

Si Capital & Financial Services Limited

Where Forex standards are set, not Just met

Scrip Code: 530907

28.04.2021

To
The General Manager – DCS
Listing Operations – Corporate Service Dept.
BSE Ltd.

Dear Sir/ Madam,

Sub: Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

We hereby submit the Certificate in terms of Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 obtained from Ms. Liya Antony, the Company Secretary in Practice, for the Half Year ended March 31, 2021.

Kindly take the same on record.

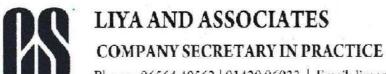
Thank You

For S.I. Capital & Financial Services Limited

ANEETTA C VILSON Company Secretary

Encl: As above

E-mail: info@sicapital.co.in Website: www.sicapital.co.in



Phone: 96564 40562 | 91420 96033 | Email: liyaandassociates@gmail.com

CERTIFICATE UNDER REGULATION 40 (9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATION AND DISCLOSURE REQUIREMENTS) **REGULATIONS 2015**

FOR THE HALF YEAR ENDED 31ST MARCH 2021

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to S.I.CAPITAL & FINANCIAL SERVICES LIMITED maintained by the Share Transfer Agent M/s S.K.D.C. Consultants Limited pertaining to transfer of equity shares of the company for the period from 01st October 2020 to 31st March 2021 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations 2015, by, S.I.CAPITAL & FINANCIAL SERVICES LIMITED and based on the information provided by the Company, hereby certify that the Company has delivered during the half year ended on 31st March 2021:

- A) All the Share Certificates relating to the Share Transfer forms received during the period from 01st October 2020 to 31st March 2021 as entered in the Memorandum of Transfers have been issued within one month from the respective date of lodgment of each form except those rejected on technical grounds.
- B) has not received any requests for subdivision, consolidation, renewal, exchange of duplicate /split certificates or endorsement of calls/ allotment monies.

For LIYA AND, ASSOCIATES

LIYA ANTONY

Company Secretary In Practice Membership No: 39611, CP No: 19314 No: 101, 1st Floor, K George Technology Arcade K C Joseph Road, Panampilly Nagar

Ernakulam, Kerala - 682 036

Place: Ernakulam Date: 26.04.2021

UDIN: A039611C000184349

No.101, First Floor, Santhi K George Technology K C Joseph Road, Panampilly Nagar, Ernakulam - 682036, Kerala

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