**SIEMENS** 

21st October 2022

National Stock Exchange of India Limited **BSE Limited** 

Scrip Code -

National Stock Exchange of India Limited: SIEMENS EQ

BSE Limited: 500550

Submission of Certificate under Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Financial Year ended 30th September 2022

Dear Sir / Madam,

Pursuant to Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations"), we are submitting herewith, a certificate dated 21st October 2022 as per Regulation 40(9) of the Listing Regulations for the Financial Year ended 30th September 2022, from M/s. Parikh Parekh & Associates, Practising Company Secretaries.

Kindly take the same on record.

Yours faithfully,

For Siemens Limited

**Ketan Thaker Company Secretary** 

Encl.: as above



Office: 111, 11th floor, Sai-Dwar CHS Ltd., SAB TV Lane, Opp. Laxmi Industrial Estate, Off Link Road, Above Shabari Restaurant, Andheri (West), Mumbai-400 053.

Tel.: 26301232 / 26301233 Email: cs@parikhassociates.com Website: www.parikhassociates.com Firm Unique Code: P1987MH010000

## CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 30<sup>th</sup> SEPTEMBER, 2022.

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8<sup>th</sup> June, 2018 and vide its press release dated 3<sup>rd</sup> December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had mandated to transfer securities only in dematerialized form w.e.f. 01<sup>st</sup> April, 2019. SEBI vide its press release dated 27<sup>th</sup> March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01<sup>st</sup> April, 2019. Further, SEBI vide its circular dated 07<sup>th</sup> September, 2020 has fixed 31<sup>st</sup> March, 2021 as the cut-off date for re-lodgement of such transfer deeds and the shares that are relodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 02<sup>nd</sup> December, 2020.

SEBI vide gazette notification dated 24<sup>th</sup> January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated 25<sup>th</sup> January, 2022 has mandated that the companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/ folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents relating to SIEMENS LIMITED ('the Company') maintained and provided electronically by TSR Consultants Private Limited, Registrar and Share Transfer Agents (RTA), pertaining to the financial year ended 30<sup>th</sup> September, 2022 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that:

A) 1.a. As informed to us, there were no requests relating to transfers received during the financial year ended 30<sup>th</sup> September, 2022.

- 1. b. The Company has delivered during year ended on 30th September, 2022, share certificates/ letters of confirmation as per SEBI's operational guidelines, for execution of transmission of securities, deletion of name and renewal received during the financial year ended 30th September, 2022, within the prescribed time from the respective date of lodgment. As informed to us there were no requests relating to transposition of securities, sub-division (split), consolidation, exchange or endorsement calls/allotment monies received during the said period.
- B) Any other information, if applicable: --

For Parikh Parekh & Associates

**Company Secretaries** 

Place: Mumbai

Date: October 21, 2022

PN**Parikh** 

Digitally signed by P N Parikh
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st=Maharashtra,
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97c0be1a9a5543aafae294fe7c98b0c5e69c2f c, cn=P N Parikh Date: 2022.10.21 17:10:20 +05'30'

Signature:

P. N. Parikh

FCS No: 327 CP No: 1228 UDIN: F000327D001336750

PR No.: 723/2020