

April 12, 2022

The Manager,
Listing Department,
BSE Limited,
Phiroze Jeejeebhoy Tower,
Dalal Street,
Mumbai 400 001.

BSE Scrip Code: 542772

The Manager,
Listing Department,
The National Stock Exchange of India Ltd.,
Exchange Plaza, 5 Floor, Plot C/1, G Block,
Bandra - Kurla Complex, Bandra (E),
Mumbai 400 051.

NSE Symbol: IIFLWAM

Dear Sir(s)/Madam(s),

Subject: - Submission of Certificate under Regulation 40(9) and Regulation 61(4) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the financial year ended March 31, 2022

Please find enclosed certificate received from M/s. J U Poojari & Associates, practising company secretaries, for the financial year ended March 31, 2022. This certificate is submitted in compliance with Regulation 40(9) and Regulation 61(4) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Please take the same on record.

Thanking You,

Yours Faithfully,
For IIFL Wealth Management Limited

Rohit Bhase
Company Secretary
(ACS: 21409)
Email: secretarial@iiflw.com
Encl: a/a

IIFL WEALTH MANAGEMENT LIMITED

Corporate & Registered Office:
IIFL Centre, Kamala City, Senapati Bapat Marg,
Lower Parel, Mumbai - 400 013
TEL: (91-22) 4876 5600 | FAX: (91-22) 4875 5606

J U POOJARI & ASSOCIATES
COMPANY SECRETARIES

Office:

111, 11th Floor, Sai – Dwar CHSL
Sab TV Lane, OppLaxmi Indl.Estate
Above Shabari Restaurant
Andheri (W), Mumbai : 400 053
Tel.: 2630 1232/ 2630 1233/ 2630 1240
Email: jupoojari@rediffmail.com

CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31st MARCH, 2022

On the basis of examination of the relevant documents relating to IIFL Wealth Management Limited, (CIN L74140MH2008PLC177884), (the Company), maintained and provided by M/s. Link Intime India Pvt. Ltd., Registrar and Share Transfer Agents pertaining to the period from 01st April, 2021 to 31st March, 2022 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that:

A) 1.a. As informed to us, there were no requests relating to transfers received during the period from 01st April, 2021 to 31st March, 2022.

1. b. As informed to us there were no requests relating to transmission/ transposition of securities, deletion of name/ sub-division (split), consolidation, renewal, exchange or endorsement of calls/ allotment monies received during the said period.

B) As informed to us, the Company was not required to issue any debentures certificates since debentures issued by the Company / outstanding during the year ended March 31, 2022, which are listed on BSE Limited, were issued in dematerialised form.

C) Any other information, if applicable: --

JAYARAM
UMESH
POOJARI



Jayaram U. POOJARI
Company Secretary
FCS: 8102 CP: 8187

Place: Mumbai

Date: 11.04.2022

UDIN: F008102D000071836