

To,
Listing Department
National Stock Exchange of India Limited
Exchange Plaza, C-1, G Block, Bandra Kurla Complex,
Bandra (East), Mumbai - 400 051.
Symbol: ANGELONE

Department of Corporate Service
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai - 400 001.
Scrip Code: 543235

Dear Sirs/ Ma'am,

Sub: Updates for Disclosure made under Regulation 30 of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015

This is in continuation to our earlier intimation dated July 15, 2023, regarding an order passed by the Member and Core Settlement Guarantee Fund Committee ("MCSGFC"/ "Committee") of National Stock Exchange of India Limited ("Exchange") on July 14, 2023.

In this regard, please find below disclosure of information pursuant to Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, read with circular No. CIR/CFD/CMD/4/2015 dated September 09, 2015.

Sr. no.	Particulars	Remarks/Updates
1.	Name of the authority	Member and Core Settlement Guarantee Fund Committee ("MCSGFC"/ "Committee") of National Stock Exchange of India Limited ("Exchange")
2.	Nature and details of the action(s) taken, initiated or order(s) passed	The Committee of the Exchange has issued the following directions to the Company: <ol style="list-style-type: none"> 1. Monetary penalty of Rs.1,66,89,000 (Rupees One Crore Sixty-Six Lakhs Eighty-Nine Thousand Only); 2. Prohibition from onboarding new Authorised Persons ("APs") for a period of 6 (six) months from the date of the Order; 3. Direction to conduct inspection of all its APs and submit a report thereof to the satisfaction of the Exchange within 6 (six) months from the date of the Order; 4. Direction to submit a detailed report on its investor grievance redressal mechanism comprising of: <ol style="list-style-type: none"> a) total investor complaints and arbitration matters registered against the Company and its APs in the past 1 (one) year from the date of this Order, including those pertaining to assured returns and unauthorized trading; b) total investor complaints and arbitration matters redressed/resolved in the past 1 (one) year from

CSO, Corporate & Regd Office:
601, 6th Floor, Ackruti Star, Central Road, MIDC,
Andheri (E), Mumbai - 400093.
T: (022) 2820 5943 | (022) 4000 3600
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E: support@angelone.in
www.angelone.in

Angel One Limited
(Formerly Known as Angel Broking Limited)
CIN: L67120MH1996PLC101709,
SEBI Registration No Stock Broker: INZ000161534,
CDSL: IN-DP-384-2018, PMS: INP000001546,
Research Analyst: INH000000164, Investment Advisor: INA000008172,
AMFI Regn. No. ARN-77404, PFRDA, Regn. No.-19092018.



		the date of the Order and the timelines for the same; and c) total pending investor complaints and arbitration matters.
3.	Date of receipt of the order	July 14, 2023
4.	Details of the violation(s)/ contravention(s) committed or alleged to be committed	The Order has been passed by the Committee of the Exchange against the Company, in respect of an alleged failure to monitor the operations of its APs thereby resulting in alleged violation of the Capital Market Segment Regulations and Futures and Options Segment Regulations of the Exchange read with Exchange Circular NSE/MEM/13429 dated November 9, 2009 and NSE/COMP/48536 dated June 9, 2021.
5.	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	A monetary penalty of Rs.1,66,89,000 (Rupees One Crore Sixty-Six Lakhs Eighty-Nine Thousand Only) has been levied on the Company. Further, the Company has been prohibited from onboarding new APs for a period of 6 (six) months from the date of the Order. The said direction does not impact the current operations of the Company. The prohibition to onboard new APs for 6 (six) months from the date of the Order may have a marginal impact on the Company's ability to acquire new clients through these APs, for the said period. Therefore, the financial impact of this prohibition is difficult to quantify in monetary terms at this moment.

It may be noted that the Order does not affect the existing business or the activities of the APs affiliated with the Company. The Company is evaluating various options available including filing an appeal against the Order. The Company has always strived to and carried out its business in compliance with extant laws and regulations in letter and spirit.

Thanking you,

**For Angel One Limited
(Formerly known as Angel Broking Limited)**

**Naheed Patel
Company Secretary and Compliance Officer**

Date: July 17, 2023

Place: Mumbai

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