

Ref No: 03/SE/CS/JAN/2023-24

Date: January 11, 2024

To,

Listing Department BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai – 400001	Listing & Compliance Department National Stock Exchange of India Limited Exchange Plaza, 5th Floor Plot No.C/1, “G” Block Bandra- Kurla Complex Bandra(E), Mumbai- 400051
BSE Scrip Code: 544020	NSE Symbol: ESAFSFB

Dear Sir / Madam,

Sub: Certificate under Regulation 74(5) of SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended December 31, 2023

We are enclosing herewith the certificate issued by Link Intime India Private Limited, Registrar & Share Transfer Agents of the Bank, confirming compliance under Regulation 74(5) of SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended December 31, 2023.

The intimation is also made available on the website of the Bank at www.esafbank.com.

Requesting you to take the same into your records.

Thanking you.

Yours faithfully,

For ESAF Small Finance Bank Limited

Ranjith Raj. P
Company Secretary and Compliance Officer

Date : 05-01-2024

To,
The Compliance Officer/ Company Secretary
ESAF SMALL FINANCE BANK LTD
BUILDING NO. VII/83/8
ESAF BHAVAN, THRISSUR-PALAKKAD
NATIONAL HIGHWAY, MANNUTHY, THRISSUR
KERALA
TRICHUR
PINCODE : 680651

Sub : Confirmation Certificate under Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.

Dear Sir/Madam,

In reference to the above captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 31st December 2023, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

We hereby also confirm that the security certificates received for dematerialisation have been confirmed/rejected and the security certificates received were mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within the prescribed timelines. We request you to kindly take note of the above in your records.

Thanking you,

Yours faithfully,

For **Link Intime India Pvt.Ltd.**



Ashok Shetty
Vice President-Corporate Registry

Note : You are requested to inform Depositorie(s) and Stock Exchange(s) wherever your security are listed accordingly.