



TRC Financial Services Limited

CIN : L74899MH1994PLC216417

October 20, 2020

To,
Listing Department
BSE Limited
PhirozeJeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001

Dear Sir / Madam,

SUB: Submission of certificate from Practicing Company Secretary under Regulation 40(9) of SEBI (LODR) Regulations, 2015 for the half year ended September 30, 2020.

Pursuant to Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 please find enclosed herewith Certificate issued by Practicing Company Secretary for the period starting from 1st April, 2020 to 30th September, 2020.

Kindly take the above on your record.

Thanking You,
Yours faithfully
For TRC Financial Services Limited

GIDDAIAH Digitally signed
by GIDDAIAH
KOTESWAR
KOTESWAR
Date: 2020.10.20
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Giddaiah Koteswar
Whole - Time Director
DIN: 07121503

Priti J Sheth & Associates

Company Secretaries

**CERTIFICATE UNDER REGULATION 40 (9) of SEBI (LODR) REGULATIONS, 2015 FOR
THE HALF YEAR ENDED 30th SEPTEMBER 2020**

I have examined all Registers, files and other documents relating to **TRC Financial Services Limited, bearing (CIN: L74899MH1994PLC216417)** (the Company) maintained by **M/s. Purva Sharegistry (India) Private Limited**, pertaining to transfer of equity shares of the company for the period from **1st April, 2020 to 30th September, 2020** for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that during half year ended **30th September, 2020**:

- A) The Company has received one request for transfer of shares which was objected by Purva Sharegistry (India) Private Limited for the following reasons -
- 1) *"Name of the transferor's appearing on the transfer deed/ share certificate differs from that appearing on the PAN card".*
 - 2) *Copy of Gazette Publication for change of name duly attested by Notary Public / Bank Manager / First Class Magistrate.*
 - 3) *Corrections made on Affidavit / Annexure should be Notarized / authenticated.*

Apart from above, Company has not received any request for transfer, transmission, sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies.

- 4) Any other information: Nil

Date: October 20, 2020
Place: Mumbai

PRITI JAYANT SHETH
Digitally signed by
PRITI JAYANT SHETH
Date: 2020.10.20
18:34:57 +05'30'

Name: Priti Sheth
For Priti J. Sheth & Associates
Company Secretaries
C.P. No.: 5518
FCS No.: 6833
UDIN: F006833B001006084