



April 13, 2023

<b>To, The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai - 400001. Tel no.: 22721233 Fax No.: 22723719/ 22723121/ 22722037 BSE Scrip Code: 540776</b>	<b>To, The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5<sup>th</sup> Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai - 400051. Tel No.: 2659 8235 Fax No.: 26598237/ 26598238 NSE Symbol: 5PAISA</b>
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Dear Sir/Madam,

**Sub: Submission of Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 for the year ended March 31, 2023:**

Please find enclosed herewith Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 for the year ended March 31, 2023.

Kindly take the same on record and acknowledge the receipt of the same.

Thanking you,

Yours faithfully,

**For 5paise Capital Limited**

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**Namita Godbole  
Company Secretary  
Membership No.:A21056**

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**5paise Capital Limited**

**Corporate Identity Number: L67190MH2007PLC289249**

Corporate Office/ Regd. Office: IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, MIDC, Thane Industrial Area, Wagle Estate,  
Thane – 400604. E-mail: csteam@5paise.com • Website: www.5paise.com

# NILESH G. SHAH

B.Com. LLB (G), F.C.S.

## Company Secretaries

Ref \_\_\_\_\_

**UDIN: F004554E000083303**

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

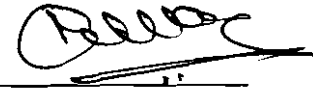
**FOR THE YEAR ENDED 31.03.2023**

I have examined all ~~Share Transfer Deeds~~, Memorandum of Transfers Registers, files and other documents relating to **5PAISA CAPITAL LIMITED** maintained by M/s Link Intime India Private Limited pertaining to transfer of equity shares of the company for the period from 1<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023 for the purpose of issuing a Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 to 5paisa Capital Limited and based on the information provided by the Company and the Registrar, I hereby certify that the Company has not received any request relating to the Transmission, Name Deletion, Sub-division, Consolidation, Replacement / Exchange of Certificate, Endorsement for calls/allotment Money during year ended on 31<sup>st</sup> March, 2023.

**Date: 13.04.2023**

**Place: Mumbai**

**Signature:**



**NILESH G. SHAH.**

**Company Secretary**

**C. P. No: 2631**

