

Aaswa Trading and Exports Limited

April 28, 2023

To
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street, Mumbai- 400 001
Scrip Code: 512038

Sub.: Submission of Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015 for the financial year ended March 31, 2023.

Dear Sir/ Madam,

Pursuant to the provisions of Regulation 40(9) of Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015, Certificate issued by M/s Sachpara and Associates, Practicing Company Secretaries, for the financial year ended March 31, 2023 is enclosed with this letter.

Kindly take the same on records.

Thanking You,
For Aaswa Trading and Exports Limited

Umesh Kumar Sahay
Director
(DIN: 01733060)

Encl: As above.

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATIONS, 2015**

FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2023

I have examined Share Transfer Forms / Deeds, Memorandum of Transfers, Registers, files and other documents relating to AASWA TRADING AND EXPORTS LIMITED (the Company) (CIN: L51100GJ1984PLC024704) having its registered office at 32, Milanpark Society, Near Jawahar Chowk, Maninagar, Ahmedabad- 380008 maintained by Link Intime India Private Limited, Registrar and Share Transfer Agents pertaining to transfer of equity shares of the Company during the period from April 01, 2022 to March 31, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information received from the Company / RTA, I hereby certify that the company has during period from April 01, 2022 to March 31, 2023:

- A) Received 11 requests for transfer. All 11 requests received for share transfer has been processed and Share Certificates relating to transfer of shares received and as entered in the Memorandum of Transfers have been issued within thirty days of the respective date of the lodgment for transfer.
- B) Received 2 requests for issue duplicate share certificate which has been processed and Certificates have been issued within 30 days from the date of lodgment.
- C) Further, there was no request received for sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the aforesaid period, as applicable;
- D) Any other information, if applicable: N.A.

For Sachapara & Associates
Company Secretaries

CHIRAG
SACHAPARA

Digitally signed by
CHIRAG SACHAPARA
Date: 2023.04.28
11:50:16 +05'30'

Chirag Sachapara

Membership No.: A59034

CP No.: 22177

PR No. 1301/2021

UDIN: A059034E000214191

Place: Mumbai

Date- April 28, 2023