

## **PPAP Automotive Limited**

CIN: L74899DL1995PLC073281

B-206A, Phase-II, Noida - 201305, Uttar Pradesh, India Tel: +91-120-2462552/53, Fax: +91-120-2461371 Email: info@ppapco.com, Website: www.ppapco.in

10th December, 2020

The Listing Department BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai - 400001 Symbol: 532934

The Listing Department
The National Stock Exchange of India Limited
Exchange Plaza,
Bandra Kurla Complex,
Bandra (E), Mumbai - 400051
Symbol: PPAP

Subject: Report on violation of Company's "Code of Conduct for Regulating, Monitoring & Reporting Trading by Designated Persons" framed under Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015.

Dear Sir(s),

Pursuant to Clause 13 of Schedule B of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 read with Securities and Exchange Board of India circular SEBI/HO/ISD/ISD/CIR/P/2020/135, dated 23<sup>rd</sup> July, 2020, please find enclosed herewith Report of violation of the Company's "Code of Conduct for Regulating, Monitoring & Reporting Trading by Designated Persons", in "Annexure A".

This is for your information and record.

Thanking you,

Yours faithfully,
For PPAP Automotive Limited

Sonia Bhandari Company Secretary

## Report by PPAP Automotive Limited for violation related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

[Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015]

| Sr.<br>No. | Particulars   | Details   |  |  |
|------------|---|---|--|--|
| 1          | Name of the listed Company  | PPAP Automotive Limited   |  |  |
| 2          | Reporting in capacity of:  Listed Company Intermediary Fiduciary  | Listed Company  |  |  |
| 3          | A. Details of Designated Person (DP)  |   |  |  |
|            | i. Name of the DP   | Mr. Avinash Kumar Tripathi  |  |  |
|            | ii. PAN of the DP   | ACKPT1383P  |  |  |
|            | iii. Designation of DP  | Deputy General Manager  |  |  |
|            | iv. Functional Role of DP   | Manufacturing   |  |  |
|            | v. Whether DP is Promoter or belongs to Promoter Group  | No  |  |  |
|            | B. If Reporting is for immediate relative of DP   |   |  |  |
|            | <ul> <li>Name of the immediate relative of<br/>DP</li> </ul>  | NA  |  |  |
|            | ii. PAN of the immediate relative of DP   | NA  |  |  |
|            | C. Details of transaction(s)  |   |  |  |
|            | i. Name of the scrip  | NSE: PPAP<br>BSE: 532934  |  |  |
|            | <ul><li>ii. No of shares traded and value (Rs.)</li><li>(Date- wise)</li></ul>  | Sold 1 equity share of PPAP Automotive Limited on, 3 <sup>rd</sup> December, 2020 for Rs. 276.87.   |  |  |
|            | D. In case value of trade(s) is more than Rs.10   | lacs in a calendar quarter  |  |  |
|            | <ul> <li>Date of intimation of trade(s) by<br/>concerned</li> <li>DP/director/promoter/promoter<br/>group to Company under regulation</li> <li>7 of SEBI (PIT) Regulations, 2015</li> </ul> | Not applicable as value of Transaction is less than Rs. 10 Lacs in a calendar quarter.  |  |  |
|            | ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015   |   |  |  |
| 4          | Details of violations observed under Code of Conduct  | Executed contra-trade within six months of the purchase of equity shares.   |  |  |
| 5          | Action taken by Listed company  | Issued a warning to take utmost care in future and he was directed to transfer the profit arising from contra trade to SEBI-IPEF account.                           |  |  |
| 6          | Reasons recorded in writing for taking action stated above  | Mr. Avinash Kumar Tripathi had no previous instances of violations of SEBI (Prohibition of Insider Trading) Regulations, 2015 and the trade was done inadvertently. |  |  |
| 7          | Details of the previous instances of violations, if any, since last financial year  | None  |  |  |
| 8          | If any amount collected for Code of Conduct v<br>The details of transfer of profit arising from co  |   |  |  |



| <ul> <li>Mode of transfer to SEBI - IPEI</li> <li>Online/Demand Draft)</li> </ul> | Online                                 |                  |
|---|--|------------------|
| ii. Details of transfer/payment   |  |                  |
| n case of Online:   |  |                  |
| Particulars   | Mr. Avinash Kumar Tripathi ICICI BANK, |                  |
| Name of the transferor  |  |                  |
| Bank Name, branch and Account   |  |                  |
| number  | New friends colony, New Delhi          |                  |
|   | Account Number                         | er -004601018271 |
| UTR/Transaction reference Number  | Reference Number - 034509174829        |                  |
| Transaction date  | 10 <sup>th</sup> December,             | 2020             |
| Transaction Amount (in Rs.)   | Rs. 99.44                              |                  |
| n case of Demand Draft (DD): NA  Particulars                                      | Details                                |                  |
| Bank Name and branch  |  |                  |
| DD Number   |  |                  |
| DD date   |  |                  |

None

Yours faithfully,

DD amount (in Rs.)

For **PPAP Automotive Limited** 

Any other relevant information

Sonia Bhandari

**Company Secretary & Compliance Officer** 

Date: 10.12.2020 Place: Noida

PAN: AFKPG7888R

Email id.: sonia@ppapco.com