

Almondz Global Securities Limited

Ref:agsl/corres/Bse-Nse/22-23/30

September 20, 2022

**The General Manager
(Listing & Corporate Relations)
Bombay Stock Exchange Ltd.
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001**

**The Listing Department
National Stock Exchange of India Ltd.
Exchange Plaza, Plot No. C/1, G Block,
Bandra Kurla Complex,
Bandra (E), Mumbai – 400051**

Sub: Proceedings of the 28th Annual General Meeting

Sir/Ma'm,

Pursuant to Regulation 30 read with Para A of the Schedule III of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed proceedings of the 28th Annual General Meeting ("AGM") of the Company held on Tuesday, September 20, 2022 at 11:30 a.m. through electronic mode (video conference or other audio visual means) and concluded at 11.58 a.m.

We request you to take the aforesaid on records.

Thanking you,

Yours Faithfully,
For Almondz Global Securities Ltd.

**Ajay Pratap
Company Secretary &
Vice President Corporate Affairs**

Encl: a/a

SEBI Registration : INM000000834

Registered Office : F-33/3, Okhla Industrial Area Phase - II, New Delhi - 110 020, India. Tel. :+91 11 4350 0700, 4151 4666 Fax : +91 11 4350 0735

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Almondz Global Securities Limited

PROCEEDINGS OF THE 28TH ANNUAL GENERAL MEETING ("AGM") OF ALMONDZ GLOBAL SECURITIES LIMITED ("THE COMPANY") HELD ON TUESDAY, SEPTEMBER 20, 2022 AT 11:30 A.M. THROUGH ELECTRONIC MODE (VIDEO CONFERENCE OR OTHER AUDIO VISUAL MEANS) AND CONCLUDED AT 11.58 A.M.

Present:

- | | | |
|----------------------------|---|---|
| 1.Mr. Navjeet Singh Sobti | - | Managing Director |
| 2.Mr. Jagdeep Singh | - | Wholtime Director |
| 3.Mr. Ajay Kumar | - | Independent Director & Chairman of Audit Committee, Nomination & Remuneration Committee & Stakeholders Relationship Committee –Through VC |
| 4.Mr. Satish Chandra Sinha | - | Chairman of the Meeting and Independent Director & Member of Audit Committee and Nomination & Remuneration Committee - Through VC |
| 5. Ms. Neelu Jain | - | Non- Executive Director - Through VC |

In Attendance:

- | | | |
|--------------------|---|---|
| 1. Mr. Ajay Pratap | - | Company Secretary & Vice President -Corporate Affairs |
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Invitees:

- | | | |
|---------------------|---|--|
| 1. Ms.Ashu Gupta | - | Practicing Company Secretary & Secretarial Auditor- |
| 2. Mr. Mohan Gupta | - | Statutory Auditors |
| 3. Mr. Sahil Gupta | - | Partner, Mohan Gupta & Company & Statutory Auditors-Through VC |
| 4. Mr. Rajeev Kumar | - | Chief Financial Officer |

1. The 28th Annual General Meeting ("AGM") of the members of Almondz Global Securities Limited ("The Company") was held on Tuesday, September 20, 2022 at 11:30 a.m. (IST) through VC/ OAVM platform provided by Central Depository Services (India) Limited ("CSDL"). The AGM commenced at 11:30 a.m. (IST). Mr. Ajay Pratap, Company Secretary introduced himself and welcomed Chairman, all Directors and shareholders present for the AGM. The Company secretary informed that this AGM was held through Video Conferencing in accordance with the circular issued by Ministry of Corporate Affairs (MCA) and SEBI. Participation of members through video conferencing was counted for the purpose of the quorum as per the applicable circulars issued by MCA and SEBI. Thereafter, he requested all the Directors to introduce themselves.
2. He further informed that Mr. Mohan Gupta, Partner of M/s Mohan Gupta & Co, Chartered Accountants(Statutory Auditors) attended the meeting from Registered Office of the Company. Mr. Sahil Gupta Partner of M/s Mohan Gupta & Co, Chartered Accountants(Statutory Auditors) attended the Meeting through Video Conferencing. Ms. Ashu Gupta, Proprietor of M/s Ashu Gupta & Co., Scrutinizer and Secretarial Auditor were also present for AGM through Video Conferencing.

