



April 09, 2024

The Manager,
BSE SME Platform
Department of Corporate Services
25th Floor, P.J. Towers, Dalal Street
Fort, Mumbai - 400 001

BSE Scrip Code: **544035**

Subject: Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 for the year ended March 31, 2024

Dear Sir/ Madam,

Pursuant to Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, please find attached the compliance certificate for the year ended March 31, 2024 of the Company as duly certified by Practicing Company Secretary for your information and records.

Thanking You,

Yours faithfully,
For **SWASHTHIK PLASCON LIMITED**

NAME: MAHENDRAKUMAR GAUTAM
DESIGNATION: MANAGING DIRECTOR
DIN - 10314526

Swashtik Plascon Ltd.

(Formerly Swashtik Caps Pvt. Ltd.)

A-75 & A-76, PIPDIC Electronic Park, Thirubhuvanai, Mannadipet Commune, Puducherry (☎) 0413-2640002.

CIN : U25209PY2011PLC002578 GST : 34AAPCS7785N1Z9 admin@swashtikplascon.com



**DILIP SWARNKAR & ASSOCIATES
COMPANY SECRETARIES**

Mob: + 91 8356877790, 8689902140

Email: csdilupsonioffice@gmail.com

The Peer Review Certificate no. [2838/2022](#)

CERTIFICATE UNDER REGULATION 40 (9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE YEAR ENDED 31st MARCH, 2024

We have examined the Registers, files and other documents relating to **SWASHTHIK PLASCON LIMITED** (hereinafter referred to as "the Company") as maintained by **CAMEO CORPORATE SERVICES LIMITED**, the Registrar and Share Transfer Agent, from 05th December, 2023 (Date of listing) till 31st March, 2024, ('Period under Review') for the purpose of issuing the Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations And Disclosure Requirements) Regulations, 2015. Based on examination and the information provided by the Company and RTA, we hereby certify that:

- I. The Company has not received any request relating to transfer, transmission or transposition of share(s) or name change during the Period under Review;
- II. The Company has not received any request relating to issuance of certificate(s) for sub-division (split), consolidation, renewal, exchange or endorsement of calls/allotment monies during the Period under Review.

**YOURS FAITHFULLY,
FOR DILIP SWARNKAR & ASSOCIATES**

**CS DILIP KUMAR SWARNKAR
MEMBERSHIP NUMBER: A-47600
CERTIFICATE OF PRACTICE NUMBER - 26253
PLACE: MUMBAI
DATE: 09-04-2024
UDIN: A047600F000063737**

**Office Address: LG76, Xth Center Mall, Next to D Mart and Croma,
Behind MCA Club, Mahavir Nagar Kandivali West, Mumbai 400067**