

15.04.2023

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| To,<br>The General Manager<br>Listing Compliances<br><b>BSE Limited</b><br>Phiroze Jeejeebhoy Towers<br>Dalal Street, Mumbai—400001<br><b>Scrip Code:533644</b> | To,<br>The General Manager<br>Listing Compliances<br><b>National Stock Exchange of India Limited</b><br>Exchange Plaza, Bandra Kurla Complex<br>Bandra (East), Mumbai-400001<br><b>Symbol: UJAAS</b> |
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**Sub: Submission of Certificate for Compliance under Regulation 40(9) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015, read with regulation 40(10).**

Dear Sir/Ma'am,

Please find enclosed the Certificate for Compliance under Regulation 40(9) read with regulation 40(10) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 obtained from Practicing Company Secretary for the year ended 31<sup>st</sup> March 2023.

You are requested to take the same on record.

**Thanking you,  
For Ujaas Energy Limited  
(Company under CIRP)**



**Sarvesh Diwan  
Company Secretary  
and Compliance Officer**

Encl: Compliance Certificate



**UJAAS ENERGY LIMITED**

Office: Survey No. 211/1, Opposite Sector-C and Metalman, Sanwer Road Industrial Area, Indore 452015 (MP)  
CIN: L31200MP1999PLC013571 | Phone: +91-731-4715300 | Fax: +91-731-4715344  
Email: info@ujaas.com | Website: www.ujaas.com

**Ashish Karodia**  
M.Com., L.L.B., F.C.S.

**Company Secretary**

208, Trade House,  
14/3, South Tukoganj,  
Indore (M.P.)  
Cell: +91 98261 – 14533  
E-mail: [ashishkarodia@gmail.com](mailto:ashishkarodia@gmail.com)

## CERTIFICATE OF COMPLIANCE

**[Pursuant to Regulation 40(9) of SEBI (Listing Obligation and Disclosure Requirements)  
Regulation 2015]**

**FOR THE YEAR ENDED 31<sup>ST</sup> MARCH 2023**

This to certify that we have examined the relevant book and records of UJAAS ENERGY LIMITED (CIN:L31200MP1999PLC013571) having its Registered office at Survey No. 211/1, Opp. Sector- C & Metalman, Sanwer Road Industrial Area, Indore MP 452015, kept and maintained by BIGSHARE SERVICES PRIVATE LIMITED (CIN:U99999MH1994PTC076534) pertaining to transfer of equity shares and issue of share certificates of the Company for a period from 1<sup>st</sup> April 2022 to 31<sup>st</sup> March 2023 for the purpose of issuing this certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulation 2015 and based on the information provided by the Company.

We hereby certify that during the year ended 31<sup>st</sup> March 2023

1. Share Certificate(s) relating to the Share Transfer form(s) received during the period from 1<sup>st</sup> April 2022 to 31<sup>st</sup> March 2023 as entered in the Memorandum of Transfers have been issued within 15 days from the respective date of lodgment of each form excepting those rejected on technical grounds. (Not Applicable, as there were no certificate issued for Share Transfer during the period from 1<sup>st</sup> April 2022 to 31<sup>st</sup> March 2023).
2. The Company has issued all certificates within 30 days for sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies, if any, during the period from 1<sup>st</sup> April 2022 to 31<sup>st</sup> March 2023. (Not Applicable, as there were no certificate issued for sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies during the period from 1<sup>st</sup> April 2022 to 31<sup>st</sup> March 2023).

**Place: Indore**  
**Date: 13.04.2023**  
**UDIN: F006549E000089566**

Ashish Karodia  
**Ashish Karodia**  
**Company Secretary**  
**COP:6375 FCS:6549**

Digitally signed by Ashish Karodia  
DN: cn=Ashish Karodia, o=UJAAS ENERGY LIMITED, email=ashishkarodia@gmail.com, c=IN  
Date: 2023.04.13 11:51:45 +05'30'