

ALKALI METALS LIMITED

Plot B-5, Block III, IDA, Uppal, Hyderabad - 500 039, India
CIN: L27109TG1968PLC001196.

+91-40-2344 5961/2344 5962
+91-40-2756 2932/2720 1179
info@alkalimetals.com
www.alkalimetals.com

ANISO9001&14001

COMPANY



Manufacturers of : Sodium Amide, Sodium Alkoxides, Sodium Hydride, Sodium Azide, Tetrazoles, Amino Pyridines, Pyridine Derivatives,
Cyclic Compounds, Fine Chemicals, Intermediates for pharmaceuticals and Active Pharmaceutical Ingredients

AML/Stock Exchanges/20210616

Date: 16th June 2021

To
The Vice President,
Listing Department,
The National Stock Exchange of India Ltd,
Exchange Plaza, Bandra Kurla Complex,
Bandra (East), Mumbai-400051
Symbol: ALKALI, Series: EQ

To
The General Manager
Department of Corporate Services,
Bombay Stock Exchange Ltd.,
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai-400001
Scrip Code: 533029

Dear Sir,

Sub: Annual Secretarial Compliance Report for the year ended 31st March 2021

Please find enclosed the Annual secretarial compliance report required under Regulation 24A of the SEBI (LODR) Regulations, 2015, issued by the Practicing Company Secretary for the year ended 31st March 2021.

This is for your information and record.

Thanking You

Yours faithfully,
For Alkali Metals Limited


Siddharth Dubey
Company Secretary





**Secretarial Compliance Report of Alkali Metals Limited
for the year ended 31st March, 2021**

I CS B. Venkatesh Babu have examined:

- a) all the documents and records made available to me and explanation provided by **Alkali Metals Limited** (CIN: L27109TG1968PLC001196) ("the listed entity")
- b) the filings/ submissions made by the listed entity to the stock exchanges,
- c) website of the listed entity,
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

For the year ended 31st March, 2021 ("Review Period") in respect of compliance with the provisions of :

- a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued there under; and
- b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars guidelines issued there under, have been examined, include:-

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

And based on the above examination, I hereby report that, during the Review Period:

- a) The listed entity has complied with the provisions of the applicable above Regulations and circulars/ guidelines issued there under except the Six Directors in the composition of the Board for the partial period.
- b) The listed entity has maintained proper records under the applicable provisions of the above Regulations and circulars/ guidelines issued there under insofar as it appears from my examination of those records.



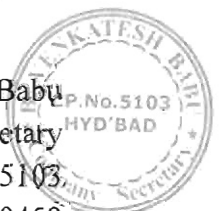
- c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sl.No.	Action taken by	Details of violation	Details of action taken	Observations/ Remarks of the Practicing Company Secretary
1	Notice for Non-Compliance of SEBI LODR	Violation of regulation 17(1) of SEBI LODR for not having 6 Directors.	Imposed the Fine	At the starting of the financial year, the Company was in compliance of the provisions relating to the composition of the Board. In the month of June 2021 one of the Directors of the Company had resigned and the Company had faced challenges to fill the vacant position due to the Covid 19 pandemic. The Company requested the Stock Exchanges to provide time till March 2021 to comply with the said requirement. Meanwhile a Director was appointed in the month of February 2021. Thereafter, the Stock Exchanges had imposed a penalty for the intervening period beginning from 1 st October 2020 to 11 th February 2021. The Company has applied for a waiver of the same and the outcome is still awaited.

- d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sl.No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended...	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
There are no observations and accordingly no actions were taken				

CS B. Venkatesh Babu
Company Secretary
FCS No. 6708, CP.No. 5103
UDIN F006708C000439459



Place: Hyderabad
Date: 10.06.2021