sup>th</sup> October, 2019 received from M/s. S. M. Gupta & Co., Company Secretaries, regarding issue of Share Certificates within 30 days of the date of lodgment for transfer, sub-division, consolidation, renewal etc. for the half year ended 30<sup>th</sup> September, 2019.

Please acknowledge receipt.

Thanking you

Yours faithfully,  
For Palash Securities Limited

  
Mayuri Raja  
Company Secretary  
ACS : 26022



Encl : as above

Fax : (033) 2236-7135  
E-mail : sfguptaandco@yahoo.com  
smguptaandco@gmail.com  
Website : www.smguptaco.com

Phones : Offi. : 2236 1385, 2236 7135  
Resi. : 2534 8148  
Mobile : 98300 57568

**S. M. GUPTA & CO.**  
COMPANY SECRETARIES  
P-15, BENTINCK STREET, CALCUTTA-700 001

Ref. No.....

Dated .....

**CERTIFICATE**

**FOR THE HALF YEAR ENDED 30.09.2019**

We have examined all Share Transfer Deeds, Register of Transfers, files and other documents relating to **M/s. PALASH SECURITIES LIMITED (CIN: L74120UP2015PLC069675)** maintained by them and their Share Transfer Agents M/s. Link Intime India Private Limited pertaining to transfer of equity shares of the company for the period from **01.04.2019** to **30.09.2019** for the purpose of issuing a Certificate as per Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information/ explanation provided by the Company/ STA and on the basis of such checks which to the best of our knowledge and belief were necessary for the purpose of this certification, we hereby certify that the Company has generally issued during the above half year:-

- A) Share Certificate(s) relating to valid Share Transfer Deeds (re-lodgment cases) received during the period from **01.04.2019** to **30.09.2019** as entered in the Register of Transfers within thirty days from respective date of lodgment of such deed excepting those rejected on technical grounds.
- B) Share Certificate(s) in respect of valid requests for sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies wherever applicable within thirty days of lodgment.

Place : Kolkata

Dated: **17 OCT 2019**

Signature : 

Name : S.M. GUPTA

Membership No. : FCS-896

C. P. Number : 2053

UDIN:F000896A000104945

