

# SHREE GLOBAL TRADEFIN LIMITED

Regd. Off: 35, Ashok Chambers, Broach Street, Devji Ratansey Marg, Masjid, Mumbai – 400 009.  
Tel. 022 – 2348 0526 email : [sgtl2008@gmail.com](mailto:sgtl2008@gmail.com) CIN : L27100MH1986PLC041252 Website : [www.sgtl.in](http://www.sgtl.in)

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**Date: 14/04/2021**

**The Deputy General Manager**

Department of Corporate Services  
The Bombay Stock Exchange Limited  
27th Floor, P.J. Towers, Dalal Street,  
Mumbai - 400 001

**Sub: Compliance Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

In Compliance with Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are pleased to enclose a copy of the Compliance certificate issued by Company Secretary in Practice for the half year ended on 31<sup>st</sup> March, 2021.

Kindly take the same on your records.

Thanking you,  
Yours faithfully

**For Shree Global Tradefin limited**

**Priyanka Agrawal**  
**Company Secretary**



# **B R Gupta & Co.**

## **Company Secretaries**

**Office Add.:** Unit No. 12, 1<sup>st</sup> Floor, Poonam Cluster 2, Shanti Park Layout,  
Miraroad, Thane – 401 107, Maharashtra, India.

**Email Id:** cs.guptarahul@gmail.com | **Cont. No.:** +91 – 816 919 2320

### **CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH, 2021**

I have examined the relevant records of “**Shree Global Tradefin Limited**” maintained by their **Registrar and Transfer Agent “Bigshare Services Private Limited”** for the period from **01<sup>st</sup> October, 2020** to **31<sup>st</sup> March, 2021** for the purpose of issuing a Certificate as per **Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015** and on the basis of information provided by the Company and Share Transfer Agent;

I hereby certify that;

There are no requests received for issue of share certificates during the period from **01<sup>st</sup> October, 2020** to **31<sup>st</sup> March, 2021** relating to transfers, transmission, sub-division, split, duplicate, consolidation, renewal, exchange, replacement, endorsement of calls/allotment monies, transposition and deletion of name of the deceased holder.

**For B R Gupta & Co.**

Practicing Company Secretary

**Rahul Gupta**

Company Secretary

**M. No.:** 43201 & **COP No.:** 20863

**UDIN:** A043021C000088363

**Place:** Thane & **Date:** 14/04/2021