



October 21, 2020

<p><b>The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001. Tel no.: 22721233 Fax No.: 22723719/ 22723121/ 22722037 BSE Scrip Code: 540776</b></p>	<p><b>The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051. Tel No.: 2659 8235 Fax No.: 26598237/ 26598238 NSE Symbol: 5PAISA</b></p>
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Dear Sir/Madam,

**Sub: Submission of Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 for the half year ended September 30, 2020**

Please find enclosed herewith Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 for the half year ended September 30, 2020.

Kindly take the same on record and oblige.

Thanking You,

Yours faithfully,

For 5paise Capital Limited

**Namita Godbole  
Company Secretary**

Email: [csteam@5paise.com](mailto:csteam@5paise.com)

Encl: as above

**5paise Capital Limited**

**Corporate Identity Number: L67190MH2007PLC289249**

Corporate Office/ Regd. Office: IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, MIDC, Thane Industrial Area, Wagle Estate, Thane – 400604.

E-mail: [csteam@5paise.com](mailto:csteam@5paise.com) • Website: [www.5paise.com](http://www.5paise.com)

## Company Secretaries

Ref.: \_\_\_\_\_

**UDIN: F004554B000944559**

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI [LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS] REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30.09.2020.**

I have examined all Share Transfer Deeds, Memorandum of Transfers Registers, files and other documents relating to **5PAISA CAPITAL LIMITED** maintained by M/s Link Intime India Private Limited pertaining to transfer of equity shares of the company for the period from 1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020 for the purpose of issuing a Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 to 5paisa Capital Limited and based on the information provided by the Company and the Registrar, I hereby certify that the Company has not received any request relating to the Transfer, Transmission, Name Deletion, Sub-division, Consolidation, Replacement & Issue of Duplicate Share Certificate and Exchange of Certificate, Endorsement for calls/allotment Money during half year ended on 30<sup>th</sup> September, 2020.

**Note:** Due to COVID-19 outbreak and Lockdown situation, this Certificate has been issued relying on the certificate, information, details, data, documents and explanation provided by the Company and its officers, agents and authorized representatives and Registrar and Transfer Agent in electronic form, without physically verifying at their office.

Date: 14/10/2020

Place: Mumbai

Signature: \_\_\_\_\_



**NILESH G. SHAH.**

**Company Secretary**

**C. P. No: 2631**

