

KEN FINANCIAL SERVICES LIMITED

F-3, First Floor, Shah Arcade 1, Shah Arcade SRA CHSL, Rani Sati Marg, Malad (East), Mumbai - 400 097.
Ph. No. 022-28881720, Email: kenfsl@rediffmail.com, Website: ken-fin.com
(CIN - L65990MH1994PLC078898)

Date: 28th May, 2020

To,
BSE Limited
Department of Corporate Service,
PhirozeJeejeebhoy Towers,
Dalal Street, Fort,
Mumbai - 400 001.

Ref:Script Code - 530547

Sub:Submission of Certificate under Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 31st March, 2020

Dear Sir / Madam,

We are enclosing herewith Compliance Certificate under Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 31st March, 2020.

Kindly take the same on your record.

Thanking you.

Yours faithfully,
For Ken Financial Services Limited



Sumit Gupta
DIN: 06938413
Director

Encl: as above



CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE HALF-YEAR ENDED 31ST MARCH, 2020

- (a) I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s KEN FINANCIAL SERVICES LIMITED CIN-L65990MH1994PLC078898** maintained by M/s Purva Share registry India Pvt. Ltd. (Registrar & Transfer Agent of the said company), Pertaining to transfer of equity shares of the company for the period from **01st October, 2019 to 31st March, 2020** for the purpose of issuing a Compliance Certificate as per **Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015**, with the Stock Exchanges. Based on our examination, as well as information and explanation furnished to us by the Company, we hereby certify that, during the half year ended on **31st March, 2020**:
- A. The Company has not received any request for registration of transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies in respect of the Equity shares in the Company, during the period beginning from **01st October, 2019 to 31st March, 2020**.
- B. No Share Certificates have been issued in respect of duplicates and split certificates as there is no such request received by the Company.

For Nitesh Chaudhary & Associates

Nitesh Chaudhary, Proprietor
Practicing Company Secretary

FCS. NO.10010

CP NO. 16275

Date: 28th May, 2020

Place: Mumbai

UDIN: F010010B000291610