



**Pfizer Limited**

The Capital, 1802 / 1901,  
Plot No. C - 70, G Block, Bandra Kurla Complex,  
Bandra (East), Mumbai 400 051.  
Tel : +91 22 6693 2000 Fax : +91 22 2654 0274

May 26, 2020

The Corporate Relationship Dept.  
BSE Limited  
1<sup>st</sup> Floor, P.J.Towers  
Dalal Street, Fort  
Mumbai – 400 001  
Scrip Code: 500680

The Manager, Listing Dept.  
The National Stock Exchange of India Ltd.  
Exchange Plaza, 5<sup>th</sup> Floor,  
Plot No. C/1, G Block  
Bandra-Kurla Complex, Bandra (E)  
Mumbai – 400 051  
Scrip Symbol: PFIZER

Dear Sirs,

**Sub: Compliance Certificate - Regulation 40 (9) of the SEBI (LODR) Regulations, 2015**

In compliance with Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we submit herewith the Certificate given by the Practicing Company Secretary, M/s. Manish Ghia & Associates, for the half year ended March 31, 2020.

Thanking you,

Yours truly,

**For Pfizer Limited**

**Prajeet Nair**  
**Company Secretary**

**CERTIFICATE UNDER REGULATION 40 (9) OF SECURITIES AND EXCHANGE  
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE  
REQUIREMENTS) REGULATIONS, 2015  
FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH, 2020**

**TO WHOMSOEVER IT MAY CONCERN**

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to **Pfizer Limited** having its registered office at The Capital, 1802/1901, Plot No. C-70, G Block, Bandra Kurla Complex, Bandra (East), Mumbai-400051 maintained by **KFin Technologies Private Limited (formerly known as Karvy Fintech Private Limited)**, the Registrar & Share Transfer Agent (RTA) of the Company pertaining to transfer of equity shares of the Company for the period from **1<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020** for the purpose of issuing certificate as per Regulation 40 (9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements), Regulations, 2015 by **Pfizer Limited** and based on information provided by the Company, we hereby certify that during the half year ended on **31<sup>st</sup> March, 2020**, the Company:

- a) has issued the Share Certificate(s) relating to the Share Transfer form(s) received during the said half year (from 1<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020) as entered in the Memorandum of Transfers within thirty days from the respective date of lodgment of each form(s) except those rejected on technical grounds;
- b) has issued the Share Certificate(s) relating to requests received for issue of Duplicate Share Certificate(s) in lieu of original lost/misplaced, during the said half year (from 1<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020) within thirty days from the respective date(s) of receipt of such request(s) except those rejected on technical grounds; and
- c) has not received any request for sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies.

For **Manish Ghia & Associates**

*Company Secretaries*

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A GHIA

Digitally signed by  
MANISH  
LALITCHANDRA GHIA  
Date: 2020.05.26  
14:21:09 +05'30'

**Manish L. Ghia**

*Partner*

Place : **Mumbai**

Date : **May 26, 2020**

UDIN: **F006252B000280713**

**M. No. FCS 6252 C.P. No. 3531**

**Note:** Due to lockdown on account of COVID - 19 Pandemic, we have not been able to carry out physical visit to RTA office in Hyderabad, Telangana for verification of data. The Certificate is based on inputs received via email from RTA and confirmation with RTA.