



SMIFS CAPITAL MARKETS LIMITED

June 22, 2022

The Secretary
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai 400 001

Dear Sir,

Sub: Intimation of Appointment of the Company Secretary and Compliance Officer as per Regulations 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015.

Pursuant to Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 ('SEBI LODR Regulation'), please be informed that the Board of Directors at its meeting held today, June 22, 2022 (Wednesday) inter alia approved the following:

It is hereby informed that on the recommendation of Nomination Remuneration Committee, the Board of Directors of the company in the meeting held today, has appointed Ms. Ayanti Sen, an associate member of the ICSI (Membership No. A61796) as the Company Secretary and Compliance Officer of the company with effect from June 22, 2022 in accordance with the provisions of Section 203 of the Companies Act, 2013 read with the relevant applicable Rules and SEBI (LODR) Regulations 2015 and other applicable provisions if any.

Please acknowledge receipt,

Yours faithfully,

For SMIFS Capital Markets Limited

Kishor Shah

(Kishor Shah)
Managing Director



Encl: As above



SMIFS CAPITAL MARKETS LIMITED

Details under Regulation 30 of the SEBI LODR Regulation read along with SEBI Circular CIR/CFD/CMD/4/2015 dated September 9, 2015

Sl No.	Particulars	Details of Change
		Ms. Ayanti senn
1.	Reason for change viz. appointment, resignation, removal, death or otherwise	Appointment
2.	Date of appointment/cessation & term of appointment	June 22, 2022
3.	Brief Profile (in case of appointment)	<p>SMIFS Capital Markets Limited has appointed Ms. Ayanti sen as the Company Secretary cum Compliance Officer of the Company.</p> <p>Ms. Ayanti Sen is an associate member of the Institute (ICSI) and a Law graduate.</p> <p>She has experience of being a Company secretary for the past 2 years in SMIFS Capital Services Limited (Wholly owned subsidiary Company of SMIFS Capital Markets Limited)</p>
4.	Disclosure of relationships between directors (in case of appointment of a director)	NA

For SMIFS CAPITAL MARKETS LIMITED

Kishor Shah

(KISHOR SHAH)
MANAGING DIRECTOR



SMIFS CAPITAL MARKETS LIMITED

22nd June, 2022

Miss. Ayanti Sen

71/B, S.P.Mukherjee Street,
Konnagar,
Hoogly – 712235

Dear Miss. Sen,

We are pleased to offer you an appointment as “**Company Secretary cum Compliance Officer**” with effect from 22nd June, 2022 on the following terms & conditions:-

- 1) Your annual compensation package inclusive of your salary & allowances will be as enumerated in the annexure effective 22/06/2022.
- 2) You will report to **Mr. Kishor Shah–Managing Director**, who will determine your Key Responsible Areas(KRA).
- 3) Your services may be terminated by giving you 1 month’s notice in writing or paying you 1 month’s emoluments in lieu thereof, during the course of your employment with the Company, if your performance is not satisfactory, And your services may terminated forthwith if you are found to be guilty of misconduct.
- 4) You shall also not be able to resign from Company’s service, before expiry of 1 month’s notice in writing of such resignation or on payment of 1 month’s emoluments in lieu thereof to the Company.
- 5) You will also undertake not to carry on without express permission obtained in writing, any business either alone or in partnership, not directly or indirectly employed or concerned with as principal agent, otherwise in any business, trade or profession.
- 6) You will not during the course of your association with us or at any time hereinafter disclose to any persons, firm or concern any information, confidential or otherwise, concerning the affairs of the Company/our clients of associated Companies.



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- 7) You will be guided by the Service Regulations of the Company as existing and / or may be applicable to the employees of the Company in future.

This letter of appointment is being issued to you in duplicate. Please sign and return one copy as token of your acceptance of the terms and conditions stated herein above.

Thanking you,

Yours faithfully,
For **SMIFS CAPITAL MARKETS LIMITED**

Kishor Shah

Kishor Shah
(Managing Director)

Encl:- Annexure



COMPENSATION STRUCTURE		
Name: Miss. Ayanti Sen (w.e.f. 22/06/2020)		
ELEMENTS	MONTHLY GROSS	ANNUAL GROSS
MONTHLY BENEFITS:	(In `)	(In `)
Basic Salary	24,500.00	
HRA	6,125.00	
Transport Allowance	1,600 .00	
SUB TOTAL	32,225.00	3,86,700.00
Employer's contribution towards Provident Fund	2,940.00	35,280.00
TOTAL	35,165.00	4,21,980.00

ANNUAL FLEXI BENEFITS:	
Annual Flexi	1,520.00
Out of Pocket Medical Expenses	As Per Company's Ruels

N.B.:

1. All Annual Flexi Reimbursement would require Company's approval.
2. Reimbursement against aforesaid expenses shall be based on actual bills/ receipts.
3. Un-availed amount shall be carried over upto the next three years.
4. Tax on perquisites, if any shall be deducted at source.

OTHER ADDITIONAL AUUAL BENEFITS

Gratuity
Hospitalisation Insurance
Personal Accident Benefit Insurance
Incentive / Bonus / Ex-Gratia

As per the rules of the Company

