



SONATA SOFTWARE LIMITED

8<sup>th</sup> April, 2019

National Stock Exchange of India Limited  
Exchange Plaza, Bandra Kurla Complex,  
Mumbai  
Kind Attn: Manager, Listing Department  
Email Id: [neaps@nse.co.in](mailto:neaps@nse.co.in)  
Stock Code - SONATSOFTW

BSE Limited  
P.J. Towers, Dalal Street  
Mumbai  
Kind Attn: Manager, Listing Department  
Email Id: [listing.centre@bseindia.com](mailto:listing.centre@bseindia.com)  
Stock Code - 532221

Dear Sirs,

**Sub: CERTIFICATE FROM THE PRACTICING COMPANY SECRETARY  
Ref: REGULATION 40 OF THE SEBI (LODR) REGULATIONS, 2015**

With reference to the above, please find enclosed copy of Compliance Certificate dated 6<sup>th</sup> April, 2019 for the half year ended 31<sup>st</sup> March, 2019 as required under Regulation 40 of the SEBI (LODR) REGULATIONS, 2015 issued by Practicing Company Secretary.

This is for your information and records.

Thanking you,

Yours faithfully  
For **Sonata Software Limited**

**Rashmi Shirke**  
**Assistant Company Secretary and Compliance Officer**



**P Sriram & Associates**  
Practising Company Secretaries

To,  
The Board of Directors  
Sonata Software Limited  
208 T V Indl Estate, 2nd Floor,  
S. K. Ahire Marg, Worli, Mumbai - 400030

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING  
OBILIGATONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

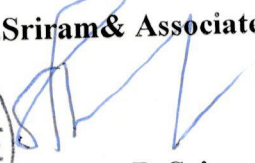

**FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH 2019**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. SONATA SOFTWARE LIMITED** bearing **Corporate Identification Number L72200MH1994PLC082110** maintained by **M/s. Karvy Fintech Private Limited**, pertaining to transfer of equity shares of the company for the period from **1<sup>st</sup> October 2018 to 31<sup>st</sup> March 2019** for the purpose of issuing a Certificate as per regulation 40 of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 entered into by the Company, with the listed stock exchanges and based on the information provided by the Company and We hereby certify that the Company has delivered during half year ended on **31<sup>st</sup> March 2019**.

- A) Share Certificate relating to the Share Transfer Deeds received during the period from **1<sup>st</sup> October 2018 to 31<sup>st</sup> March 2019** as entered in the Memorandum of Transfers have been issued within fifteen days from respective date of lodgment of each deed.
- B) Share Certificates in respect of requests for Exchange of Duplicate Share certificate have been issued within one month of lodgment and no request for issue of Split of Share certificate has been received Consolidation, Sub-Division Renewal, Exchange or Endorsement of Calls / Allotment Monies during the period from **1<sup>st</sup> October 2018 to 31<sup>st</sup> March 2019**

Date: 06.04.2019

Place: Chennai

For M/s. P.Sriram & Associates  
  
  
**P. Sriram**  
Proprietor  
Membership Number - 4862  
Certificate of Practice Number - 3310