Total 84 Shareholders attended the AGM.

3. Mr. Ajay Pratap, Company Secretary walked the Members through instructions for participating in the 28th Annual General Meeting ("AGM") through video conference. He informed the Members that the Company had taken all feasible efforts under the current circumstances to enable Members to participate through video conference and vote at the AGM. Further, he informed that the facility for appointment of proxies by the Members was not applicable as the AGM was held through video conference and hence the proxy register was not available for inspection. The documents / registers

SEBI Registration : INM000000834

as per the regulatory requirement were available for inspection electronically to the Members requesting for the same.

4. The Company Secretary requested Mr. Satish Chandra Sinha, Chairman to take the Chair and Start with the proceeding of the AGM.
5. The requisite quorum being present, the Chairman called the meeting to order. He said that the notice and the Annual Report for the Financial Year 2021-2022 have already been circulated to the members electronically. With the permission of Shareholder, he took them as read. He further informed that the Auditors' Report on the Financial Statements and the Secretarial Audit Report of the Company for the financial year ended March 31, 2022 does not contain any qualification, reservation, adverse remark or disclaimer. Accordingly, the reports were not required to be read out as provided in the Companies Act, 2013
6. The Chairman then delivered his speech to the Shareholders and briefed the highlights of performance of the Company during the Financial Year 2021-22.
7. The Company Secretary briefed shareholders inter alia, about certain procedural and technical aspects of the AGM. he informed that:
 - a) The Company had provided to the Shareholders, the facility to cast their vote electronically through remote e-voting facility provided by CDSL which had commenced on Saturday, 17th September, 2022 at 10.00 a.m. (IST) to Monday, 19 September, 2022 at 5.00 p.m. (IST), on all resolutions set forth in the Notice of the AGM.
 - b) Shareholders who were present at the AGM and had not casted their vote electronically were provided an opportunity to cast their votes though e-voting during the Meeting.
8. He further informed that the Notice of the AGM contains ordinary businesses as well as special businesses on Agenda.

The Ordinary Businesses include

1. Adoption of Annual Accounts for FY 2021-22 and the Reports of Auditors and Directors thereon,
2. Re-appointment of Ms. Neelu Jain who is retiring by rotation,
3. Re-appointment of the Statutory Auditors and the Payment of Remuneration to them

The Special Businesses

4. Payment of Remuneration to the Managing Director for the remaining period of his tenure,
 5. Payment of Remuneration to the Wholetime Director for the remaining period of his tenure,
 6. Shifting of Registered Office of the Company Form "NCT of Delhi" to the State of Maharashtra,
 7. Amendment on the ESOP Grants Under Series "G",
 8. Classification of Authorised Share Capital of the Company
 9. Issuance of Non-Convertible Redeemable Preference Shares
 10. Approval of Related Party Transactions
9. The Company Secretary informed that Ms. Ashu Gupta, Practicing Company Secretary has been appointed Scrutinizer to Scrutinize the votes cast at the meeting and through the remote e-voting. Since the AGM is being held through Video Conference/ OAVM, and the resolutions mentioned in the notice convening this AGM have been already put to vote through remote e-voting, there will be no proposing and seconding of resolutions.

10. The Company Secretary informed that the Company had received a request from the shareholders for allowing him to speak in the meeting. Accordingly, he then allowed them one by one to express their views and queries.
11. Mr. Navjeet Singh Sobti answered the queries of the Shareholders.
12. The Chairman further informed that e-voting facility would remain open for 15 minutes post conclusion of the AGM and requested the Members who had not exercised their votes through the remote e-voting facility, to cast their votes through this e-voting facility.
13. The Chairman thanked the Members and the AGM was concluded at 11.58 a.m.
14. Thereafter, the voting process was concluded.

For Almondz Global Securities Ltd.

**Ajay Pratap
Company Secretary &
Vice President Corporate Affairs**