

26th April, 2021

BSE Limited 1st Floor, New Trading Ring, Rotunda Building, P.J. Towers, Dalal Street, Fort, Mumbai- 400 001 BSE scrip code: 500302, 912460 National Stock Exchange of India Limited Exchange Plaza, 5th floor, Plot No. C/1, G-Block, Bandra-Kurla Complex, Bandra (E), Mumbai - 400 051 NSE symbol: PEL

Sub.: Compliance Certificate pursuant to Regulation 40(10) and 61(4) of the SEBI (Listing **Obligations and Disclosure Requirements) Regulations, 2015 ('Listing Regulations')**

Dear Sir / Madam,

Pursuant to Regulation 40(10) and 61(4) of the Listing Regulations, enclosed please find the Compliance Certificate issued by Mr. Ainesh Jethwa, Practicing Company Secretary for the half year ended 31st March, 2021.

Thanking you,

Yours truly, For Piramal Enterprises Limited

Bipin Singh Company Secretary

Encl.: a/a

CIN: L24110MH1947PLC005719 Registered Office: Piramal Ananta, Agastya Corporate Park, Opp Fire Brigade, Kamani Junction, LBS Mag, Kurla (West), Mumbai 400 070 India Secretarial Dept : Ground Floor, B Block, Agastya Corporate Park, Opp. Fire Brigade, Kamani Junction, LBS Marg, Kurla (West), Mumbai, Maharashtra 400070, India T +91 22 3802 3084/3083/3103 F +91 22 3802 3084

Piramal Enterprises Limited



Name of the Company CIN Registered Office

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PIRAMAL ENTERPRISES LIMITED L24110MH1947PLC005719 Piramal Ananta, Agastya Corporate Park, Opp. Fire Brigade, Kamani Junction, LBS Marg, Kurla (West), Mumbai - 400 070

<u>CERTIFICATE UNDER REGULATION 40(9) AND 61(4) OF THE SEBI (LISTING OBLIGATIONS</u> <u>AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED</u> <u>31st MARCH, 2021</u>

On the basis of examination of all relevant books, registers, files and other documents relating to **PIRAMAL ENTERPRISES LIMITED ('the Company')** maintained by **LINK INTIME INDIA PRIVATE LIMITED, the Registrar and Share Transfer Agent ('RTA')** for the period from 1st **October, 2020 to 31st March, 2021** for the purpose of issuing a Certificate as per Regulation 40(9) and 61(4) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 entered into by the Company and based on the information provided by the Company, I hereby certify that:

- A. There were no transfer requests received during the period from 1st October, 2020 to 31st March, 2021.
- B. Share certificates relating to the request for transmission of shares, name deletion, subdivision, consolidation, renewal or exchange received during the period from 1st October, 2020 to 31st March, 2021, other than those rejected on technical grounds, have been issued within thirty days from the respective date of lodgement of each form except in few cases which were delayed due to the restrictions imposed on account of ongoing COVID-19 pandemic.
- C. The Company was not required to issue physical debenture certificates since all the nonconvertible debentures issued by the Company were in dematerialised form.

For Ainesh Jethwa & Associates

Company Secretaries

AINESHKUM ARJENSHKUM AR JETHWAN

Aineshkumar Jethwa Proprietor Membership No. : ACS 27990 COP : 19650 UDIN : A027990C000176578 Date : 26/04/2021

Note:

Due to current COVID - 19 Pandemic, our office staff opted to not verify the information physically. However, this Certificate is issued on the basis of information provided by the Registrar and Share Transfer Agent through electronic mode.

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