



# usha martin®

**Usha Martin Limited**

2A, Shakespeare Sarani, Kolkata (formerly Calcutta) - 700 071, India  
Phone : (00 91 33) 71006300, Fax : (00 91 33) 2282 9029, 71006400/500  
CIN:L31400WB1986PLC091621  
Website:www.ushamartin.com

UML/SECT/

5<sup>th</sup> April, 2022

The Secretary  
National Stock Exchange of India Ltd  
Exchange Plaza, 5<sup>th</sup> Floor,  
Plot No.C/1, G Block,  
Bandra Kurla Complex, Bandra (E)  
Mumbai – 400 051  
[Scrip Code: USHAMART]

The Secretary  
BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street  
Mumbai – 400 001  
[Scrip Code: 517146]

Societe de la Bourse de Luxembourg  
35A, Boulevard Joseph II  
L-1840, Luxembourg  
[Scrip Code: US9173002042]

Dear Sirs,

**Re: Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31<sup>st</sup> March, 2022.**

In terms of Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015, please find enclosed a certificate dated 5<sup>th</sup> April,2022 obtained from CS Kamal Kumar Sharma, Practicing Company Secretary for the half year ended 31<sup>st</sup> March,2022 for your information and record.

Thanking you,

Yours faithfully,  
For **Usha Martin Limited**

  
**Shampa Ghosh Ray**  
Company Secretary

Encl. as above.



*Kamal Kumar Sharma*

Company Secretaries  
(A Peer Reviewed PU)

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE YEAR ENDED 31<sup>st</sup> MARCH, 2022**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to Usha Martin Limited having its registered office at 2A, Shakespeare Sarani, Kolkata – 700 071 maintained by their Registrar and Share Transfer Agent (RTA) M/s MCS Share Transfer Agent Limited, 383 Lake Gardens, 1st Floor, Kolkata-700045 for the period from 01.04.2021 to 31.03.2022 for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI Listing Regulations, 2015 (LODR) as amended and clarified, for submission by Usha Martin Limited, to National Stock Exchange Limited and BSE Limited and based on the information provided by the Company and the RTA, I do hereby certify that the Company has delivered during the year ended on 31<sup>st</sup> March, 2022:

- A) No requests for Share Certificates relating to the Share Transfer Deeds and Transfer of Shares have been received during the period from 1<sup>st</sup> April, 2021 to 31st March, 2022, which were lodged prior to 1<sup>st</sup> April 2019 and as entered in the Memorandum of Transfers and rejected on technical grounds.
- B) All requests received for transmission and duplicate of Share certificates have been executed within stipulated days of receipt.
- C) No requests have been received for replacement, transposition, split/sub-division, consolidation, renewal and exchange of Share Certificates within the stipulated time.

*Kamal K. Sharma*

(CS Kamal Kumar Sharma)

C. P. No. 4057

Dated: 5<sup>th</sup> April, 2022

Place: Kolkata

**UDIN : F003337D000020574**

